April 4, 2014

2014 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

Lake County, Illinois
North Chicago, Illinois
Waukegan, Illinois

2014 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

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Executive Summary

Introduction

The *2014 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan will allocate the following Program Year 2014 (PY2014) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Solutions Grant (ESG) Program. The program year for these funds extends from May 1, 2014 to April 30, 2015.

These community development investments will assist the Consortium members to address prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide plan identifying housing and community development needs, goals, and strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

Objectives

The objectives for the use of funds from the US Department of Housing and Urban Development's CDBG Program, the HOME Program, the ESG Program, and any additional funds provided throughout the year, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, tenant-based rental assistance, funding for owner-occupied rehabilitation, and development subsidy for the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for emergency shelters, homeless prevention activities, Homeless Management Information System administration, and other activities for homeless individuals and families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

Last year, the Lake County Board went through an open and engaging process resulting in a new 5-year strategic plan launched in 2013. All five strategic plan goals focus on making Lake County a great place to live and work for everyone.

1. Build healthy and resilient communities
2. Enhance livability and economic opportunities for all
3. Promote a sustainable environment (supports both health and livability)
4. Reduce traffic congestion and invest in infrastructure, and
5. Provide public safety thru law enforcement and prevention programs.

The specific strategies planned to achieve goal #1 "Build Health and Resilient Communities" include:

- Emphasize prevention in the delivery of health and human services and enhance access to clinical and community prevention programs for vulnerable populations.
- Foster partnerships and teamwork among stakeholders and leverage resources to address the evolving demand for health and human services.
- Encourage the preservation and development of affordable housing near existing employment centers and public transportation.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with annual appropriations. Program income generated by LCAHP funds are returned to the County for additional activities. To date, nearly $4.5 million in LCAHP funds have been allocated.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County-funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The following tables summarize the proposed use of PY2014 ESG funds for the entire Lake County Consortium, along with PY2014 CDBG funds specifically for Lake County and the Cities of North Chicago and Waukegan. In the sections that follow these tables, specific projects that have been proposed for funding are individually described.

Public Participation

The allocations presented here are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking. Details on the process and opportunities for public involvement can be found in subsequent sections of this document.

Description of Key Projects

Lake County Consortium Activities

On March 19, 2014, the Community Planning and Development Program Formula Allocations for FY 2014 were announced with Lake County receiving the following allocations:

- ***CDBG: $2,467,191*** *of which the maximum public services (15%) funding allowed is $370,078*
- ***HOME: $1,114,833***
- ***ESG: $197,178***

In addition, the cities of Waukegan and North Chicago received the following amounts of direct CDBG funding:

- ***North Chicago CDBG: $226,455***
- ***Waukegan CDBG: $782,734***

2014 Lake County Consortium HOME Program Funding Recommendations

The Consortium recently released a HOME PY2014 application round, from which funding recommendations may be approved as early as June 10, 2014 with an amendment to this Action Plan. Upon completion of the application round, the Action Plan will be substantially amended to include the Consortium recommended funding allocations.

Lake County drafted categorical allocation estimates of its HOME funds based on previous years' allocations and reasonable estimates of changes in the 2014 Program Year. The final allocations are subject to change.

The estimates provided for specific types of activities in the Table 3C documents of this submission reflect estimated totals based on previous programming and are not a mandate for the final funding allocations. Final allocations will be made based on the outcomes of the application process. All categories in which the County allocates HOME funds have demonstrated need.

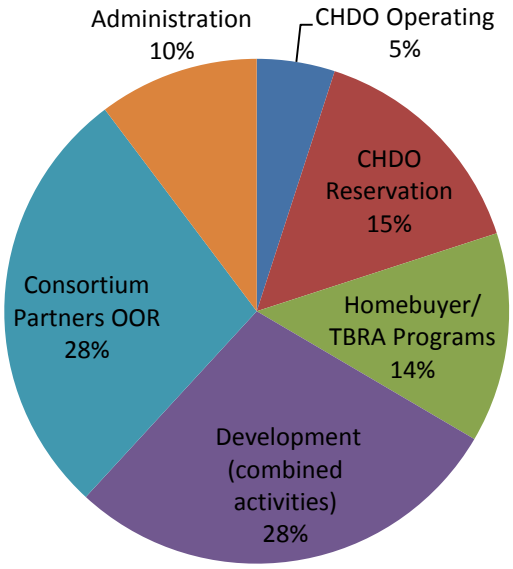
Of specific note, Consortium partners including the City of Waukegan and City of North Chicago are entitiled, by means of the Consortium agreement, to a specific percentage of the final allocation. These totals are reflected in allocation amendments.

Note: The allocation reflects the $1,114,833 HOME allocation from the U.S. Department of Housing and Urban Development. There is no inclusion of programming for program income as a funding source at this time. Further, the following categories reflect minimum and maximum percentages for funds available in specific funding categories including Community Housing Development Organization (CHDO) Reservation funding and Operating funding, HOME program administration, and Consortium partner distribution per the Consortium agreement. Please note, it is not required that capped items be funded at capacity, however, such caps may not be exceeded. The Community Housing Development Organization (CHDO) Reserve is allocated at the cumulative annual minimum. The Consortium partner distribution may not be reduced. The Consortium is considering an amendment to include rental assistance under a tenant-based rental assistance activity, such consideration is reflected belw. Please reference Table 3C documents for additional details.

Proposed Allocation of HOME Funds	
*Homebuyer assistance	$75,000.00
*Tenant-based Rental assistance	$75,000.00
*New Construction-Rental or Homebuyer	$158,215.00
*Rehabilitation-Rental or Homebuyer	$158,215.00
*Rehabilitation-Owner-Occupied Rehabilitation Consortium Partners	$310,954.00
CHDO Reserve	$167,225.00
CHDO Operating	$55,741.00
Administration, Technical Assistance & Planning	$114,483.00
TOTAL	**$1,114,833.00**

*Note new construction and rehabilitation reflect the culmination of traditional HOME Program categories of homebuyer activities and rental housing activities. Homebuyer assistance generally includes assistance directly to a homebuyer in the form of downpayment assistance, closing costs, or a discount to sales price and subsidies to development entities in the form of grants and loans. The homebuyer category above reflects an assistance allocation related to past homebuyer programming inclusive of direct assistance to the homebuyer and consistency with the current breakdown of funding types in the Consolidated Plan. Tenant-based rental assistance is inclusive of security deposit and direct rental assistance. The Consortium Partners set-aside is currently reflected under an owner-occupied rehbailitation activity, however Consortium Partners reserve the right, and will allocated a portion of their set-aisde to other projects or programs specific to their jurisdiction as eligible. Through the vetting of applications in underwriting projects and programs, allocations will be made more appropriately.

2014 Lake County Consortium
HOME Program Funding Recommendations (Estimates)

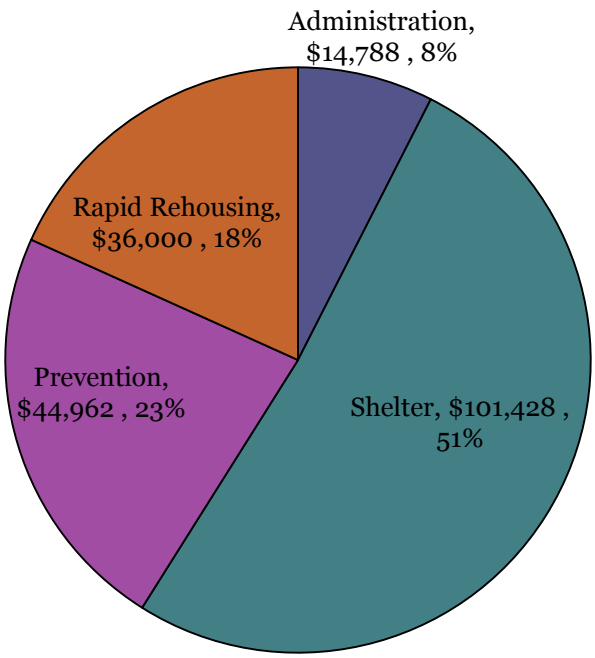


2014 Lake County Consortium
ESG Program Funding Recommendations

The Emergency Solutions Grant funds in Lake County are intentionally targeted to address the growing poverty rate and support the Continuum of Care's (CoC's) Ten-year Plan to End Homelessness. In PY14, Lake County will implement two key strategies. First, Lake County will increase the impact of projects by making larger awards to high-capacity organizations. Second, Lake County will add a rapid rehousing project to address the housing needs of homeless families. Funding rapid rehousing supports goals in Lake County's Consolidated Plan and the CoC's plan to end homelessness. The CoC added support for rapid rehousing in its funding application through allocation. This was largely in response to the research and evaluation conducted by the CoC's Rapid rehousing Task Force. Lake County is using ESG funds strategically to accomplish national objectives and local goals targeted at ending homelessness. Those priorities are reflected in the following funding recommendations.

Subrecipient	Project Type	Recommended Amount
A Safe Place	Emergency Shelter	$19,037
Lake County Haven	Emergency Shelter	$23,796
Most Blessed Trinity	Emergency Shelter	$11,003
PADS Crisis Services	Emergency Shelter	$47,592
Catholic Charities	Homelessness Prevention	$28,504
Prairie State Legal Services	Homelessness Prevention	$16,458
Catholic Charities	Rapid Rehousing	$36,000
Lake County ESG Program	Program Administration	$14,788
	TOTAL	**$197,178**

Notes	
Total Grant	$197,178
60% Shelter Limit (*no more than 60% of funds may be used for shelter activities per HEARTH Act*)	$118,307
Shelter Expenses	$101,428
Prevention Expenses	$44,962
Rapid Rehousing	$36,000
Administration	$14,788
TOTAL	**$197,178**



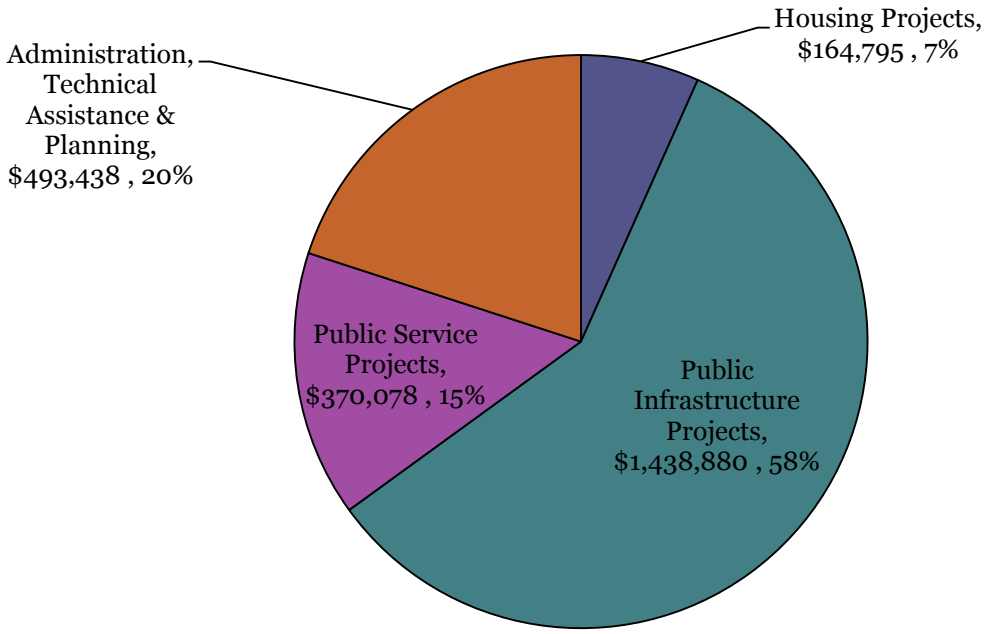
NOTE: Lake County ESG administration formula calculated as 7.5% (the maximum allowable Lake County ESG administration funds that can be used).

2014 Lake County CDBG Program Funding Recommendations

Available Sources of PY2014 CDBG Funds	
2014 CDBG Entitlement Grant	$2,467,191
CDBG Program Income	$0
Reprogrammed CDBG Funds	$0
TOTAL	**$2,467,191**

Proposed Allocation of Funds	
Housing Projects	$164,795
Public Infrastructure Projects	$1,438,880
Public Service Projects	$370,078
Administration, Technical Assistance & Planning	$493,438
TOTAL	**$2,467,191**

2014 Lake County
CDBG Program Funding Recommendations



Public Infrastructure

Organization	Goal	Request	Recommended Award
Antioch Township	3.1.1	$100,000	$85,000
Village of Beach Park	3.1.4	$100,000	$85,000
Village of Fox Lake	3.1.4	$100,000	$85,000
Grant Township	3.1.4	$100,000	$85,000
Village of Hainesville	3.1.1	$100,000	$85,000
Village of Highwood	3.1.2	$100,000	$85,000
Village of Island Lake	3.1.1	$80,000	$68,000
Village of Lakemoor	3.1.1	$84,800	$72,080
Village of Mundelein	3.1.3	$100,000	$85,000
City of Park City	3.1.1	$100,000	$85,000
Village of Round Lake	3.1.2	$100,000	$85,000
Village of Round Lake Beach	3.1.1	$100,000	$85,000
Village of Round Lake Heights	3.1.4	$100,000	$85,000
Village of Round Lake Park	3.1.3	$100,000	$85,000
Village of Wauconda	3.1.1	$100,000	$85,000
Wauconda Township	3.1.1	$85,000	$72,250
Waukegan Township	3.1.1	$43,000	$36,550
City of Zion	3.1.1	$100,000	$85,000
		TOTAL	**1,438,880**

Public Services

Organization	Goal	Request	Recommended Award
CASA Lake County	3.3.4	$35,000	$30,000
Childserv	3.3.1	$40,000	$30,000
Consumer Credit Counseling	3.3.3	$36,300	$30,000
ElderCARE@Christ Church	3.3.2	$25,000	$25,000
Family Service	3.3.3	$25,000	$25,000
Great Lakes Adaptive Sports Association	3.2.2	$25,000	$25,000
Highland Park Community Nursery	3.3.1	$35,000	$30,000
Mano a Mano	3.3.3	$25,000	$20,078
Nicasa	3.3.4	$50,000	$30,000
Northern Illinois Foodbank	3.2.1	$25,000	$25,000
Zacharias Sexual Abuse Center	3.3.4	$30,000	$30,000
Fair Housing Program – Prairie State Legal Services	1.1	$70,000	$70,000
		TOTAL	**$370,078**

NOTE: Lake County CDBG administration formula calculated as 20% (the maximum allowable Lake County CDBG administration funds that can be used).

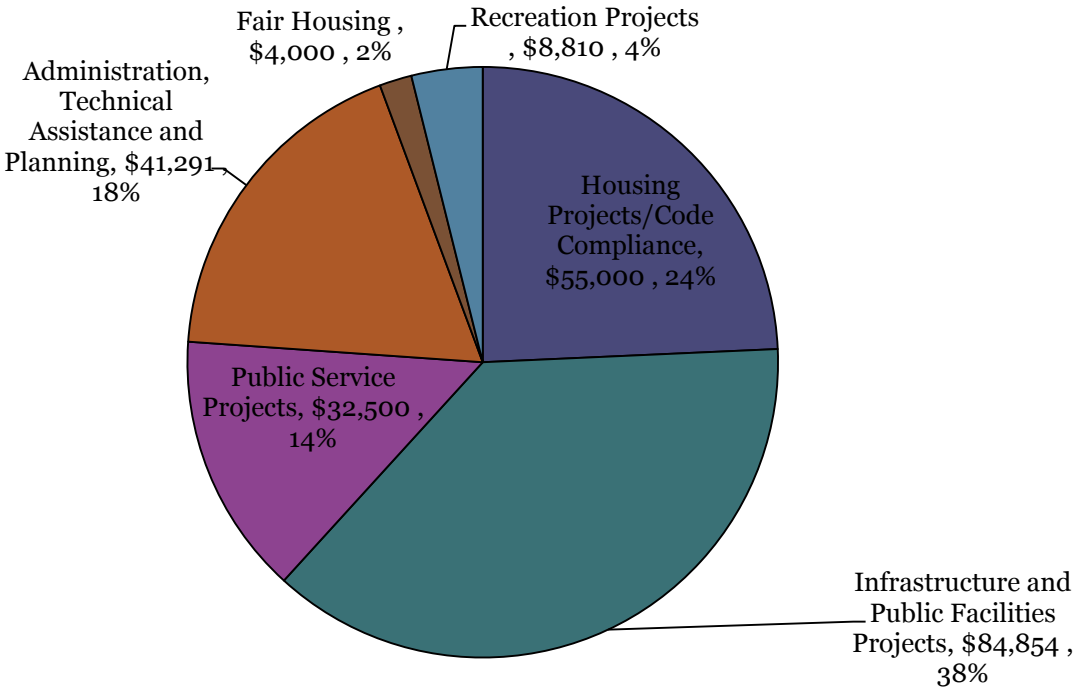
NOTE: Lake County CDBG public service formula calculated as 15% (the maximum allowable Lake County CDBG public service funds that can be used).

2014 Proposed CDBG Allocations for North Chicago

Available Sources of PY2014 CDBG Funds	
2014 CDBG Entitlement Grant	$226,455
CDBG Program Income	$0
Reprogrammed CDBG Funds	$0
TOTAL	**$226,455**

Proposed Allocation of Funds	
Housing Projects/Code Compliance	$55,000
Infrastructure and Public Facilities Projects	$84,854
Public Services Projects	$32,500
Administration, Technical Assistance, and Planning	$41,291
Fair Housing	$4,000
Recreation Projects	$8,810
TOTAL	**$226,455**

2014 North Chicago CDBG Program Funding Recommendations



2014 Proposed CDBG Allocations for North Chicago

Subrecipient	Goal	Recommended Award
Recreation		
Community Gardens	4.1.3	$8,810
		$8,810
Community Safety Equipment		
Fire Equipment Replacement	4.1.3	$30,000
		$30,000
Public Facilities Improvement		
Infrastructure Improvement	4.2.1	$54,854
		$54,854
Health Services		
Rosalind Franklin University	4.3.1	$5,500
		$5,500
Fair Housing Activities		
Prairie State	1.1	$4,000
		$4,000
Youth Services		
Passion Outreach	4.3.3	$6,000
		$6,000
Basic Needs Assistance		
I-PLUS	4.3.7	$5,000
Northern Illinois Food Bank	4.3.7	$8,000
PADS	4.3.7	$8,000
		$21,000
Real Estate Development		
Emergency Rehab	4.4.1	$25,000
Spot Rehab	4.4.1	$30,000
		$55,000
Planning & Administration		
General Administration	4.5.1	$41,291
		$41,291
		$226,455

NOTE: North Chicago CDBG administration formula calculated as 20% (the maximum allowable North Chicago CDBG administration funds that can be used).

NOTE: North Chicago CDBG public service formula calculated as 15% (the maximum allowable North Chicago CDBG public service funds that can be used).

2014 Proposed CDBG Allocations for Waukegan

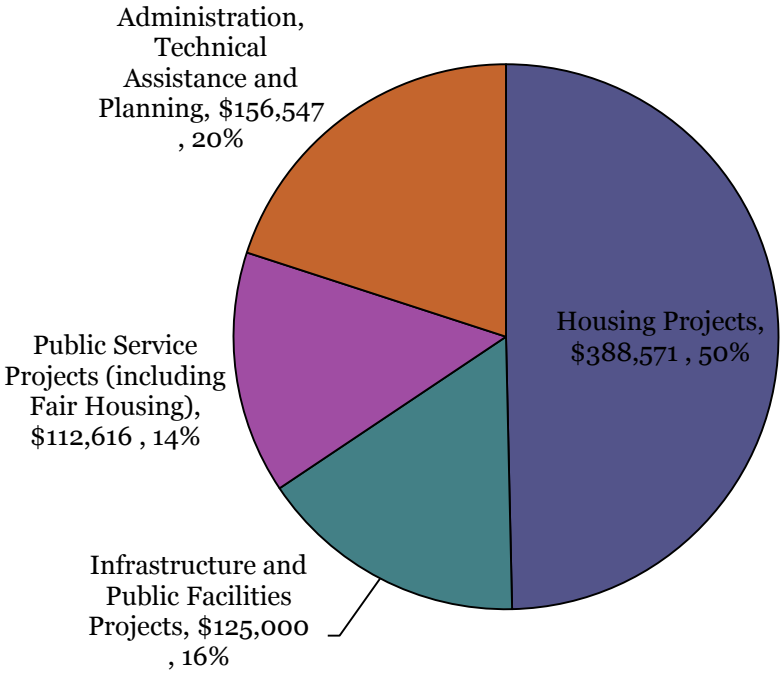
2014 Proposed CDBG Allocations for Waukegan	**$782,734**
Total Available Funds	
2014 Entitlement Grant	$782,734
Program Income	$0
Reprogrammed Funds	$0
Proposed Allocation of Funds	
Housing Projects/Code Compliance	$388,571
Infrastructure and Public Facilities Projects	$125,000
Public Service Projects	$112,616
Administration, Technical Assistance and Planning	$156,547

Waukegan Activities

For the City of Waukegan, HUD has announced that $782,734 in Community Development Block Grant (CDBG) funds will be committed for the 2014 Program Year; Waukegan proposes to spend funds for 21 separate activities, including housing rehabilitation, code compliance, public services, public facility improvements, fair housing activities, and administration. These funds are proposed to be allocated as follows:

- $338,571 for substantial emergency rehabilitation of owner-occupied housing and code enforcement activities;
- $125,000 for one public facility project;
- $112,616 for 18 different agencies for public service activities to benefit at-risk youth, senior citizens, abused or neglected children, family and self-sufficiency programs, emergency food assistance, shelter the homeless and homeless prevention services, substance abuse programs, and ensure fair housing; and
- $156,547 for CDBG administration.

2014 Waukegan
CDBG Program Funding Recommendations



2014 Proposed CDBG Allocations for Waukegan

Subrecipient	Project Cost	Allocation
Public Service Projects		
A Safe Place/L.C. Crisis Center	$60,354.00	$6,000
CASA Lake County	$1,173,340.00	$6,000
Catholic Charities	$239,164.00	$6,000
Christ Church – ElderCARE	$122,619.00	$5,000
C. O. O. L. – Food Pantry	$267,790.00	$6,000
I-Plus – CO-RP	$296,724.00	$6,000
NICASA NFP - Family Advocacy	$293,535.00	$5,000
Northern Illinois Food Bank – Senior Food Boxes	$105,000.00	$5,000
PADS – The Family Center	$843,419.00	$6,000
Prairie State Legal Services – Disability Advocacy	$79,605.00	$5,000
Prairie State Legal Service - Fair Housing	$285,495.00	$8,000
Rosalind Franklin University Health System	$201,500.00	$5,000
UMMA - Urban Muslim Minority – Education Empowerment	$173,000.00	$5,000
Waukegan Police Department (COPP)	$95,000.00	$10,500
Waukegan Township – Home Sweet Home	$177,750.00	$10,500
Youth Conservation (YCC) – Youthbuild	$1,051,000.00	$5,808
Youthbuild L. C. - Youthbuild	$1,416,865.00	$5,808
Zacharias Sexual Assault (Was LaCASA) – Children's Advocacy	$139,366.00	$6,000
TOTAL	**$7,021,526.00**	**$112,616**
Housing Projects		
Code Enforcement Program	$134,614	$50,000
Housing Rehabilitation	$300,605	$338,571
TOTAL	**$435,219**	**$388,571**
Public Facilities Projects		
City of Waukegan Public Works – Sidewalks-	$410,000	$125,000
TOTAL	**$410,000**	**$125,000**
Administration, Technical Assistance & Planning		
Administration, Technical Assistance & Planning	$156,547	$156,547
TOTAL	**$156,547**	**$156,547**

Total 2014 Waukegan CDBG Grant	$782,784	$782,734
Program Income	$0	$0
Reprogrammed Funds	$0	$0
Total Funds Available for FY 2014	**$782,784**	**$782,734**

NOTE: Waukegan CDBG administration formula calculated as 20%; Waukegan CDBG public service formula calculated as 15% (each the maximum allowable use).

Citizen Participation and Annual Action Plan Approval Process

Annual Action Plan Approval Process

According to HUD Community Planning & Development Notice #CPD-13-010 issued December 13, 2013, "Any grantee with an FY 2014 program year start date during the period starting October 1, 2013, and ending August 16, 2014 or 60 days after HUD announcement of FY 2014 allocation amounts (whichever comes first), is advised not to submit its consolidated plan/action plan until the FY 2014 formula allocations have been announced."

As the Lake County Consortium's FY 2014 program year starts May 1, 2014, the Consortium is a HUD grantee affected by this revised procedure. The Notice continues, "An affected grantee may delay submission of its action plan to HUD until 60 days after the date allocations are announced, or until August 16, 2014 (whichever comes first)." Allocations were announced by HUD on March 19, 2014, so this Action Plan will be submitted within 60 days by May 19, 2014.

Individually, the Consortium Members prepared for the FY 2014 action plan approval process by starting the public approval process separately:

- The City of Waukegan approved allocations of its CDBG funding as presented in the *2014 Annual Action Plan* on December 2, 2013. At this meeting, there was opportunity for public input but none was provided.
- The North Chicago City Council presented the North Chicago CDBG component of the *2014 Annual Action Plan* and the North Chicago allocation of HOME funds on January 21, 2014. The North Chicago City Council approved allocations of its funding as presented in the *2014 Annual Action Plan* at its City Council Meeting on February 3, 2014. At this meeting, there was opportunity for public input but none was provided.
- The Lake County Community Development Commission (CDC) approved allocations of its Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) funding as presented in the *2014 Annual Action Plan* at its public meeting on January 15, 2014. (Appendix A contains public input.) Previously, initial public meetings were held by the CDC's application review committees on the following dates:
    - CDBG Public Infrastructure: December 2, 2013
    - ESG Homeless Assistance: December 2, 2013
    - CDBG Public Services: December 3, 2013

For HOME Investment Partnership (HOME) funding allocations, this 2014 Action Plan contains summary level actions in the planning stage. The initial public meeting at which detailed funding levels will be discussed is the CDC Affordable Housing application review committee on May 7, 2014 with a full public hearing at the CDC meeting on May 14, 2014. Detailed HOME funding levels will be included in a future amendment to this 2014 Action Plan.

Collectively, the Consortium Members held a final Public Hearing on the 2014 Annual Action Plan at the Community Development Commission meeting on April 9, 2014. This hearing was publicized through newspaper advertisements and mailed notices to various interested parties. Copies of the proposed *2014 Annual Action Plan* are made available at five libraries in different areas of the county, on the county's website, and were distributed to groups and individuals on request. They were also made available at the April 9th public hearing. The proposed *2014 Annual Action Plan* was available for a 30-day public comment period prior to submission of the *2014 Annual Action Plan* to HUD.

All applicants for funding were informed of the proposed allocations prior to the first Public Hearing. If there were any adjustments to the actual amount of funding received, specific project adjustments, as approved by the Community Development Commission's Executive Committee, were made, and affected applicants were directly notified by staff regarding both their original recommendation amounts and their final recommendation amounts.

The *2014 Annual Action Plan*, including Lake County's funding strategies, will be considered for approval by:

- the Lake County Community Development Commission on April 9, 2014;
- the Lake County Board's Health and Community Services Committee on May 6, 2014;
- the Lake County Board's Financial & Administrative Committee on May 7, 2014; and
- the Lake County Board on May 13, 2014.

Grant/Loan Application Process

Applicants were provided the opportunity to apply for funds using a standardized application process. A common housing application was used for HOME and local Lake County Affordable Housing Program Funds that meets federal requirements for evaluation and underwriting, and an RFP was issued for Lake County CDBG housing related funding. A consolidated application for public service requests was used for Lake County Consortium ESG, Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funding. A third application was available for Lake County public infrastructure improvements requests. Notices of funding availability were sent on multiple occasions to a broad-based mailing list, which includes previous grant applicants, funded sub-recipients, and others who have expressed interest in funding or community involvement in the past and publically noticed.

Applicant agencies had several opportunities to learn about the various grant programs, get tips and suggestions on completing the applications, and receive individualized technical assistance. A Consolidated Application Workshop (for public services and Emergency Solutions Grant projects) and a Program Outcomes Workshop were separately held for potential applicants. Presentations were provided by staff from Lake County and the Cities of North Chicago and Waukegan. Sessions were held as follows:

Consolidated (*Non-Housing*) Application Workshop	September 13, 2013
(*Non-Housing*) Program Outcomes Workshop	September 26, 2013
Housing Application Workshop	February 28, 2014

Similar technical assistance workshops, conducted by Lake County staff, were provided for applicants considering Lake County CDBG public infrastructure improvements on September 25, 2013. In addition, technical assistance was provided to applicants in person, over the telephone, and via email. Several applicants took advantage of these opportunities.

Applications for Lake County funding were reviewed by an Application Review Committee (ARC) comprised of members of the Community Development Commission. In North Chicago, applicants were required to make a presentation to the Citizen's Advisory Committee to be considered for funding. In Waukegan, applicants were required to make a presentation to a combined panel of CDBG staff and the Stakeholder Participation Panel.

Grant/Loan Allocation Process

The funding allocations described within this document are the result of a communitywide application process, yet individualized review processes. Grant and/or loan allocations for the countywide Lake County HOME, Lake County ESG funds, and for Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funds are determined by their respective review panel processes. They are the result of a communitywide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking.

The recommendation process for housing proposals includes factors related to *Consolidated Plan* priority level, project/program location relative to area median income, number of units proposed, project readiness and feasibility, applicant capacity, program design, loan versus grant requested, underwriting, compliance with local and HUD polices and timely expenditure of past grant funds. This ARC will make funding recommendations to the CDC Executive Committee. The proposed activities will be incorporated into the proposed *2014 Annual Action Plan* and made available for public review and comment.

The Lake County Community Development Commission established a rating system for Lake County's Community Development Block Grant (CDBG) Public Service applications and the countywide Emergency Solutions Grant (ESG) applications. These rating components and rating criteria were:

Community Impact	***Program Quality***	***Agency Capacity***
Community Need	Program Design	Past Performance
Lake County Impact	Staffing and Facilities	Fiscal Management
Outcomes		Sustainability

This rating system basically took into consideration the following major factors:

- the relationship between proposed activities and the needs, goals, and strategies identified in the Five-Year Consolidated Housing and Community Development Plan,
- the applicant's demonstrated capacity to carry out the proposed activity,
- the extent to which the proposed activity would benefit low and moderate-income persons,
- the activity's proposed outcomes,
- the amount of other resources that were committed to the proposed activity, and
- the effectiveness and quality of the proposed activity and the impact it would have on the local community.

In summary, the Community Development Commission recommended the PY2014 CDBG and ESG funded projects; the North Chicago Citizen's Advisory Committee recommended the PY2014 North Chicago CDBG projects; and the Waukegan Stakeholder Participation Panel recommended the PY2014 Waukegan CDBG projects.

Geographic Distribution

To the greatest extent practicable, project activities are designed to serve low and moderate-income residents throughout Lake County. The Cities of North Chicago and Waukegan target their resources within low-income areas of their respective entitlement jurisdictions. Lake County seeks to reach all low-income residents within the County, particularly emphasizing those areas outside of North Chicago and Waukegan. However, many activities funded by Lake County are open to low-income residents from anywhere in the County, such as public service activities. Housing activities such as the Homeowner Rehabilitation Program and the First-Time Homebuyers Program are available to residents countywide, although certain HOME-funded housing programs are limited geographically to residents of the Cities of Waukegan and North Chicago because of the status of these two cities in the HOME Consortium.

The selection criteria for new housing projects encourage the development of housing in areas where the average income exceeds the County median or where development is part of a larger community development plan or to serve special populations. For Lake County, the location of public infrastructure activities is determined by the needs of the particular communities applying for funds, but one of the principal rating factors for public infrastructure applications is the number and percentage of low and moderate-income beneficiaries in the area to be served by the project.

Other Resources

The specific sources of other financial resources are noted in the Financial Narrative section of each individual project. For Waukegan, the public facilities funds are leveraged by funds from the City budget, the Public Works budget or other funding sources including public and private investments. For North Chicago, public facilities funds are leveraged by matching fees.

For public services, economic development, and homeless activities, most frequently, agencies receive private dollars and United Way of Lake County support as partial leverage for the overall program cost.

Many other local agencies, such as township governments, contribute resources to help their residents through senior citizen, youth and homeless programs. Therefore, if social services needs can be met through other resources, that investment is acting as leverage for the social service system.

Program Income

For Lake County, any unallocated program income from the CDBG or HOME programs received prior to November 30, 2013, has already been included in the *2014 Annual Action Plan* budget, except for those monies in the CDBG housing rehab revolving loan fund account. The income from CDBG housing rehab loan repayments is deposited into a revolving loan fund account and is used by those programs for new loans at the earliest opportunity. At this time, the precise amount of revolving loan program income, which may become available for the housing rehab programs during the 2014 Program Year, is not known. Additional program income received after November 30, 2013 from activities other than rehab loans will be allocated to specific activities in the 2014 Program Year, unless there is a pressing need to amend the *2014 Action Plan* either to fund a new activity or to provide additional funding to an existing activity.

Waukegan's program income figure is an estimate of program income to be received during the program year from repayments on past awarded economic development loans, and housing rehabilitation loans and liens.

North Chicago's program income figure is generated from the repayment of revolving business, micro and housing rehabilitation loans. The income is reallocated to provide additional projects to CDBG eligible activities.

The following sections of the *2014 Annual Action Plan* detail the specific funding strategies and projects recommended for the 2014 Program Year. These projects are organized according to the five-year priority Goals previously identified in the *2010 - 2014 Housing and Community Development Consolidated Plan*. These projects are further subdivided for each HUD entitlement funded program for Lake County, North Chicago, and Waukegan.

Matching Contribution – HOME Investment Partnerships Program

The HOME Program requires the contribution of at least twenty-five percent (25%) of matching funds for all HOME activities excluding Administration, Planning, and CHDO Operating activities on the part of the PJ. Lake County requires matching contributions of subrecipients, subgrantees and developers, and pools sources of excess match accordingly. Locally, the County pairs Lake County Affordable Housing Program (AHP) funds with HOME investments or as stand-alone HOME-eligible projects, thereby generating an additional source of match. The County has also begun working with local municipalities and consortium partners in fee-waiver programming to generate match, and local banking institutions regarding match opportunities through REO acquisitions and other financing mechanisms banks can offer local development entities. County funds are regularly leveraged with other State of Illinois and private grants, and in 2014, the County is anticipates funding grants received by local entities from the State of Illinois Department of Commerce and Economic Opportunity, the State of Illinois Attorney General, and other private sources to generate program match. Local developers and program sponsors applied to these programs and are awaiting allocation decisions or have already been awarded funds and await agreements. In 2014, the County will begin working with all HOME recipients to more proactively

establish a match pool utilizing the resources of lager multi-layered finance projects and sources of volunteer labor and material donations.

Minority Outreach Program

The Lake County Consortium makes every effort to encourage the use of minority business and women enterprises in connection with HOME funded activities. All project sponsors must specify the outreach actions they will take to ensure the inclusion, to the maximum extent possible, of minority and women owned enterprises in all contracts. Lake County reviews the HOME funded project sponsor's efforts and success in soliciting MBE/WBE participation as part of the routine monitoring and reporting process.

Additionally, Lake County requires all contracted entities to adhere to equal opportunity employment requirements per HUD program rules and federal employment practices, and monitors all program participant and project tenancy activities to ensure that they are void of discriminatory practices.

During the 2014 Program Year, Lake County will actively develop a database of MBE/WBE and Section 3 entities including accessing the database of local PJ's including the Counties of Cook, McHenry, and DuPage and the City of Chicago and State of Illinois. Currently, the County will permit the designation of MBE/WBE and Section 3 based on the certification of these entities. In 2014, Lake County will develop a certification program similar to that of local partner counties for the purposes of designation as MBE/WBE or Section 3 in relation to HUD programming, and host workshops for entities interested in such designations, and entities that would use the contracting services of MBE/WBE and Section 3 entities.

Performance Measurement

The Performance Measurements for Lake County and the Cities of North Chicago and Waukegan are included in this document in each project's Table 3C. These measurements are based on the HUD Community Planning and Development Outcome Performance Measurement Framework, the Outcomes Measures guidance published in the Federal Register Notice dated March 7, 2006, and materials from the HUD sponsored Performance Measurement Training Sessions. The PY2014 projects and funded allocations, associated objectives and outcomes, and the proposed outcome indicators for Lake County and the Cities of North Chicago and Waukegan based on the following outcomes/objectives matrix:

	Outcome 1: Availability/Accessibility	Outcome 2: Affordability	Outcome 3: Sustainability
Objective #1 Suitable Living Environment	Enhance Suitable Living Environment Though Improved/New Accessibility	Enhance Suitable Living Environment Though Improved/New Affordability	Enhance Suitable Living Environment Though Improved/New Sustainability
Objective #2 Decent Housing	Create Decent Housing with Improved/New Availability	Create Decent Housing with Improved/New Affordability	Create Decent Housing with Improved/New Sustainability
Objective #3 Economic Opportunity	Provide Economic Opportunity Through Improved/New Accessibility	Provide Economic Opportunity Through Improved/New Affordability	Provide Economic Opportunity Through Improved/New Sustainability

Summary of ESG CoC Consultation Process

In Lake County, the Continuum of Care is housed within the Lake County Coalition for the Homeless (LCCH). Lake County Community Development staff serve on the Board of Directors for LCCH as required by the organization's by-laws. Lake County and LCCH share goals of ending chronic and family homelessness through strategies including permanent supportive housing and rapid rehousing. An example of strategy alignment is the proposed support of new rapid rehousing through both the CoC and ESG in the coming year. This was developed in response to the unmet need in Lake County, research on rapid rehousing as a proven strategy to end family homelessness and evaluation of past rapid rehousing efforts under HPRP.

Written Standards for Provision of ESG Assistance

Eligibility Evaluation Policy

Standard policies and procedures for evaluating individuals' and families' eligibility for assistance under ESG.

Each individual program is allowed to have general criteria by which they evaluate a potential participant's eligibility in an ESG-funded program. All such criteria must be reviewed and approved by the Homeless Assistance Application Review Committee of the Community Development Commission (CDC), and must contain the following:

- All program participants must have an initial consultation with a case manager or other authorized representative who can determine eligibility and the appropriate type of assistance needed.
- All program participants must have income at or below 30% of the Area Median Income (AMI). Income shall be determined on a prospective basis (not retrospective), and shall be certified using the Part 5 definition.
- A Staff Certification form (to be provided by Lake County) must be completed with each eligibility evaluation.

For persons who are considered "literally homeless," no additional eligibility criteria are necessary. The documentation requirements for such persons are as follows:

- Written observation by the outreach worker; <u>or</u>
- Written referral by another housing or service provider (including HMIS recorded referral); <u>or</u>
- Certification by the individual or head of household seeking assistance stating that (s)he was living on the streets or in a shelter.

- For individuals exiting an institution – one of the above forms of evidence <u>and:</u>
    - Discharge paperwork <u>or</u> written/oral referral, <u>or</u>
    - Written record of intake worker's due diligence to obtain above evidence <u>and</u> certification by individual that they exited institution

For persons who are considered at "imminent risk of homelessness," the following documentation is required:

- A court order resulting from an eviction action notifying the individual or family that they must leave; <u>or</u>

- For individual and families leaving a hotel or motel – evidence that they lack the financial resources to stay; or
- A documented and verified oral statement; and
    - Certification that no subsequent residence has been identified; and
    - Self-certification or other written documentation that the individual lacks the financial resources or support necessary to obtain permanent housing.

Finally, persons that are fleeing or attempting to flee domestic violence must have the following documentation:

- *For victim service providers:*
    - An oral statement by the individual or head of household seeking assistance which states: they are fleeing; they have no subsequent residence; and they lack resources. Statement must be documented by a self-certification or a certification by an intake worker.
- *For non-victim service providers (i.e., agencies who do not exclusively serve DV victims):*
    - Oral statement by the individual or head of household seeking assistance that they are fleeing. This statement is documented by a self-certification or by the caseworker. Where the safety of the individual or family is not jeopardized, the oral statement must be verified; and
    - Certification by the individual or head of household that no subsequent residence has been identified; and
    - Self-certification, or other written documentation, that the individual or family lacks the financial resources and support networks to obtain other permanent housing.

Lake County does not intend to serve many persons considered homeless under other Federal statutes at this time; however, the following documentation is required:

- Certification by the organization that the individual or head of household seeking assistance met the criteria for homelessness under another federal statute; and
- Certification that the individual or head of household had no permanent housing in the last 60 days; and
- Certification by the individual or head of household, and any available supporting documentation, that (s)he has moved two or more times in the past 60 days; and
- Documentation of special needs or at least two of the following barriers:
    - the lack of a high school degree or General Education Development (GED);
    - illiteracy;
    - low English proficiency;
    - a history of incarceration or detention for criminal activity;
    - a history of unstable employment

"At Risk of Homelessness"

For persons who are considered "at risk of homelessness," the following criteria apply:

- Program participants must not have sufficient resources or support networks, e.g., family, friends, faith-based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in the definition of homeless; and
    - Meets ONE of the following conditions:
        - Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance;
        - Is living in the home of another because of economic hardship;

- Has been notified in writing that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance;
- Lives in a hotel or motel and the cost of the hotel or motel stay is not paid by charitable organizations or by federal, State, or local government programs for low-income individuals;
- Lives in a single-room occupancy or efficiency apartment unit in which there reside more than two persons or lives in a larger housing unit in which there reside more than 1.5 people per room, as defined by the U.S. Census Bureau;
- Is exiting a publicly funded institution, or system of care (such as a health-care facility, a mental health facility, foster care or other youth facility, or correction program or institution); or
- Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the Lake County Consolidated Plan;

For persons who are considered "at risk of homelessness," the following documentation is required:

- A documented and verified oral statement from the program participant; and
- Certification that no subsequent residence has been identified; and
- Self-certification or other written documentation that the individual lacks the financial resources or support necessary to obtain permanent housing; and
- Self-certification or other written documentation that the individual meets one of the conditions listed.

Homeless Services Coordination Policy

Policies and procedures for coordination among emergency shelter providers, essential service providers, homelessness prevention and rapid re-housing assistance providers, other homeless assistance providers, and mainstream service and housing providers.

All ESG-funded programs must participate in the Lake County Homeless Management Information System (HMIS), commonly known as ServicePoint. Only programs that are specifically forbidden by other statutes or regulations (e.g., domestic violence victim service providers) may not participate.

All HMIS-participating agencies must collect and maintain common data fields as determined by the HMIS Administrator and HMIS Committee, considering all relevant regulations. These common practices will be to ensure services are coordinated among organizations – one client, one record.

Finally, all ESG-funded programs must send a representative to the meetings of the Lake County Coalition for the Homeless. Participation in at least one committee is also required. Failure to attend may result in penalties on future applications.

Prioritization Policies

Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families and individuals will receive rapid re-housing assistance.

Homelessness Prevention

Any household that may otherwise be eligible for prevention assistance under ESG must also meet the "but for" rule – that is, "Would this individual or family be homeless but for this assistance?"

The "but for" rule can be documented with the following:

- Certification by the individual or head of household that no subsequent residence has been identified; and
- Self-certification, or other written documentation, that the individual or family lacks the financial resources and support networks to obtain other permanent housing.

Furthermore, any household that qualifies for prevention assistance and meets the "but for" rule must also have the following qualifications:

- Have no more than three months of arrears; and
- Demonstrate an ability to sustain housing; and
- Demonstrate an ability to earn income; and
- Would remain in housing that is decent, safe, sanitary, and affordable.

If the household cannot meet the above qualifications, it is reasonable to infer that the household is in greater need than prevention assistance can provide for, and the household will be referred to a more appropriate program.

Rapid Re-Housing

Programs funded to do rapid rehousing in Lake County must use an assessment that identifies barriers to obtaining and maintaining housing. Programs will select participants based on this assessment by prioritizing the population where a rapid rehousing intervention will be most effective. This population will have barriers to housing but not significant barriers. Programs will continue to assess the match between intervention and target population as data is available.

Rent & Utility Cost Sharing Policy

Standards for determining the share of rent and utilities costs that each program participant must pay, if any, while receiving homelessness prevention or rapid re-housing assistance.

Each individual program is allowed to have general criteria by which they determine a program participant's share of rent and/or utilities in an ESG-funded program. All such criteria must be reviewed and approved by the Homeless Assistance Application Review Committee of the Community Development Commission (CDC). However, in no case shall a program participant's share of rent plus utilities exceed 30% of their income (income is calculated using the Part 5 definition).

Length of Participation Policy – Financial Assistance

Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time.

ESG funds will be limited to short-term rental assistance (up to three months) and payment of rental arrears (up to three months). Participants may only receive ESG prevention assistance once in 24-month period.

Length of Participation Policy – Housing Stabilization and/or Relocation Services

Standards for determining the type, amount, and duration of housing stabilization and/or relocation services to provide a program participant, including the limits, if any, on the homelessness prevention or rapid re-housing assistance that each program participant may receive, such as the maximum amount of assistance, maximum number of months the program

participants receives assistance; or the maximum number of times the program participants may receive assistance.

The amount and type of Housing Stabilization and/or Relocation Services to be provided to a particular program participant will be determined by the organization providing the assistance. However, the duration of such services shall not exceed six months.

Coordinated Assessment System

Lake County is piloting its coordinated assessment process with two initial strategies. 1. Improve the quality of centralized intake and referral. In Lake County, PADS is the primary point of entry for most of the homeless population. With state ESG funds, PADS is addressing the quality of intake data and referrals. 2. Mandate the use of an evidence-based assessment for entry to a rapid rehousing program to assure that the population and intervention are appropriately matched. Once these strategies are in process, LCCH will move to phase two of coordinated assessment.

In phase two, the CoC will continue to explore system integration across funding sources. Currently Lake County and representatives from the CoC are in discussions regarding the feasibility of implementing a 211 which would also serve the needs of the centralized, coordinated assessment. CoC representatives are in discussions with the Emergency Food and Shelter Program (EFSP) board regarding future collaboration. Phase two will explore the most promising strategies as a result of this relationship-building.

Process for Making Sub-awards

The process for making sub-awards is initiated by the County's release of a Notice of Funding Availability (NOFA) to all potential applicants.

Applications will be reviewed by an Application Review Committee (ARC) of the Community Development Commission. The ARC will consider all eligible applicants, and make recommendations to the full Commission based on a set of evaluation criteria. The Commission will approve the recommendations in concert with the Citizen Participation Plan. The County Board will then have final say on all funding awards. Those award recommendations are included in the Annual Action Plan.

Homeless Participation Requirement

The CoC has several formerly homeless persons as members, including on its Board of Directors. Lake County, by consulting the CoC, meets the standards of § 576.405(b).

Performance Standards

The CoC developed some general performance standards which, after evaluation, may be modified and adjusted as appropriate. The preliminary standards include:

- Diversion rate (percentage of persons who seek shelter that receive other assistance)
- Stabilization rate (percentage of persons who remain in permanent housing six months after receipt of assistance)
- Meeting other CoC Standards (as a result of increased HMIS resources)

Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities,

or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Summary of Specific Annual Objectives (Table 3A)

Lake County

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number
Availability of Decent Housing					
DH1	Fair Housing	CDBG	Households	100	
	Housing Rehabilitation	CDBG HOME	Units	15	
	Housing Construction	HOME	Units	4	
Affordability of Decent Housing					
DH2	Homebuyer Assistance	HOME	Units	10	
	Tenant Assistance	HOME	Units	10	
Availability of a Suitable Living Environment					
SL1	Emergency Shelter	ESG	Beds	213	
	Homelessness Prevention	ESG	Households	21	
	Rapid Rehousing	ESG	Households	10	
	Basic Food & Nutrition Needs	CDBG	Households	41,000	
	Basic Health Needs	CDBG	Households	3016	
	Access to Services	CDBG	Households	350	
	Security of Family & Social Stability	CDBG	Households	1965	
	Behavioral Health	CDBG	Households	2200	
Sustainability of a Suitable Living Environment					
SL3	Roadway Improvements	CDBG	Households	9102	
	Water Supply System Improvements	CDBG	Households	752	

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number
	Sanitary Sewer System Improvements	CDBG	Households	3661	
	Drainage Improvements	CDBG	Households	145	
	Availability of Economic Opportunities				
EO1	Security of Employment	CDBG	Persons	723	

North Chicago

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number
	Availability of Decent Housing				
DH1	Fair Housing	CDBG	Households	10	
	Housing Rehabilitation	CDBG HOME	Units	8	
	Availability of a Suitable Living Environment				
SL1	Recreation	CDBG	Units	6	
	Basic Needs Assistance	CDBG	Households	4187	

Waukegan

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number
	Availability of Decent Housing				
DH1	Fair Housing	CDBG	Households	25	
	Housing Rehabilitation	CDBG HOME	Units	15	
	Availability of a Suitable Living Environment				
SL1	Infrastructure Improvements	CDBG	Households (Census)	50,952	
	Youth Services	CDBG	Households	288	
	Senior Services	CDBG	Households	649	
	Basic Needs Assistance	CDBG	Households	25,637	

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number
	Community Safety – Crime Awareness	CDBG	Households	10,970	
	Public Service	CDBG	Households	28	
	Code Enforcement	CDBG	Households	7002	

Proposed 2014 Consortium Activities

Annual Affordable Housing Goals

Lake County continues to be committed to providing for safe, decent, and affordable housing in utilizing HOME, CDBG, ESG, and local Lake County Affordable Housing Program funds (LCAHP). Specifically, HOME and LCAHP funds are used to finance specific affordable housing programs and activities, while CDBG funds are currently used to support the administration of housing activities and some owner-occupied rehabilitation conducted by the Consortium partners, and ESG is used to support homeless activities including shelter, prevention, and coordination. As the County has not yet allocated 2014 program funds to specific housing activities under HOME, the affordable housing goals as presented in the Attached Table 3B that follows are subject to change and amendment based on the final substantial amendment to the Action Plan when specific HOME activities are determined. Currently, while the local market is trending towards increased rental activity, there is an estimate of higher activity under the category of owner housing goals as that is inclusive of owner occupied rehabilitation and regular acquisition, rehab, resale activities. Please note the ownership acquisition total reflects units that may be acquired, rehabilitated and resold so as to not duplicate total units. The Table 3B as presented was constructed based on estimates of activity allocations and previous projects completed during the last two program years. Lake County program funds historically leverage larger funding investments, thus a large capacity in total units of affordable housing. The annual goals reflect specific HOME and CDBG unit targets based on the designation of "County" units from subsidy layering review and underwriting.

Annual Housing Completion Goals (Table 3B)

TABLE 3B ANNUAL HOUSING COMPLETION GOALS					
ANNUAL AFFORDABLE RENTAL HOUSING GOALS (SEC. 215)	**Annual Expected Number Completed**	**Resources used during the period**			
		CDBG	**HOME**	**ESG**	**HOPWA**
Acquisition of existing units	5	☐	☑		☐
Production of new units	5	☐	☑		☐
Rehabilitation of existing units	3	☐	☑	☐	☐
Rental Assistance	10	☐	☐		☐
Total Sec. 215 Rental Goals	23	☐	☑	☐	☐

ANNUAL AFFORDABLE OWNER HOUSING GOALS (SEC. 215)					
Acquisition of existing units	5	☐	☑		
Production of new units	3	☐	☑		
Rehabilitation of existing units	20	☑	☑		
Homebuyer Assistance	10	☑	☐		☐
Total Sec. 215 Owner Goals	38	☑	☑	☐	☐
ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215)					
Homeless	233	☑	☐	☑	☐
Non-Homeless	63	☑	☑	☐	☐
Special Needs	5	☑	☑	☑	☐
Total Sec. 215 Affordable Housing	301	☑	☑	☑	☐
ANNUAL HOUSING GOALS					
Annual Rental Housing Goal	23	☐	☐	☐	☐
Annual Owner Housing Goal	38	☐	☐	☐	☐
Total Annual Housing Goal	61	☑	☑	☐	☐

For the purpose of identification of annual goals, an assisted household is one that will receive benefits through the investment of Federal funds, either alone or in conjunction with the investment of other public or private funds.

Homeless and Special Needs

Lake County continues to be committed to meeting the needs of its homeless and those with special needs. Through coordination with the Continuum of Care and Emergency Solutions Grant funding, Lake County continues to make progress on its goals to reduce and prevent homelessness.

Specific actions to reduce and end homelessness include outreach through Lake County's largest emergency shelter, PADS. PADS staff conducts outreach efforts for persons routinely sleeping on the streets and other places not meant for human habitation. By conducting this outreach, many more people feel comfortable in the shelter system. Our number of unsheltered homeless during point-in-time counts has remained relatively consistent over a number of years, but is always minimal - usually 1-3% of the total population. In order to meet the needs of homeless families with children, Lake County's largest shelter now has a fixed site shelter that only serves households with children. With ESG funds, Lake County supports a domestic violence shelter that specializes in providing bilingual and bicultural support

to Latina women and children. Through the creation of affordable housing units, Lake County continues to provide affordable long-term solutions to homelessness.

Homeless Prevention is a crucial activity funded through ESG funds. The ESG-funded Homeless Prevention programs coordinate with other funding sources, such as the State of Illinois' Rental Housing Support Program. Similar to project-based Section 8, it provides rental subsidies to eligible low-income persons. Homeless prevention activities target individuals and families who will be stabilized in their homes through short-term assistance.

Lake County engages many strategies to reduce and end homelessness. The specific annual activities are outlined in the 3Cs.

Affirmatively Marketing and Furthering Fair Housing

The Lake County Consortium will affirmatively further fair housing practices in Lake County by supporting awareness of fair housing laws and ensuring that persons experiencing housing discrimination will have their complaints successfully mediated or filed with HUD. In addition to the utilization of CDBG funds to provide for fair housing related activities as described in this Action Plan, the County maintains the responsibility of affirmative marketing for all housing projects of five-units or more under the HOME program. As such, the County currently requires the submission of an affirmative marketing plan from all recipients of HOME funds that plan to develop or assist five or more housing units at the time of contracting, and observes affirmative marketing efforts through documentation review and monitoring during the course of the project and long-term. All recipients of HOME funds are or will be encouraged to participate in and are made aware of fair housing workshops, testing, and legal services as contracted with the local fair housing provider, currently Prairie State Legal Services.

The County has nearly completed its Analysis of Impediments to Fair Housing Choice (AIFHC). With this AIFHC, the County has embarked on an outreach campaign with elected and appointed officials throughout the County, including co-hosting an educational meeting on Suburban Poverty with the Lake County Community Foundation. The County has updated the Fair Housing section of its website, held a series of three fair housing training by Prairie State Legal Services at is Community Development Commission meetings and proclaimed April 2014 as Lake County Fair Housing Month.

As a result of this Analysis, the County will continue to fund fair housing activities as per this Action Plan, and develop a County affirmative marketing plan resource and policy. Staff monitors current conditions as related to home-owner and tenant selection policies and procedures, accessibility, evaluation of marketing including marketing activities, marketing materials for print and web-based presentation, fair and equal housing efforts of the entity, and tenant documentation and leases as related to HOME-assisted projects.

Table 3C

Table 3C is a form required by HUD to describe the projects to be undertaken in any given program year. Lake County, Waukegan, and North Chicago have created a Table 3C for each individual project. They follow divided by Consolidated Plan Goal.

1.0 Consortium Housing Activities

HOME Funds

The Consortium is currently completing the 2014 Housing Application Round for HOME and CDBG housing funds, in addition to local Lake County Affordable Housing Program funds. Upon completion of the application round, the Action Plan will be substantially amended to include the Consortium recommended funding allocations. The estimates provided for specific types of activities in the Table 3C

documents of this submission reflect estimated totals based on previous programming and are not a mandate for the final funding allocations. Final allocations will be made based on the outcomes of the application process. All categories in which the County allocates HOME funds have demonstrated need.

1.1 - Fair Housing

- Prairie State Legal Services

Important Note

Lake County is committed to furthering fair housing. We are currently awaiting completion of an analysis of impediments to fair housing by a consultant. Upon its completion, action will be taken to overcome the impediments, which may result in amendments to this Annual Action Plan.

1.2 - Rehabilitation

- City of North Chicago
- City of Waukegan
- *Other programs or projects may be funded under HOME or CDBG; pending HOME/CDBG application round*

1.3 - New Construction

- *No projects yet funded in PY2014; pending HOME application round*

1.4 - Homebuyer Assistance

- *No programs yet funded in PY2014; pending HOME application round*

1.5 - Tenant-Based Rental Assistance

- *No programs yet funded in PY2014; pending HOME application round*

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	CHDO Operating
Activity:	Certified Community Housing Development Organization (CHDO) Operating
Description:	CHDO funds will be provided to support a portion of staff salaries related to the development of decent, safe and sanitary affordable housing in connection with HOME-assisted development projects funded or to be funded by the Lake County Consortium.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
To be determined pending allocations – throughout Lake County, Illinois

Objective Number DH1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Nonprofit	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 12/31/2015
Performance Indicator N/A	**Anticipated Units** N/A
Local ID TBD	**Actual Units** N/A

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$55,741.00		
Prior Year Funds			
Other CPD Funds			
Total	**$55,741.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	CHDO Reservation
Activity:	Certified Community Housing Development Organization (CHDO) Development Projects – Rehabilitation or New Construction of Rental or Homeowner Developments
Description:	CHDO Reservation funds will be allocated to certified CHDO organizations for the purposes of affordable development activities as eligible per the HOME Program.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
To be determined pending allocations – throughout Lake County, Illinois

Objective Number DH1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Nonprofit	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 4/30/2016
Performance Indicator Housing Units	**Anticipated Units** TBD
Local ID TBD	**Actual Units** TBD

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$167,954.00		
Prior Year Funds			
Other CPD Funds			
Total	**$167,954.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	Medium/High
Project:	Rehabilitation
Activity:	Rehabilitation of rental, homebuyer, or homeownership housing
Description:	Rehabilitation of rental, homebuyer, or homeownership housing units that may include the acquisition of structures to be rehabilitated for the purpose of housing HOME-eligible households earning at or below 80% of the area median income. These units may be sold or rented or already owned.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
To be determined pending allocations – throughout Lake County, Illinois

Objective Number DH1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Nonprofit/For-profit/Government	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 4/30/2016
Performance Indicator Housing Units	**Anticipated Units** TBD
Local ID TBD	**Actual Units** TBD

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$158,215.00		
Prior Year Funds			
Other CPD Funds			
Total	**$158,215.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Rehabilitation
Activity:	City of North Chicago – Owner Occupied Rehabilitation
Description:	Administered by the Department of Community Development and Planning and the Building and Health Department, the North Chicago program will use HOME funds to rehabilitate owner-occupied homes to ensure decent, safe, sanitary and code compliant housing, and said assistance is structured as a loan. All homes in the program will be occupied by low and very-low income households.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
Scattered sites throughout the City of North Chicago

Objective Number DH1	**Project ID** TBD
HUD Matrix Code 14H	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Government	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 4/30/2016
Performance Indicator Housing Units	**Anticipated Units** 3
Local ID TBD	**Actual Units** TBD

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$116,608.00		
Prior Year Funds			
Other CPD Funds			
Total	**$116,608.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3C

Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County

Priority Need: High

Project: Rehabilitation

Activity: City of Waukegan – Owner Occupied Rehabilitation

Description: City of Waukegan will provide assistance for the rehabilitation for owner-occupied one to four family homes to ensure the provision of decent, safe, and sanitary affordable ownership housing units for at least three eligible low-income Waukegan homeowners earning less than 80% of area median income. This assistance may come in the form of a deferred payment partially forgivable loan or a ten-year amortized loan dependent upon the borrower's specific rehabilitation needs and ability to pay. This is a grant-funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
Scattered sites throughout the City of Waukegan

Objective Number DH1	**Project ID** TBD
HUD Matrix Code 14H	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Government	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 4/30/2016
Performance Indicator Housing Units	**Anticipated Units** 3
Local ID TBD	**Actual Units** TBD

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$194,346.00		
Prior Year Funds			
Other CPD Funds			
Total	**$194,346.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	Medium/High
Project:	New Construction
Activity:	New construction of rental or homeownership housing
Description:	New construction of rental or homebuyer housing units that may include the acquisition of real property for the purposes of new construction for the purpose of housing HOME-eligible households earning at or below 80% of the area median income. These units may be sold or rented.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
To be determined pending allocations – throughout Lake County, Illinois

Objective Number DH1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Nonprofit/For-profit/Government	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 4/30/2016
Performance Indicator Housing Units	**Anticipated Units** TBD
Local ID TBD	**Actual Units** TBD

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	,		
HOME	$158,215.00		
Prior Year Funds			
Other CPD Funds			
Total	**$158,215.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	Medium
Project:	Homebuyer Assistance
Activity:	Homebuyer assistance for downpayment, closing cost, and sales price discount assistance direct to homebuyers
Description:	Provision of homebuyer assistance funds related to the purchase of decent, safe and sanitary affordable ownership housing units by HOME-eligible homebuyers in Lake County earning less than 80% of area median income.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
To be determined pending allocations – throughout Lake County, Illinois

Objective Number DH2	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Nonprofit/For-profit/Government	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 4/30/2016
Performance Indicator Housing Units	**Anticipated Units** TBD
Local ID TBD	**Actual Units** TBD

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$75,000.00		
Prior Year Funds			
Other CPD Funds			
Total	**$75,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	Medium
Project:	Tenant-Based Rental Assistance
Activity:	Security Deposit and Rental Assistance to eligible rental tenants.*
Description:	Provision of security deposits and rental assistance subsidy to eligible tenants, a majority of which are at 60% of AMI or less. TBRA programs may be structured to serve targeted populations or specific tenant groups or participation in certain self-sufficiency programs.* *if rental assistance is to be funded, the appropriate Action Plan and Consolidated Plan amendments will be completed. Currently, TBRA is only inclusive of security deposits.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
To be determined pending allocations – throughout Lake County, Illinois

Objective Number DH2	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Nonprofit/For-profit/Government	**National Objective** LMH
Start Date 7/1/2014	**Completion Date** 4/30/2016
Performance Indicator Housing Units	**Anticipated Units** TBD
Local ID TBD	**Actual Units** TBD

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$75,000.00		
Prior Year Funds			
Other CPD Funds			
Total	**$75,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Fair Housing
Activity:	Prairie State Legal Services – Fair Housing
Description:	The Fair Housing Program provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County. Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination. 2014 Lake County CDBG: $70,000; North Chicago CDBG: $4,000; Waukegan CDBG: $8,000

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Lake County, City of North Chicago, City of Waukegan

Objective Number DH1	**Project ID** TBD
HUD Matrix Code 21D and 05J	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Annual Units** 150
Local ID TBD	**Units Upon Completion**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$82,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$82,000**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Rehabilitation
Activity:	TBD- Rehabilitation Program
Description:	Lake County currently has an RFQ out to housing providers that will be able to administer an owner occupied housing rehabilitation program. The program will provide for deferred loans up to pre determined amount for repairs of major structural and critical system defects in owner-occupied single-family homes for low/moderate income residents.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Scattered sites throughout Lake County;

Objective Number DH1	**Project ID** TBD
HUD Matrix Code 14H	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-profit	**National Objective** LMH
Start Date 5/1/2014	**Completion Date**
Performance Indicator Housing Units	**Anticipated Units** 10
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$164,867		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$164,867**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	City of North Chicago
Priority Need:	High
Project:	Rehabilitation
Activity:	City of North Chicago Spot Rehabilitation
Description:	The program will provide for deferred loans up to $10,000 for spot repairs of major structural and critical system defects in owner-occupied single-family homes for low/moderate income residents.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:
Citywide

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 14A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Non-profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Housing Units	**Anticipated Units** 2
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$25,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$25,000**		

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	Housing Rehabilitation Program
Activity:	Homeowner Rehabilitation Program
Description:	Provide up to $40,000 per property for substantial rehabilitation, and individual project cost for emergency rehabilitation, for owner-occupied one to four unit properties owned by income-eligible households. Exterior violations cited by Code Compliance to be treated as emergency housing rehabilitation. Housing Rehabilitation – imputed individually per rehab

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 100 N. Martin Luther King, Jr. Avenue
(City, State, Zip Code) Waukegan, IL 60085

Objective Number	**Project ID**
SL1	TBD
HUD Matrix Code	**CDBG Citation**
14A	24 CFR 570.201(a)(2)
Type of Recipient	**National Objective**
Non-Profit	LMC
Start Date	**Completion Date**
5/1/2014	4/30/2015
Performance Indicator	**Anticipated Units**
Households	8
Local ID	**Actual Units**
14.14.13.13	8

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$338,571		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	$338,571		

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Other Housing-Related Activities

Other Housing-Related Activities that occur in Lake County and the Cities of North Chicago and Waukegan (including Public Housing Resident Initiatives, Strategies to Address Affordable Housing Barriers, Lead-based Paint Hazard Mitigation Strategy, and Fostering Decent Housing) that are not specifically related to a CDBG, HOME, SHP or ESG funded project through the Lake County Consortium, are identified below:

Barriers and Strategies to Address Affordable Housing Barriers

Affordable Housing Barriers:
Lake County is home to areas of both high affluence and poverty. As such, high property taxes and high land and development costs including permitting and impact fees are regular barriers to affordable housing development. These costs continue to rise, and present a challenge to cash flow in long-term rental projects, both in the scattered site and large-scale multi-family market. Local zoning jurisdiction also contributes to the challenge of housing development, as some municipalities allow for rental and special housing uses by definition or right in residential districts, while others require special or conditional uses that are in some cases to restrictive to ensure that developments can support periods of affordability or long-term uses. Housing costs are also high, thereby increasing the cost of acquisition rehabilitation, and amounts of assistance necessary in order for homeowners to be successful, especially as the programs are used to integrate affordable housing into other-wise high cost areas. Individual development capacity of entities wishing to conduct affordable housing programs and development also varies amongst local and regional partners.

Strategies:
The County and Consortium partners continue to work with local municipalities to understand the importance and benefits of affordable housing development and programming, and benefits of municipalities working with development partners to reduce fees and even provide for land in the current economy and housing market. Currently, nine-teen (19) municipalities in Lake County are designated non-exempt communities under the Illinois Affordable Housing Planning and Appeals Act. All parties work with these communities and others to continue increases in development capacity as it relates to fees and zoning, including assisting developers and program operators in working with staff and elected officials. In addition to the County utilizing general budget funds in the form of the Lake County Affordable Housing Program (LCAHP) to supplement HUD programming and increase leveraging opportunities for affordable housing development, the County also has an active Affordable Housing Commission and Housing Coalition to increase awareness and call attention to beneficial financing opportunities, education, and legislation. In 2014, LCAHP funds will total $300,000.00, while the Commission and Coalition have been actively working to secure the creation of a Lake County Housing Trust Fund through State legislative opportunities. After completion of the County's Analysis of Impediments (AI), staff and Consortium partners will evaluate other conditions as presented in the Analysis and act on the recommendations of the AI Action Plan as they impact affordable and fair housing conditions, especially as related to zoning. County staff provides direct or HUD-assisted technical assistance to local entities interested in participating in affordable housing programming and development, and in 2014 will work to identify more training opportunities and resources both internally and externally to assist program and developer entities in increasing capacity. Additionally, staff has implemented new underwriting and layering review protocols through a new application process that will assist in determining the best utilization of HUD and local funds in HOME projects for the purposes of leveraging, gap financing, and cash flow. This includes a more detailed assessment of multi-family and scattered site rental development approaches to net a more varied base or rental income, and increase other cash flow opportunities. Staff is also working to develop a sound model for lease-purchase programming to alleviate some of the barriers in the high-cost homebuyer market in the County.

Lead-based Paint Hazard Mitigation Strategy

Lake County and the Cities of North Chicago and Waukegan will carry out the following strategy aimed at reducing lead-based paint hazards:

1. Continue to implement the HUD regulations on lead-based paint hazards that became effective for the Consortium on January 10, 2002. The following steps will be taken in connection with the implementation of these regulations, which will apply to all CDBG and HOME-funded housing rehabilitation and homebuyer programs involving housing constructed prior to 1978:
    A. Housing Rehabilitation Programs
        - Find qualified contractors.
        - Provide additional financial assistance for lead-based paint treatment in addition to that normally permitted for rehab loans.
        - Paint testing and risk assessment by certified inspectors.
        - Lead hazard reduction carried out by certified contractors.
        - Safe work practices, including occupant protection (possibly temporary relocation), worksite preparation and cleanup.
        - Clearance testing.
    B. First-Time Homebuyers Program
        - Utilize only trained inspectors to do visual assessments, or have program Rehab Specialist do assessments.
        - Provide list of certified contractors to sellers/buyers where paint stabilization is required.
        - Paint stabilization by certified contractor before unit is occupied. Additional safeguards to reduce the risk of lead dust.
        - Safe work practices (same as those listed above).
        - Clearance testing.
2. Provide all applicants for housing rehab loans and first-time homebuyer assistance with information about the dangers of lead poisoning.
3. Work with the County Health Department to develop a system to ensure that, in cases where the existence of defective paint surfaces in homes with children under 7 years of age have been discovered, lead screening of such children is performed and environmental inspections are done where appropriate.
4. Utilize the resources of the County Health Department for the following services:
        - Lead screening for children. State law now requires children between 6 months and 6 years of age in high-risk areas to have a blood test for lead poisoning before entering a childcare facility or school. (The only high-risk area identified in Lake County is Zip Code 60040 in Highwood).
        - Environmental inspections of the homes of children with elevated blood level of 20 mcg/dl or higher.
        - Information and consultations for families of other children with elevated blood lead levels, in accordance with State law.
        - Educational programs.
5. In cases where lead-based paint hazards are discovered as a result of Health Department inspections, the County and Cities will, depending on the availability of funds, offer to use CDBG or HOME rehab funds for abatement in those cases where families meet the HUD income qualifications.

Annual Goal: The number of units that are expected to be evaluated and possibly receive mitigation measures for the reduction of lead-based paint hazards is 20.

Fostering and Maintaining Affordable Housing

Lake County and the Cities of North Chicago and Waukegan, through their CDBG and HOME funded programs, fund numerous housing activities that are designed to meet the housing goals of the *2010 – 2014 Housing and Community Development Consolidated Plan*. These housing programs are specifically designed to foster decent, safe, and sanitary housing for Lake County residents. Consortium funded housing activities for each of these three entitlement communities are described in Section 1.0 of this Plan.

In addition to the financing of housing programs and projects and annual needs evaluation processes, Lake County also regularly monitors housing programs and projects to ensure long-term compliance and viability both in the scope of financials and physical assets. In 2014, the County is introducing a capital needs assessment component to the application process, and will also incorporate this evaluation tool as part of long-term monitoring initiatives to compliment physical inspections and to proactively assist developers in understanding the importance of anticipated physical maintenance costs as related to the overall health of rental and homebuyer development projects. Additionally, in 2014 the County will debut an enhanced annual monitoring process for compliance evaluation of rental projects that reviews the cash flow and budgets of portfolio developments to ensure that projects are not only meeting periods of affordability, but also maintained as affordable housing stock on a permanent basis in the County. Further, County staff regularly works with owners of projects in the portfolio to maintain assets in manners such as project refinancing of existing County loans. Finally, the County's new application process affords the opportunity for improved project analysis to ensure program loans or development projects are sufficient to sustain lower rents or required mortgage payments long-term.

2.0 Consortium Homeless Activities

2.1 – Emergency Shelter

- A Safe Place – Emergency Shelter
- Lake County Haven – Transitional Shelter
- Most Blessed Trinity – House of Peace
- PADS Crisis Services – Emergency Shelter

2.2 – Permanent Supportive Housing

- *No projects funded in PY2014*

2.3 – Rapid Re-Housing

- Catholic Charities—Rapid Rehousing

2.4 – Transitional Housing

- *No projects funded in PY2014*

2.5 – Homelessness Prevention & Outreach

- Catholic Charities—Homeless Prevention
- Prairie State Legal Services – Housing and Homeless Legal Services Project

2.6 – Supportive Services for the Homeless

- *No projects funded in PY2014*

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Emergency Shelter
Activity:	A Safe Place – Emergency Shelter Program
Description:	Provides emergency shelter for homeless women and children fleeing domestic violence via a 35-bed emergency shelter. Shelter is provided for up to six weeks. In addition to shelter, the program provides a 24-hour help line, individual & group counseling, case management, non-legal advocacy and referrals, court advocacy for victims, and a children's counseling program. ESG funds are awarded based on the presumed benefit category for homeless persons, and will be used for non-staff operations.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Address Suppressed

Objective Number SL1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC (Presumed Benefit)
Start Date 5/1/2015	**Completion Date**
Performance Indicator Beds	**Annual Units** 35
Local ID TBD	**Units Upon Completion**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	**$19,037**		
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$19,037**		

Financial Narrative:
Project has sufficient leverage funds for 50% match requirement.

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Emergency Shelter
Activity:	Lake County Haven – Emergency Shelter
Description:	Provides emergency shelter for homeless women and children via a 4-bedroom home located in Libertyville, and works to empower homeless women and their children to achieve permanent independent living. Women are taught to live independently through case management, education, therapy, relapse prevention and strong support. ESG funds are awarded based on the presumed benefit category for homeless persons and are designated for non-staff operational costs.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Address Suppressed

Objective Number SL1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC (Presumed Benefit)
Start Date 5/1/2014	**Completion Date**
Performance Indicator Beds	**Anticipated Units** 10
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	**$23,796**		
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$23,796**		

Financial Narrative:
Project has sufficient leverage funds for 50% match requirement.

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Emergency Shelter
Activity:	Most Blessed Trinity – House of Peace
Description:	Provides shelter, up to 6 months, in which survivors of domestic violence receive the education, tools and support they need to move on to independent living free of violence. The House is able to serve six families at a time an maintains a waiting list of families. ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for operations.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Address Suppressed

Objective Number SL1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC (Presumed Benefit)
Start Date 5/1/2014	**Completion Date**
Performance Indicator Beds	**Anticipated Units** 8
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	**$11,003**		
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$11,003**		

Financial Narrative:
Project has sufficient leverage funds for 50% match requirement.

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Emergency Shelter
Activity:	PADS Lake County – PADS Emergency Shelter
Description:	Provides emergency shelter and supportive services to homeless individuals, including families with children, living in Lake County. This is done through a fixed-site, year-round emergency shelter, a daytime drop-in facility, and 14 rotating site shelters located in area churches from October 1 through April 30. ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for operations.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Scattered sites throughout Lake County

Objective Number SL1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC (Presumed Benefit)
Start Date 5/1/2014	**Completion Date**
Performance Indicator Beds	**Anticipated Units** 160
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	**$47,592**		
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$47,592**		

Financial Narrative:
Project has sufficient leverage funds for 50% match requirement.

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Homelessness Prevention & Outreach
Activity:	Catholic Charities – Homeless Prevention
Description:	Provides short and medium-term rental assistance (including rental arrears), utility assistance (including utility arrears) and housing stabilization case management services to families and individuals at risk of becoming homeless. ESG funds are awards based on qualifying households as at-risk and low/moderate income. Funds will be used for direct assistance to clients and service costs.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
671 S. Lewis Ave.
Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Households	**Anticipated Units** 9
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	**$28,504**		
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$28,504**		

Financial Narrative:
Project has sufficient leverage funds for 50% match requirement.

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Homelessness Prevention & Outreach
Activity:	Prairie State Legal Services – Housing and Homeless Legal Services Project
Description:	Provides legal advice and representation to low-income residents at risk of homelessness. Services are focused on addressing barriers to housing including the denial of permanent affordable housing. ESG funds are designated for occupancy and staff salaries related to homelessness prevention.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
300 W. Washington Street, #100
Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Households	**Anticipated Units** 12
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	**$16,458**		
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$16,458**		

Financial Narrative:
Project has sufficient leverage funds for 50% match requirement.

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Rapid Rehousing
Activity:	Catholic Charities – Rapid Rehousing
Description:	Provides short or medium term rental assistance and case management services to homeless families. ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for direct assistance to clients and associated service costs.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
671 S. Lewis Ave.
Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code N/A	**CDBG Citation** 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC (Presumed Benefit)
Start Date 5/1/2015	**Completion Date**
Performance Indicator Households	**Anticipated Units** 10
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG	**$36,000**		
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$36,000**		

Financial Narrative:
Project has sufficient leverage funds for 50% match requirement.

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

3.0 Lake County Community Development Activities

3.1 – Public Infrastructure Improvements

3.1.1 – Roadway Improvements

- Antioch Township
- Beach Park
- Fox Lake
- Hainesville
- Highwood
- Island Lake
- Lakemoor
- Park City
- Round Lake Beach
- Round Lake Heights
- Wauconda Township
- Wauconda
- Waukegan Township
- Zion

3.1.2 – Water Supply System Improvements

- *No projects funded in PY2014*

3.1.3 – Sanitary Sewer System Improvements

- Mundelein
- Round Lake Park

3.1.4 – Drainage System Improvements

- Grant Township
- Round Lake

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Antioch Township
Description:	Funds for roadway improvements on Maple Ave (IL 173 to Spring Grove Rd) and Spring Grove Rd (IL 173 to end) in block 8608051. Beachview Dr (Parkview Dr to IL 173), Parkview Dr (Beachview Dr to IL 173), Riverview Dr (Parkview Dr to Lotus Ave), Hillside Ave (Grass Lake Rd to end), Channel Ave (Hillside Ave to end), Alexander Ave (Channel Ave to end), Springwell Ave (Grass Lake Rd to end), Willow Ln (Springwell Ave to end), Shore Ave (Springwell Ave to end), and Lake Rd (Springwell Ave to Grass Lake Rd) in block 8608081 in unincorporated Antioch Township. The improvement process consists of pulverizing (inplace recycling) the existing asphalt pavement to be used as base for the new road. The pulverized material is graded and compacted to a sufficient cross section for proper drainage. New asphalt binder and surface courses will be applied over the recycled base.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8608.05, 8608.08/ Antioch Township

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1186 (448 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	298,400		
Total	**383,400**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Beach Park
Description:	Drainage improvements and resurfacing of various streets within census tract 8606.00 block group 2 and census tract 8618.03 block group 1. The streets to be resurfaced are Pickford Ave from Holdridge Ave east to its dead end and from Garnett Ave west to its dead end, Garnett Ave from Talmadge Ave to Pickford Ave, and Cornell Rd from Eastwood Rd north to its dead end. These roadways have reached the end of their life cycle and are in need of resurfacing. These streets have received the lowest rating for condition within those areas eligible for CDBG funding in the Village according to the Village's 2012 Road Pavement Analysis Study.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8606.00, 8616.03/ Beach Park

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1612 (608 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	84,830		
Total	**169,830**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Fox Lake
Description:	The improvements of Livingston Street (approximately 1,500-feet from Kingston Blvd. to its southern terminus) include milling 2 1/4-inches of the existing roadway, adjusting of existing utility structures in the roadway, 3/4-inches of leveling binder, 1 1/2-inches of hot-mix asphalt surface, and driveway approach pavement removal and replacements where applicable. The planned improvements also include pavement patching in the neighborhood adjacent to Livingston Street (within Lake County's CDBG tract limits) including milling/removing 4-inches of the existing pavement and patching with hot-mix asphalt binder and surface course to the original elevation of the pavement. Restoration of approximately 1,800-feet of roadway is planned.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8609.04/ Fox Lake

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 299 (135 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	44,600		
Total	**129,600**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Hainesville
Description:	The Village will be rehabilitating 1.9 miles of Village owned public roads as part of the final phase of a 5-year pavement maintenance program. Rehabilitation will consist of milling the existing asphalt surface, patching areas of deteriorated base, and then resurfacing with 1.5" (min.) of asphalt. The work will take place entirely in the Cranberry Lake Subdivision. The streets in this subdivision are 12-14 years old and are in need of repair.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8614.04/ Hainesville

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 2831 (1557 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	365,000		
Total	**450,000**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	City of Highwood
Description:	Infrastructure rehabilitation will be performed on approximately 2,000-feet of roadway in the City of Highwood to replace existing water main, sanitary sewer, storm sewer and roadway pavement. The rehabilitation will be completed on a local collector roadway, Highwood Avenue from Western Avenue to Everts Place [limits are budget permitting], which can provide the most benefit to low/moderate income residents. The infrastructures condition is poor and in much need of replacement. The infrastructure rehabilitation will consist of water main replacement, sanitary sewer lining, storm sewer replacement [several storm sewers have collapsed recently] and hot-mix asphalt pavement reconstruction including replacing existing curb and gutter and adjusting or replacing utility structures. Sidewalk repairs including sidewalk ramp replacement for compliance with current ADA requirements will greatly improve safety for pedestrians along this local collector roadway

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 86520.02 / Highwood

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 2249 (1052 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	1,695,000		
Total	**1,780,000**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Island Lake
Description:	The Village of Island Lake will replace 3300 linear feet of failing roadway to directly serve 59 homes located on Northern Court, Northern Terrace, Park Drive, Elm Avenue, and Poplar Drive. Included in the project will be milling and replacement of approximately 7,333 square yards of asphalt surface along with installation of approximately 250 feet of concrete curb and gutter in various areas to channel storm water runoff and reduce erosion.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8642.03/ Island Lake

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 88 (49 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	68,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	135,600		
Total	**203,600**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Lakemoor
Description:	Funds are being requested for the replacement of failing and substandard roadways in the Ports of Sullivan Lake subdivision. The subdivision includes approximately 240 homes. The street surfaces are of substandard thickness. All roadway surfaces in the project area will tie milled down to the gravel base course and replaced with a binder course and surface course of hot mix asphalt.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:
CT 8609.04/ Lakemoor

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 600 (549 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	72,080		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	33,920		
Total	**106,000**		

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	City of Park City
Description:	The proposed project consists of the rehabilitation of Seventh Street between Knight Avenue and Birch Avenue. The rehabilitation consists of the removal of the existing pavement surface and the repair of any deficient areas of the pavement base course followed by the resurfacing of the pavement with new Hot Mix Asphalt (HMA). Other work includes replacing defective sidewalk and curb and gutter and making stormwater drainage improvements.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8626.05/ Round Lake Beach

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1062 (906 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	42,000		
Total	**127,000**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Round Lake Beach
Description:	The Village will resurface existing streets in the Duell's Shorewood Subdivision. Streets that lie outside of the mapped floodplain will be rehabilitated utilizing in-place recycling of the existing bituminous asphalt street and base course and placing two (2) inches of new asphalt binder and asphalt surface, respectively. Streets that lie within the mapped floodplain will be rehabilitated by milling the existing asphalt surface, patching areas of deteriorated base, and resurfacing with two (2) inches of new asphalt surface. Work will include the replacement of existing driveway aprons and improvement of pavement slope to improve drainage in the area.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8612.01/ Round Lake Beach

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 387 (159 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	220,500		
Total	**305,500**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Round Lake Heights
Description:	This project shall consist of roadway and drainage improvements on S. Cedardwood Circle and East and West Cedarwood Circle from Turkey Run Trail to S. Summit Drive a total distance 2050 feet. The improvements will consist of storm sewer, combination concrete curb and gutter, surface removal, patching, leveling binder, surface course and aggregate shoulders.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:
CT 8613.01/ Round Lake Heights

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 226 (100 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	95,000		
Total	**180,000**		

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Wauconda Township
Description:	Funds are for the replacement of failing roadways in the Wellsmere Subdivision in Wauconda Township. Approximately 96 homes are located in the project area. The surface of approximately 4000 lineal feet of roadway will be milled and removed, the subgrade will be patched as required, and the roadway will be resurfaced with Hot Mix Asphalt.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8642.05/ Wauconda Township

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 112 (40 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	72,250		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	157,150		
Total	**229,400**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Village of Wauconda
Description:	Roadway improvements including grinding and overlaying, spot curb and sidewalk replacement, driveway and parkway restoration along the following roadways within the Village of Wauconda. Wethington Drive -- IL Rt 176 to Termini Brett Circle -- Wethington to Termini

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8642.06/ Wauconda

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 372 (150 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	107,985		
Total	**192,985**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	Waukegan Township
Description:	Funds for roadway improvements on Adelphi Ave (Crescent Ave to Southview Ave), Grandmore Ave (off Adelphi Ave; Northern Ave to dead end), Southview Ave (village limits to Adelphi Ave; Frolic Ave to dead end), Frolic Ave (Crescent Ave to Grand more Ave), and Duggald Ave (Adelphi Ave to dead end) in unincorporated Waukegan Township. The improvement process consists of overlaying the existing asphalt pavement with a new asphalt surface course for a safer and more efficient driving surface.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8619.02/ Waukegan Township

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 2195 (1609 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	36,550		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	37,178		
Total	**73,728**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: ROADWAY IMPROVEMENTS
Activity:	City of Zion
Description:	Project intends to resurface 6 blocks of a residential street. This public roadway improvement will include: cold milling 4 inches of existing bituminous surface courses, replacing it with 2-1/2 inches of bituminous binder course and 1-1/2 inches of bituminous surface course, also any deficient aggregate base courses will be removed and replaced. There is going to be structure adjustments, removal and replacement of the following defective items: curb and gutter including landscaping, public walk and ramps, also new pavement markings will be installed to provide for safer pedestrian and vehicular traffic. The 6 blocks proposed for resurfacing are approximately 3,829 L.F.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:
CT 86050.04 / Zion

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1457 (1001 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	230,000		
Total	**315,000**		

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: SANITARY SEWER SYSTEM IMPROVEMENTS
Activity:	Village of Mundelein
Description:	The Village of Mundelein will rehabilitate several sections of sanitary sewer main that services an area of the Village that has routinely surcharged during even moderate rainfall events. In total, 467 single family units will be positively impacted by this project. Funding will be used to complete system cleaning, sewer lining, and manhole rehabilitation. The project consists of rehabilitation of five (5) sanitary manholes within the US Route 45 (Lake St.) pavement, as well as lining approximately 1,000 linear feet of sanitary sewer throughout the Lakewood Heights and Diamond Lake Subdivisions.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8640.02/ Mundelein

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03J	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1870 (999 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	759,000		
Total	**844,000**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: SANITARY SEWER SYSTEM IMPROVEMENTS
Activity:	Village of Round Lake Park
Description:	The 2014 project consists of Sanitary Sewer Improvements. The project is a continuation of our current multi-year rehabilitation program that is designed to address inflow and infiltration of water and structural deficiencies throughout the entire sanitary sewer system. Specifically the project consists of sanitary sewer relining and main line structural repairs. The location of the project will include Highmoor Drive from Pineview Drive to Midland Drive (2,500'), and Bellevue Drive from Pineview Drive to Midland Drive (2,500'). Total sewer line rehabilitation work is approximately 5,000 linear feet.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8614.04/ Round Lake Park

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03J	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 2925 (1623 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	53,000		
Total	**138,000**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: DRAINAGE SYSTEM IMPROVEMENTS
Activity:	Grant Township
Description:	**Project Area One** will replace the storm sewer system at the northern tip of Stanton Point Road to address drainage and flooding problems as a result of a collapsed storm sewer underneath the road that is causing flooding and property damage. **Project Area Two** is the centralized location for buses to pickup and drop-off children within the subdivision. This area will include a new storm sewer system to assure that flooding will not occur where children will be waiting in the drop-off area for their bus. Roadway reconstruction will widen the roadways to 20' edge to edge and have a curb installed to help channelize runoff to the new storm sewer system. **Project Area Three** will rehabilitate Highpoint Road from Ash Street to Wilson Road. Highpoint Road currently has aging, deteriorating pavement and an incomplete drainage system.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:
CT 8609.03, 8609.05/ Grant Township

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03I	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 162 (58 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	295,395		
Total	**380,395**		

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC FACILITIES: DRAINAGE SYSTEM IMPROVEMENTS
Activity:	Village of Round Lake
Description:	Improvements and upgrades to existing infrastructure will occur on approximately 450-feet of Lakewood Terrace in the Village of Round Lake. These include replacement of existing water main, installation of storm sewer and roadway pavement rehabilitation [reconstruction]. The project limits are from Spankey Court north approximately 450-feet toward Washington Street. These improvements will provide great benefit to low\moderate income residents. The pavement condition of this section of Lakewood Terrace is poor and continues to deteriorate making driving conditions difficult to hazardous. The much needed improvements will replace the existing water main and rehabilitate the hot-mix asphalt pavement through reconstruction with 2 layers of new hot-mix asphalt for a total of 4½-inches installed on a 12-inch aggregate base. The existing roadway cross-section will be urbanized with curb and gutter. New storm sewer will be installed to convey stormwater runoff within the project limits.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

CT 8614.03/ Round Lake

Objective Number SL3	**Project ID** TBD
HUD Matrix Code 03I	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1576 (618 LMI)
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	85,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds	354,350		
Total	**439,350**		

Financial Narrative:

Including Leveraged/Matched funds

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

3.2 – Physiological Needs

3.2.1 – Basic Food & Nutrition Needs

- Northern Illinois Food Bank – Food Pantries

3.2.2 – Basic Health Needs

- Great Lakes Adaptive Sports Association – Health Services

3.3 – Safety & Security Needs

3.3.1 – Security of Employment

- *No projects funded in PY2014*

3.3.2 – Access to Services

- ElderCARE@ChristChurch – Senior Services

3.3.3 – Security of Family & Social Stability

- CASA Lake County – Youth Services
- ChildServ – Child Care Services
- Consumer Credit Counseling Service of McHenry County, Inc. – Housing Counseling
- Family Service – Senior Services
- Highland Park Community Nursery School & Day Care Center – Child Care Services
- Mano a Mano Family Resource Center – Other Public Services

3.3.4 – Behavioral Health

- Nicasa – Substance Abuse Services
- Zacharias Sexual Abuse Center – Services for Abused and Neglected

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: FOOD BANKS
Activity:	Northern Illinois Food Bank
Description:	Purchase and distribute nutritious food to network partner food pantries in Lake County, but outside of Waukegan and North Chicago.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Network of food pantries located in Antioch, Grayslake, Highwood, Lake Zurich, Round Lake Park, Zion, and Ingleside

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05W	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 20000
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	25,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**25,000**		

Financial Narrative:

Overall Budget: $25,000

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: HEALTH SERVICES
Activity:	Great Lakes Adaptive Sports Association
Description:	GLASA will provide programming that improves the physical, social and emotional health of adults and injured military veterans with physical disabilities by offering physical activity programs, fitness initiatives, nutrition education and wellness support. Over 1,100 hours of direct programming will be provided to individuals within Lake County and support services will be available to assure the greatest impact. Outreach and education, equipment lending, referral support, and collaboration with other community based agencies will all be a part of GLASA's implementation strategy.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
400 E Illinois Road, Lake Forest, IL 60045

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05M	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 82
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	25,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**25,000**		

Financial Narrative:
Overall Budget: $801,825

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: SENIOR SERVICES
Activity:	ElderCARE@ChristChurch
Description:	Trained caring volunteers support (at no charge) low-income, homebound elders in their quest for health and independence through escorted trips to medical appointments, friendly visits to decrease isolation and grocery shopping to assure elders have a healthy diet of their own choosing.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
410 Grand Avenue, Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05A	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 350
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	25,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**25,000**		

Financial Narrative:
Overall Budget: $112,619

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: YOUTH SERVICES
Activity:	CASA Lake County
Description:	CASA volunteers work in the court system in collaboration with key agencies, legal counsel, and community resources to serve as the child's advocate and to represent the child in juvenile court. Our volunteer advocates ensure the safety of at-risk children who have been abused or neglected. They work to ensure that these children and their parents have access to the services they need to meet with the initial goal of stabilizing and reuniting families. When this is not possible CASA's volunteer advocates work to make sure that abused and neglected children are safe, that they are not lost in the child welfare system, that their best interests are being met, and that they achieve permanency as quickly as possible.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
700 Forest Edge Drive and 24647 N. Milwaukee Ave.
Vernon Hills, IL 60061

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05D	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 575
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	30,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**30,000**		

Financial Narrative:
Overall Budget: $1,124,500

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: CHILD CARE SERVICES
Activity:	ChildServ
Description:	Child care program that allows households access to employment or education/training, promote multilingual/cultural access to case management and/or child care. ChildServ's Family Child Care Homes support a network of affordable, culturally relevant, and bilingual Spanish child care homes that are licensed by DCFS to low-income, predominantly Hispanic, Lake County families. Coordinators monitor and follow up with clients needing developmental and/or mental health assessments and referrals.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
1105 Greenwood Ave.
Waukegan, IL 60087

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05L	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 292
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	30,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**30,000**		

Financial Narrative:
Overall Budget: $834,013

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: HOUSING COUNSELING
Activity:	Consumer Credit Counseling Service of McHenry County, Inc.
Description:	CCCS provides HUD-approved housing counseling and education to homeowners in Lake County seeking to avoid mortgage default or foreclosure leading to potential homelessness. The purpose of the Program is to enable low-moderate income families to achieve financial stability and to acquire safe, affordable housing through rental or purchase and to enable homeowners in foreclosure to access programs designed to prevent home loss.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

400 Russel CT
Woodstock, IL 60098

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05U	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 363
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	30,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**30,000**		

Financial Narrative:

Overall Budget: $59,946

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: SENIOR SERVICES
Activity:	Family Service
Description:	Family Service's "Senior and Caregiver Core" of programs is comprised of senior counseling, caregiver counseling (including caregiver support groups), and caregiver resources. Senior and caregiver counseling is available to any senior or caregiver of a senior, or a senior who is the caregiver for a minor child, at no out-of-pocked cost. Caregiver resources provides specific, concrete support for caregivers in one of four areas: assistance, respite, gap-filling, and legal services.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
777 Central Avenue, Highland Park, IL 60035

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05A	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1206
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	25,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**25,000**		

Financial Narrative:
Overall Budget: $428,104

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: CHILD CARE SERVICES
Activity:	Highland Park Community Nursery School & Day Care Center
Description:	Provide affordable, quality care and education to Lake County children from low to moderate income families so parents may become employed, stay employed or further their education to attain employment that ensures family security and supports social stability. The program provides wrap around childcare services for those children enrolled in our Preschool For All classrooms.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
1850 Green Bay Road, Highland Park, IL 60035

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05L	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 92
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	30,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**30,000**		

Financial Narrative:
Overall Budget: $838,757

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: OTHER PUBLIC SERVICES
Activity:	Mano a Mano Family Resource Center
Description:	Mano a Mano (MaM)'s Information and Referral (I&R) program seeks to link individuals in need with the services and programs that will promote family security and social stability. The program provides I&R in both English and Spanish for any type of question/need. This includes providing referrals to possible sources of assistance or helping a client complete an application for Food Stamps (SNAP), AllKids, Medicaid or Medicare or any other type of governmental assistance program, and following the application until a final determination is made and the client receives the benefits for which they are eligible. The program also helps individuals to access healthcare and foreclosure mitigation services by providing the appropriate case management and coaching.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
6 E. Main Street, Round Lake Park, IL 60073

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1578
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	20,078		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**20,078**		

Financial Narrative:
Overall Budget: $120,000

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: SUBSTANCE ABUSE SERVICES
Activity:	Nicasa
Description:	Nicasa's Round Lake Adult Counseling Services are offered to approximately 1,400 people each year who require behavioral health counseling services in order to avoid or abstain from alcohol and other drugs. These counseling services are provided from Nicasa's Round Lake office and include drug/alchol evaluations; DUI and Secretary of State Services; alcohol, tobacco, and other drug risk reduction education, 3 levels of outpatient group therapy treatment; and intensive outpatient group therapy treatment. All of the Round Lake Adult Counseling Services are offered in Spanish and English.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
31979 N. Fish Lake Road, Round Lake, IL 60073

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05F	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 1300
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	30,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**30,000**		

Financial Narrative:
Overall Budget: $560,225

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	LAKE COUNTY
Priority Need:	HIGH
Project:	PUBLIC SERVICES: SERVICES FOR ABUSED AND NEGLECTED
Activity:	Zacharias Sexual Abuse Center
Description:	The Counseling Program promotes the healing of individuals and families affected by sexual assault, restoring a sense of safety, trust and empowerment. The Counseling Program for Children provides individual, family and group counseling for child survivors of sexual abuse and their families.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
4275 Old Grand Avenue
Gurnee, IL 60031

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05N	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 201
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	30,000		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**30,000**		

Financial Narrative:
Overall Budget: $343,451

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

3.4 – Administration & Planning

3.4.1 – Program Administration & Planning

- Lake County Community Development – CDBG, HOME & ESG Program Administration

3.4.2 – Education, Advocacy & Outreach

- Affordable Housing Corporation of Lake County – Affordable Housing Commission

U.S. Department of Housing
and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	N/A
Project:	Administration & Planning
Activity:	Lake County Community Development – CDBG, HOME & ESG Program Administration
Description:	These funds will be used primarily for the general management, oversight and coordination of the Community Development Block Grant, HOME, and Emergency Solutions Grant Programs. A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective CDBG/HOME/ESG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
500 West Winchester Road, Unit 101
Libertyville, IL 60048

Objective Number N/A	**Project ID** TBD
HUD Matrix Code 21A	**CDBG Citation** N/A
Type of Recipient Government	**National Objective** N/A
Start Date 5/1/2014	**Completion Date**
Performance Indicator N/A	**Annual Units** N/A
Local ID TBD	**Units Upon Completion** N/A

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$493,438		
ESG	$14,788		
HOME	$114,483		
Prior Year Funds			
Other CPD Funds			
Total	**$622,709**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	N/A
Project:	Administration & Planning: Education, Advocacy & Outreach
Activity:	Affordable Housing Corporation of Lake County – Affordable Housing Commission
Description:	These funds will be used to provide administrative support to the Lake County Affordable Housing Commission (AHC), whose role is to promote the development of affordable housing opportunities in Lake County, and to provide information and technical assistance to parties interested in the development of affordable housing. The Commission will focus in 2014 on intensive relationship building with one or two target municipalities along with creating and implementing a plan to better utilize social media.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Scattered sites throughout Lake County

Objective Number N/A	**Project ID** TBD
HUD Matrix Code 21C	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Non-profit	**National Objective** N/A
Start Date 5/1/2014	**Completion Date**
Performance Indicator N/A	**Anticipated Units** N/A
Local ID TBD	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$51,524		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$51,524**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

4.0 North Chicago Community Development Activities

4.1 – Public Facilities

4.1.1 – Recreation Facilities

- Community Gardens

4.1.2 – Senior Centers

- *No projects funded in PY2014*

4.1.3 – Community Safety Equipment

- Fire Equipment Replacement

4.2 – Infrastructure Improvements

4.2.1 – Public Facilities Improvements

- Infrastructure Improvement

4.2.2 – Handicap Accessibility Improvements

- *No projects funded in PY2014*

4.3 – Public Services

4.3.1 – Health Services

- Rosalind Franklin University

4.3.2 – Substance Abuse Services

- *No projects funded in PY2014*

4.3.3 – Youth Services

- Passion Outreach

4.3.4 – Parental Supportive Services

- *No projects funded in PY2014*

4.3.5 – Early Childhood Intervention

- *No projects funded in PY2014*

4.3.6 – Senior Services

- *No projects funded in PY2014*

4.3.7 – Basic Needs Assistance

- I-PLUS
- Northern Illinois Food Bank
- PADS

4.3.8 – Employment Assistance

- *No projects funded in PY2014*

4.4 – Economic Development

4.4.1 – Real Estate Development

- Emergency Rehab
- HOME Owner Occupied Rehab
- Spot Rehab

4.4.2 – Business Education

- *No projects funded in PY2014*

4.5 – Planning & Administration

4.5.1 – Planning & Administration

- General Administration

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Recreation
Activity:	Community Garden
Description:	The project will fund the cost of developing community gardens in food desert within the North Chicago community to provide fresh fruits and vegetables for low income residents.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 03F	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units**
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$8,810.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$8,810.00**		

Financial Narrative:

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	Medium
Project:	Fire Equipment Replacement
Activity:	Fire Equipment Replacement
Description:	This funding will pay for the purchase and replacement of firefighting equipment.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 03O	**CDBG Citation** 24 CFR 570.201(c)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** TBD
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$30,000.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$30,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Infrastructure Improvement
Activity:	Infrastructure Improvement Projects
Description:	The program will be administered by the Public Works and Department of Economic Development Departments and will provide funding for the direct cost of engineering, removal and replacement of deteriorated public infrastructure to include but not limited to: sidewalks, streets, alleys, water and sewer lines, curb and gutter replacements and streetscape improvements

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 03K	**CDBG Citation** 24 CFR 570.201(c)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** TBD
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$54,854.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$54,854.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Basic Needs Assistance
Activity:	Rosalind Franklin University
Description:	The Community Care Connections utilizes a mobile health vehicle to bring health screenings and education to medically underserved areas of Lake County. The proposed program will serve a total of 2,000 residents in Waukegan, North Chicago, and other Lake County areas by conducting blood pressure, cholesterol, blood sugar and body mass index screenings as well as sports physicals and osteoporosis screenings. A healthcare professional will review the screening results with each resident and help set goals for nutrition, exercise and overall wellness. The program will also provide information on how patients can locate a medical home.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 05M	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units** 2000
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,500.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,500.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

and Urban Development (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Youth Services
Activity:	Passion Outreach
Description:	Girls Connected is a long-term mentoring program for disadvantaged teenage girls living in North Chicago. The group has met weekly as an after school program within the community for over 10 years and has a 100% graduation rate. By providing healthy, long-term relationships with mentors, positive life skills training, group counseling and college preparation and scholarship support, Girls Connected provides hope, and a bridge for future stories.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 05D	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units**
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Basic Needs Assistance
Activity:	I-PLUS
Description:	**I-PLUS** provides thorough and integrated combination of money management, mentoring and access health care, I-PLUS assists the chronically homeless, disabled individuals and/or families receiving government support to sustain a transformation in their lives. The clients served are homeless, suffering from mental illness and/or drug or alcohol addiction and are disabled. The program gets them off the street and out of the shelters and provides them with access to treatment program by coordinating their disability check disbursements with their participation in treatment programs.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units**
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,000.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Basic Needs Assistance
Activity:	North Illinois Food Bank
Description:	This program will provide a Mobile Pantry for food distribution at low-income senior buildings in North Chicago.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity

Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 05W	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units**
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$8,000.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$8,000.00**		

Financial Narrative:

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Basic Needs Assistance
Activity:	PADS Lake County, Inc.
Description:	This program provides emergency shelter and supportive services to individuals and families experiencing homelessness. The comprehensive services begin with the intake process, from which clients are assessed for need, and provided the services that are appropriate to overcome whatever barriers they may have to getting out of homelessness. During that time they are receiving services, clients also receive access to the shelter needed to stay out of the elements.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:
(Street Address)
(City, State, Zip Code)

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.208(a)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Persons	**Anticipated Units**
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$8,000.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$8,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Rehabilitation
Activity:	Homeowner Emergency Rehabilitation
Description:	The program provides deferred loans up to the $5,000 for emergency repairs of major structural and critical system defects in owner occupied single family homes for low to moderate income residents.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Various locations in North Chicago, IL

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 14A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Housing Units	**Anticipated Units** 5
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$25,000.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$25,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Rehabilitation
Activity:	Homeowner Rehabilitation
Description:	This program will be administered by the Departments of Economic Development and Building and Community Development provide up to $40,000 per housing unit for substantial rehabilitation, the North Chicago Program will use HOME funds to rehabilitate existing homes. All homes in the program will be occupied by low and very-low income households. The homeowner rehabilitation component would provide 05 interest deferred loans of up to $40,000 for the rehabilitation of owner-occupied single family homes. Loans would be due upon sale, transfer or refinance of the property.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address)
(City, State, Zip Code)

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 14A	**CDBG Citation** 24 CFR 570.202(a)(1)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Housing Units	**Anticipated Units** 2
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG			
ESG			
HOME	$55,000		
Prior Year Funds			
Other CPD Funds			
Total	**$55,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	North Chicago
Priority Need:	High
Project:	Rehabilitation
Activity:	Homeowner Spot Rehabilitation
Description:	The program provides deferred loans up to the $10,000 for spot repairs of major structural and critical system defects in owner occupied single family homes for low to moderate income residents.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:
(Street Address)
(City, State, Zip Code)

Objective Number SL2	**Project ID** TBD
HUD Matrix Code 14A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Government	**National Objective** LMC
Start Date 5/1/2014	**Completion Date**
Performance Indicator Housing Units	**Anticipated Units** 3
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$30,000.00		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$30,000.00**		

Financial Narrative:

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	City of North Chicago
Priority Need:	N/A
Project:	Planning and Administration
Activity:	General Administration
Description:	This program will pay direct costs related to planning and execution of community development activities including program staff and operating expenses. The project will include funding an agency to be determined at a later date to further fair housing in the community.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
1850 Lewis Avenue
North Chicago, IL 60064

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 21A	**CDBG Citation** 24 CFR 570.208(a)(3)
Type of Recipient Government	**National Objective** LMA
Start Date 5/1/2014	**Completion Date**
Performance Indicator	**Anticipated Units**
Local ID	**Actual Units**

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$41,291		
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$41,291**		

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

5.0 Waukegan Community Development Activities

5.1 – Public Facilities

5.1.1 – Downtown Revitalization Area

- *No projects funded in PY2014*

5.1.2 – Infrastructure Improvements

- City of Waukegan Public Works Dept. – Sidewalk Replacement Program

5.1.3 – Recreational & Service-Oriented Facilities

- *No projects funded in PY2014*

5.1.4 – Accessibility for People with Disabilities

- *No projects funded in PY2014*

5.2 – Public Services

5.2.1 – Youth Services

- A Safe Place – Residential Children's Services
- CASA of Lake County – Court Appointed Special Advocate Training
- YCC Youth Build
- Youth Build Lake County – Youth Build
- Zachariah – Sexual Assault Victim Advocacy (formerly LaCASA)

5.2.2 – Senior Services

- Christ Church – ElderCARE
- Waukegan Township – Home Sweet Home

5.2.3 – Basic Needs Assistance

- Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities
- Prairie State Legal – Fair Housing
- Catholic Charities – Food Pantry
- Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry
- I-Plus – Representative Payee
- Northern Illinois Food Bank – Senior Boxes
- PADS Crisis Services – The Center
- Rosalind Franklin University Health System

5.2.4 – Community Safety

- Waukegan Police Department – Community Oriented Policing Project

5.2.5 – Other Public Services

- NICASA – Family Advocacy
- UMMA-Urban Muslim Minority Alliance – Education Empowerment

5.3 – Code Enforcement

- Code Enforcement Officer

5.4 – Program Oversight & Administration

- Program Oversight and Administration

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.1.2 Infrastructure Improvements
Activity:	City of Waukegan Public Works Dept. – Sidewalk Replacement Program
Description:	Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG. Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 100 N. Martin Luther King, Jr. Avenue
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 03L	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Census Tracts	**Anticipated Units** 50952
Local ID 09.03.22.14	**Actual Units** 50952

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$125,000		$125,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$125,000**		**$125,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $410,000 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.1 Youth Services
Activity:	A Safe Place – Residential Children's Services
Description:	Provides comprehensive services for victims of domestic violence with the goal of increasing their immediate and ongoing safety. Counseling and advocacy services for children victimized by domestic violence. Use various therapeutic techniques to help children deal with what they have been through and help them to find new healthier ways to live. CDBG funds are designated for salary and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 2710 17th Street
(City, State, Zip Code) Zion, IL 60099

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05D	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 29
Local ID 23.05.01.14	**Actual Units** 29

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000		$6,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000**		**$6,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $60,354 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.1 Youth Services
Activity:	CASA of Lake County – Court Appointed Special Advocate Training
Description:	Recruit, train and supervise volunteer advocates who will represent abused and neglected Waukegan children in the Lake County judicial system. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 700 Forest Edge DR
(City, State, Zip Code) Vernon Hills, IL 60061

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05N	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 237
Local ID 01.05.10.14	**Actual Units** 237

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000		$6,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000**		**$6,000**

Financial Narrative:

Including Leveraged/Matched funds
This project will provide $1,173,340 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.1 Youth Services
Activity:	Youth Conservation Corps (YCC) – Youth Build
Description:	Provide low-income, unemployed high school dropouts, ages 16-24 with work experience, education and community involved development activities. (Clients will earn GED/HSD, while gaining work experience in the fields of construction, disaster preparedness education, environmental conservation, technology, finance/insurance, manufacturing and transportation/logistics.) CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 1020 W. Greenwood Ave
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05D	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 47
Local ID 18.05.26.14	**Actual Units** 47

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,808		$5,808
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,808**		**$5,808**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $1,051,000 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.1 Youth Services
Activity:	Youth Build Lake County – Youth Build
Description:	Provides education and job training to low-income clients, ages 17-24. Program has five key components: academic, construction, life skills/leadership, job readiness, and counseling services. YBLC helps client earn GED; learn basic employment and readiness skills. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 1636 Kristan Avenue
(City, State, Zip Code) North Chicago, IL 60064

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05D	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 15
Local ID 21.05.27.14	**Actual Units** 15

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,808		$5,808
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,808**		**$5,808**

Financial Narrative:

Including Leveraged/Matched funds
This project will provide $1,416,865 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.1 Youth Services
Activity:	Zachariah – Sexual Assault Victim Advocacy (formally LaCASA)
Description:	Provides 24-hour a day, 365 days a year and crisis interventions services to survivors of sexual assault and abused children. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 4275 Old Grand Avenue
(City, State, Zip Code) Gurnee, IL 60031

Objective Number	**Project ID**
SL1	TBD
HUD Matrix Code	**CDBG Citation**
05D	24 CFR 570.201(a)(2)
Type of Recipient	**National Objective**
Non-Profit	LMC
Start Date	**Completion Date**
5/1/2014	4/30/2015
Performance Indicator	**Anticipated Units**
Households	38
Local ID	**Actual Units**
12.05.28.14	38

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000		$6,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000**		**$6,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $187,069 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.2 Senior Services
Activity:	Christ Church – ElderCare
Description:	Provides escorted transportation to medical appointments, friendly visits and grocery shopping aid to low income, homebound elders in an effort to help seniors remain self-sufficient. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 410 Grand Avenue
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05A	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 75
Local ID 04.05.05.14	**Actual Units** 75

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,000		$5,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,000**		**$5,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide 122,619 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.2 Senior Services
Activity:	Waukegan Township – Home Sweet Home
Description:	Home Sweet Home provides year-round yard maintenance service to Waukegan Township senior citizens free of charge, in an effort to help seniors remain self-sufficient. Services include lawn maintenance and snow removal as needed. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 149 S. Genesee Street
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05A	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 606
Local ID 06.05.25.14	**Actual Units** 606

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$10,500		$10,500
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$10,500**		**$10,500**

Financial Narrative:

Including Leveraged/Matched funds
This project will provide $177,750 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities
Description:	This is an Advocacy Project for persons with disabilities that have been denied or threatened with loss of medical benefits or cash from SSI / Medicaid, etc. These clients are represented in court and when cases are won they become contributing members of society rather than a burden. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 325 Washington Street
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 62
Local ID 26.05.20.14	**Actual Units** 62

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,000		$5,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,000**		**$5,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $79,605 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan

Priority Need: High

Project: 1.1 Basic Needs Assistance

Activity: Prairie State Legal – Fair Housing

Description: The Fair Housing – Prairie State Legal provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County.
Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 325 Washington Street
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05J	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 77
Local ID 02.05.11.14	**Actual Units** 77

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$8,000		$8,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$8,000**		**$8,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $285,495 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	Catholic Charities – Food Pantry
Description:	Provides emergency food assistance through food pantry to low-income individuals and families in need of food. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 671 S. Lewis Avenue
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 5041
Local ID 07.05.04.14	**Actual Units** 5041

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000		$6,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000**		**$6,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $239,164 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry
Description:	Provides emergency food assistance through food pantry to low-income individuals and families in need of food. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 127 W. Water Street
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 10396
Local ID 17.05.06.14	**Actual Units** 10396

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000		$6,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000**		**$6,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $267,790 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	I-Plus – Representative Payee
Description:	Clients of I-Plus receive Social Security and/or Veterans benefits. Program micromanages funds to insure that all clients' basic needs are met, such as housing, food and utilities. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 3001 Green Bay Road, Bldg. 9 RM 100
(City, State, Zip Code) North Chicago, IL 60064

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 61
Local ID 03.05.14.14	**Actual Units** 61

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000		$6,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000**		**$6,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $296,724 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	Northern Illinois Food Bank – Senior food boxes
Description:	Northern Illinois Food Bank provide nutritious Senior Food Boxes delivered Monthly to low-income seniors in Waukegan who have trouble accessing a food pantry or grocery store because of limited mobility or transportation. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 273 Dearborn CT
(City, State, Zip Code) Geneva, IL 60134

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 90
Local ID 25.05.18.14	**Actual Units** 90

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,000		$5,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,000**		**$5,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $105,000 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	PADS Crisis Services – The Center
Description:	PADS provide emergency shelter and supportive services to individuals and families experiencing homelessness. The comprehensive services begin with the intake process, from which clients are assessed for need, and provided the services that are appropriate to overcome whatever barrier they may have to getting out of homelessness. During that time clients are receiving services, clients also receive access to the shelter needed to stay out of the elements. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 3001 Green Bay Road, Bldg 5
(City, State, Zip Code) North Chicago, IL 60064

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 667
Local ID 13.05.19.14	**Actual Units** 667

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$6,000		$6,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$6,000**		**$6,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $843,419 in leverage funds for 2014

The primary purpose of the project is to help:
☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.3 Basic Needs Assistance

Activity: Rosalind Franklin University Health System

Description: The Community Care Connection program utilizes a mobile health vehicle to bring health screenings and education to medically underserved areas of Lake County. The program conduct blood pressure, cholesterol, blood sugar, and body mass index screening as well as sports physicals and osteoporosis screenings. A healthcare professional will review the screening results with each resident and help set goals for nutrition, exercise and overall wellness. This program also helps patients locate a medical home.
.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 3471 Green Bay Road
(City, State, Zip Code) North Chicago, IL 60064

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05M	**CDBG Citation** 24 CFR 570.201(e)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 435
Local ID 29.05.23.14	**Actual Units** 435

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,000		$5,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,000**		**$5,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $201,500 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.4 Community Safety – Crime Awareness
Activity:	Waukegan Police Department – Community Oriented Policing Project
Description:	Police officers address community and public service needs through crime awareness and problem solving in conjunction with the residents in an attempt to build a community-police partnership in CDBG designated low to moderate-income areas through the FUSION Program. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 100 N. Martin Luther King, Jr. Avenue
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05I	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Census Tracts	**Anticipated Units** 10,970
Local ID 05.05.08.14	**Actual Units** 10,970

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$10,500		$10,500
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$10,500**		**$10,500**

Financial Narrative:

Including Leveraged/Matched funds
This project will provide $170,790 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.3 Basic Needs Assistance

Activity: NICASA - Family Advocacy program

Description: NICASA's Family Advocacy program seeks to help families overcome crisis, find stability and flourish. Program Counselors determine need by meeting with parents to decide appropriate services needed, within NICASA, social services or governmental agencies. Many families require assistance with basic needs including food, housing and utilities. These Counselors also work with families on employment assistance, budgeting and parenting skills. .

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 2031 Dugdale Road
(City, State, Zip Code) North Chicago, IL 60064

Objective Number	Project ID
SL1	TBD
HUD Matrix Code	**CDBG Citation**
05	24 CFR 570.201(a)(2)
Type of Recipient	**National Objective**
Non-Profit	LMC
Start Date	**Completion Date**
5/1/2014	4/30/2015
Performance Indicator	**Anticipated Units**
Households	81
Local ID	**Actual Units**
16.05.15.14	81

Funding Sources:	Awarded	Expended	Balance
CDBG	$5,000		$5,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,000**		**$5,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $293,535 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.5 Public Service
Activity:	UMMA-Urban Muslim Minority Alliance – Education Empowerment
Description:	This program will address three main areas of education that prevent the low-income community from being self-sufficient. The areas are general education, computer literacy and financial literacy. . CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 221 Washington Street
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Households	**Anticipated Units** 253
Local ID 11.05.23.14	**Actual Units** 253

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$5,000		$5,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$5,000**		**$5,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $173,000 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.3 Code Enforcement Program - Housing

Activity: Code Enforcement Officer

Description: Enforce housing, zoning, and health codes as they relate to dwellings, dwelling units, rooming units and all other properties within the CDBG designated low to moderate income areas. Correct and prevent the existence of adverse conditions and promote public safety, health and welfare of neighborhood.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 100 N. Martin Luther King, Jr. Avenue
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 05	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator Census Tracts	**Anticipated Units** 7002
Local ID 10.15.07.14	**Actual Units** 7002

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$50,000		$50,000
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	**$50,000**		**$50,000**

Financial Narrative:
Including Leveraged/Matched funds
This project will provide $134,614 in leverage funds for 2014

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.4 Administration
Activity:	Program Oversight and Administration
Description:	General oversight and management of CDBG funds and activities. CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
(Street Address) 100 N. Martin Luther King, Jr. Avenue
(City, State, Zip Code) Waukegan, IL 60085

Objective Number SL1	**Project ID** TBD
HUD Matrix Code 21A	**CDBG Citation** 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	**National Objective** LMC
Start Date 5/1/2014	**Completion Date** 4/30/2015
Performance Indicator -	**Anticipated Units** -
Local ID 15.21.02.14	**Actual Units** -

Funding Sources:	**Awarded**	**Expended**	**Balance**
CDBG	$156,547		$156,547
ESG			
HOME			
Prior Year Funds			
Other CPD Funds			
Total	$156,547		$156,547

Financial Narrative:
Including Leveraged/Matched funds

The primary purpose of the project is to help:
☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Housing and Supportive Service Needs of Underserved Populations

The *2010-2014 Housing and Community Development Consolidated Plan* outlines the multifaceted approach that the Lake County Consortium is taking to combat poverty within its jurisdiction. The Area Policy Statement in the *Consolidated Plan* provides detailed background information on the Consortium's Anti-Poverty Strategy along with the Five-Year Goal.

The Lake County Consortium will support, directly and indirectly, a network of services available to low-income persons and families with the goal of alleviating poverty. The Consortium will work with existing agencies which serve persons in poverty in order to evaluate their needs, coordinate the delivery of services, and determine if funding assistance should be made available through HOME, CDBG and/or ESG programs in cases where there are insufficient resources to meet the identified needs.

This strategy includes the following elements:

1. To continue to work within the Consortium to coordinate community development efforts with integrated planning.
2. To continue to work within the Continuum of Care process and other coordinating councils to reduce duplication, improve integration, and identify and address unmet service needs.
3. To expand coordination among local funding entities to streamline the application process, and to maximize the impact of those resources.
4. To establish and support a framework for systems and program evaluation, including continued training and technical assistance using outcomes.
5. To provide letters of support and other documentation to agencies in support of their fundraising efforts.
6. To continue to enhance public access to information about community services, through initiatives like the FindHelpLakeCounty.org project, which brings Information & Referral tools to the general public and provider community.

Annual Goal

The Consortium's projected goal for the number of persons moving out of poverty over the next year is 100.

Generally, the homeless population, seniors and frail elderly, physically and mentally disabled, persons with HIV/AIDS and youth and victims of domestic violence are identified as special underserved need populations. In Lake County, funding allocations under all programs are analyzed for their impact to these specific targeted needs groups, including the ability for HUD programming to leverage other local, state, and federal resources to make quantifiable impacts on such populations. As identified in the Plan, CDBG is the predominant funding source to assist these populations, while ESG supports homeless activities, and HOME supports the development of actual units to support all populations. Where HOME is too restrictive to meet such needs, Lake County also utilizes the local Lake County Affordable Housing Program funds to finance development for special populations, or to leverage key resources. Table 3Cs outline the specific annual activities which will address these needs. The County also regularly works with other County departments and local agencies serving these populations through a continuum strategy and anti-poverty strategy and in assisting in unit development including overcoming potential or defined housing barriers through objectives as identified in this Plan.

Monitoring Standards and Procedures

The Community Development Division of the Lake County Department of Planning, Building and Development, the City of North Chicago's Economic Development Department, and the City of Waukegan's CDBG Department each have responsibility for monitoring project activities funded under their separate Community Development Block Grant programs, the Emergency Solutions Grant program, or the HOME program. Each of these departments is responsible for monitoring their general progress under the *Consolidated Plan*.

The monitoring standards and procedures to be used in these efforts will be the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. The monitoring functions will include:

1. Pre-Application Workshops/Technical Assistance Sessions for all pending subrecipients prior to application submittal, to explain all applicable rules, regulations, and procedures.
2. Careful evaluation of funding applications to ensure that they comply with all applicable federal regulations, that they clearly describe the proposed activity, and that they fully delineate the cost of the project.
3. Assignment of risk factors to determine monitoring strategy and technical assistance needs of subrecipients.
4. Post-award conferences (either group or individual) held prior to project implementation to outline federal regulatory compliance requirements and local government operational guidelines.
5. Preparation of subrecipient agreements which clearly set forth the activities to be carried out, a time schedule for completion of the project's activities, the reporting and compliance requirements, and the rules, regulations, and procedures to be followed by the subrecipient.
6. The establishment of internal project management systems for tracking the progress of all funded activities.
7. Establishment of scheduled reporting procedures, including those with long-term compliance requirements.
8. Periodic reviews of all activities, including desk reviews, project file and financial reviews, and on-site field visits.
9. Formal on-site monitoring of all projects at least once annually, including written reports to subrecipients with written responses, as appropriate.
10. Withholding of payments to subrecipients found to be in non-compliance with rules, regulations, or procedures.
11. Receipt and review of Quarterly Reports from funded subrecipients.
12. Preparation of annual reports covering all activities.

Administration

Community Development staff from Lake County, North Chicago, and Waukegan will continue their regular administrative reviews of open funded projects to:

- encourage more timely drawdowns of HUD funds by their subrecipients;
- speed up the expenditure levels of currently operating projects;
- financially close out recently completed projects;
- regularly update internal and HUD-related project databases; and
- expeditiously reprogram un-obligated balances of completed projects for future re-use in subsequent award cycles.

Community Development staff from Lake County, North Chicago, and Waukegan will develop and monitor monthly expenditure benchmarks to direct their progress on timely expenditures of HUD funded activities. Staff will aim for a 10% monthly expenditure of program entitlements beginning June 1st of the program year, such that 90% of the annual program allotments will be expended by the following February. Progress toward this goal depends on:

- the subrecipients' ability to manage their project activities successfully;
- the timely submission of properly documented payment requests;
- weather-related constraints for housing and public infrastructure projects; and
- quarterly progress reports from individual subrecipient projects.

Summary of Performance Measurements

The Lake County Consortium has established housing and homeless goals for New Construction, Rehabilitation, Homebuyer Assistance, Emergency Shelter, Transitional Housing, and Permanent Supportive Housing in terms of the number of units affected. Each goal area indicates a specific number of units to be achieved under the "Five-Year Goal" by the completion of the *Consolidated Plan*. In the grant application process, subrecipients project the number of units that they intend to make available during the program year. Subrecipients report their progress quarterly, as they work toward meeting their goal. At the end of the project year, the total number of units is measured as Housing Outputs, included in the Consolidated Annual Performance Evaluation Report (CAPER), to determine the effectiveness of each project and the progress being made toward the Five-Year Goals.

Consortium members developed outcomes for each non-housing community development activity area to indicate the desired level of benefit or change for individuals or populations during or after participation in the program. These anticipated benefits or changes, along with the projected numbers of beneficiaries, are identified under the Five-Year Goals. Applicants project numbers of beneficiaries they intend to serve and identify one to three program outcomes that address the Five-Year Goals. An outcomes workshop is provided in order to assist applicants in improving the quality of their outcomes, which they submit in their applications. Once funded, subrecipients provide quarterly reports throughout the program year, reporting the numbers of beneficiaries and their progress toward attaining their outcomes. The year-end results in each activity area are evaluated in the Consolidated Annual Performance Evaluation Report (CAPER). Client Outcomes are based on what has been accomplished and levels of beneficiary involvement.

Housing Program Procedures

All Housing-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of Housing-funded projects shall include written correspondence to Project Sponsors with written responses filed in the appropriate project file.

Lake County will conduct a Housing Risk Assessment that will assign a risk rating to each funded Project Sponsor based on the existence of the following risk factors:

- 1st or 2nd Housing Fund Allocation
- Award in excess of $250,000
- High level of staff turnover/inexperience or change in the organization's goals and directions
- Lack of progress in spending past Housing Fund allocations

- History of Housing Fund violations, inaccurate/incomplete compliance reports or letters of complaint
- On-site monitoring not conducted in past three years
- High program/project complexity (i.e. multiple funding sources, large number of units, multiple partners)

Lake County Housing Fund Project Sponsors will be selected for monitoring based on these factors. If three or more risk factors are present, the Project Sponsor is considered a "high risk". Two risk factors warrant a rating of "moderate risk". If one or no risk factors are identified, the Project Sponsor is considered "low risk". Any Project Sponsor that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a "high risk". Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all "high risk" Project Sponsors will receive an on-site monitoring during the upcoming *Action Plan* program year.

The following steps will also be taken to ensure long-term housing compliance:

- Each Project Sponsor and program administrator will receive a "Lake County HOME/CDBG Housing Fund Management Guide" and its companion piece, "Lake County HOME/CDBG Housing Fund Monitoring Compliance Guide." These would be distributed at a mandatory required attendance Post-Award Conference. The guidebooks are intended to be used by Project Sponsors as a reference guide for federal regulatory compliance during the construction and post-completion phases of the project. In addition, each Project Sponsor is assigned a staff person to provide individualized technical assistance on the general administration of their Housing Fund project.
- During the project's construction/rehabilitation period, periodic on-site monitoring visits of all Housing Fund projects will be conducted by Community Development staff.
- All *newly-completed housing projects*, both new construction and rehabilitation, will be inspected at the time of completion by appropriate local building officials and will be required to have a valid certificate of occupancy. In addition, HOME-funded projects and County CDBG-funded projects will be regularly inspected by the Affordable Housing Corporation of Lake County's Rehabilitation Specialist or other qualified inspector.
- All HOME *rental projects* will be inspected in accordance with the requirements specified in the HOME Regulations. The inspection schedule mandated by the HOME Regulations will be followed for the duration of the required affordability period. The Affordable Housing Corporation of Lake County's Rehabilitation Specialist, who is under contract with the County, will conduct these inspections.
- The County will maintain records to monitor federal regulatory compliance during a rental project's post-completion period of affordability. Annual reports will be submitted by project sponsors to certify tenant income and unit rent eligibility. In addition, HOME-assisted rental units will be subject to periodic on-site inspections according to the following guidelines:

Number of Units in Project	Frequency of Inspection
1 to 4 units	Every 3 years
5 to 25 units	Every 2 years
26 or more units	Annually

Homeless Program Procedures

In addition to the above monitoring procedures, the Continuum of Care Advisory Planning Group (APG) developed the *Technical Assistance and Monitoring Guide for Homeless Programs*. The guide is distributed to all homeless programs that receive federal funding and includes information about federal program rules and regulations as well as Continuum of Care policies and priorities concerning beneficiaries, program design and policies, supportive services, housing, staffing, financial capacity, outcomes, and general administration. Lake County uses the Technical Assistance and Monitoring Guide to prepare homeless programs for the on-site monitoring visits conducted by the Lake County Department of Planning, Building & Development and the Continuum's Technical Assistance Committee, which sends three members to conduct on-site peer-monitoring reviews for all programs seeking Continuum of Care funding. In addition to identifying strengths and weaknesses of programs at an early stage, the monitoring guide and site visits:

- Ensure homeless funding is used effectively to assist homeless individuals and families to achieve self-sufficiency.
- Verify compliance with federal regulations and program requirements in order to maintain and expand funding.
- Build grantee capacity and improve program performance to end homelessness in Lake County.

All ESG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the12 tasks mentioned at the beginning of this section. Formal on-site monitoring of ESG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Therefore, all subrecipients of ESG funds shall also be subject to annual monitoring by the Continuum of Care Advisory Planning Group's (APG) Technical Assistance Committee (TAC), in conjunction with Lake County staff. For ESG-funded projects, the TAC shall reference applicable portions of the *Technical Assistance and Monitoring Guide for Homeless Programs* that was developed for the homeless programs that receive funding through the Continuum of Care. Lake County staff shall also reference ESG regulations to ensure compliance with ESG Program requirements.

CDBG Program Procedures

All CDBG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of CDBG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Lake County

Lake County will conduct a CDBG Public Services Risk Assessment that will assign a risk rating to each funded subrecipient based on the existence of the following risk factors:

- First-time CDBG Subrecipient/first CDBG award in the past three years.
- Award that exceeds 80% of the highest CDBG Public Service award.
- High level of staff and/or Chief Executive turnover/inexperience.
- History of repetitive CDBG Program compliance issues/violations.
- High Program/Project complexity.

Lake County CDBG Public Service subrecipients will be selected for monitoring based on these factors. If three or more risk factors are present, the subrecipient is considered a "high risk". Two risk factors warrant a rating of "moderate risk". If one or no risk factors are identified, the subrecipient is considered "low risk". Any CDBG Public Services subrecipient that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a "high risk". Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all "high risk" subrecipients will receive an on-site monitoring during the upcoming *Action Plan* program year.

North Chicago

North Chicago conducts on-site monitoring of all Public Service activities at least once a year.

Waukegan

Waukegan conducts on-site monitoring of all Public Service activities at least once a year.

Institutional Structure and Coordination of Resources

The Lake County Consortium, with its participating jurisdictions of Lake County and the Cities of North Chicago and Waukegan, has been collaborating extensively for a number of years with a broad range of for-profit or not-for-profit agencies, governmental bodies, and local citizens.

Lake County Consortium

Three jurisdictions in Lake County receive Community Development Block Grant (CDBG) funds under the entitlement allocation: Lake County, as an urban county, and the Cities of North Chicago and Waukegan, each as independent entitlements. These three CDBG jurisdictions regularly coordinate with one another and collaborate on planning and implementation of CDBG-funded community development activities. These three units of local government have also formed a HOME Consortium for the purposes of carrying out housing activities funded under the HOME Investment Partnerships Program.

Lake County serves as the coordinating unit and Lead Agency for the administration of the Lake County Consortium. The Lake County Community Development Division administers the HOME Program. The Affordable Housing Commission, an entity created by the Lake County Board to stimulate affordable housing, also serves as an advisory commission on housing matters to the County Board.

The Consortium also formally governs the administration of the Emergency Shelter Grant Program and the Continuum of Care grants. The Cities of North Chicago and Waukegan collaborate with Lake County on countywide homeless planning and grant administration activities.

Lake County

The Lake County Board, under the auspices of its Health and Community Services (HCS) Committee, implements and governs the Lake County portions of the *2010 – 2014 Consolidated Plan*. The Board relies heavily on planning guidance and funding recommendations from the county Community Development staff and numerous advisory groups, as described below.

The Lake County Board receives annual entitlement grants from the Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) Programs. The Lake County Board also executes contracts with HUD for the annual HOME entitlement grant on behalf of the HOME Consortium. Lake County staff facilitates countywide homeless services planning, and applies annually for Continuum of Care homeless funding, on behalf of Lake County and the Cities of North Chicago and Waukegan.

For the CDBG program, the Lake County Urban County jurisdiction includes all portions of the County, except for the Cities of North Chicago and Waukegan. North Chicago and Waukegan are both entitlement communities and, therefore, they each allocate their own CDBG funds.

Lake County Board

The Lake County Board consists of 21 (down from 23) elected members, who are elected every four years on a staggered basis by district. The terms for Board members are 2 – 4 – 4, 4 – 2 – 4, or 4 – 4 – 2 years and are commensurate with Census redistricting each decade. Board members serve as individual representatives for their Lake County Districts. Board Agendas, Committee Agendas, and Committee Schedules are posted in the Board Office and are available on the County's webpage at http://www.lakecountyil.gov/CountyBoard. The Board meets regularly on the second Tuesday of each month in the Lake County Administration Building, 10th Floor. They also approve the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

County Board Committees

The County Board now has seven standing Committees that meet on a regularly scheduled basis. The Board also meets as a "Committee of the Whole" on the Friday prior to the Board Meeting. HUD-funded and County-funded community development programs report to two Committees prior to any Board action: the Health and Community Services (HCS) Committee and the Financial and Administrative (F&A) Committee.

The HCS Committee is comprised of seven members of the Lake County Board. This Committee was reformed in December 2006 through the blended activities of the Community and Economic Development Committee and the Health and Human Services Committee, to include County Board policies and projects involving health, community development, housing, tourism, and economic development activities. Their first meeting occurred on January 9, 2007. The Committee expressed interest in homelessness and human service planning, particularly in relation to the County's land use, economic development, and transportation infrastructure planning. The Committee now meets the first and second Tuesdays prior to the County Board meeting, usually meeting on the first and last Tuesday of each month.

The F&A Committee is comprised of seven members of the Lake County Board. This long-standing Committee addresses financial and administrative issues involving budgeting appropriations, contracts, litigation, employee relations, capital improvements, and general operating expenses. It is in the realm of contract approvals and budgetary adjustments that HUD-funded or County-funded community development projects are brought before this Committee. The F&A Committee continues to meet the first and second Wednesdays prior to the County Board meeting, usually meeting on the first and last Wednesday of each month.

County Board Commission Structure

Given the extensive demands on the County Board at the Committee level, the Board often looks to its Commission structure to conduct its non-governmental matters. Of particular importance for the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan*, are the Community Development Commission and the Affordable Housing Commission, which advise the Board on community development and housing matters. Activities of both these commissions will report frequently to the Health and Community Services Committee. A third commission for economic development issues disbanded in December 1998 and formed a public-private partnership, called the Lake County Partnership for Economic Development (Lake County Partners), which is still in existence today. The Lake County Partners will report to the Financial and Administrative Committee.

Community Development Commission

According to its by-laws, The Community Development Commission (CDC) acts as a representative body of elected officials and citizens in ascertaining facts, preparing plans and programs, coordinating activities, setting priorities for funding, and undertaking such other activities as are necessary or appropriate, under the U.S. Housing and Community Development Act of 1974, as amended. The CDC cooperates with Lake County and other units of local government to undertake, or assist in undertaking, essential community development and housing activities, including urban rehabilitation and renewal, and publicly- assisted housing for persons of low- and moderate-income, including the elderly and handicapped.

The CDC is composed of 15 members (five County Board members; five Mayors, Village Presidents, or Township Supervisors; and five citizen representatives). The Commission has primary responsibility for developing and carrying out the County's community development policies, which are contained within

the *2010 – 2014 Consolidated Plan*. Each year, the Commission is responsible for guiding the development of the *Annual Action Plan* and advising the Health and Community Services Committee and the Lake County Board regarding its formal acceptance. The Commission seeks public involvement, whenever possible, to better inform themselves on housing and community development needs. The full CDC meets monthly, depending on its needs, to discuss housing and community development issues, annual grant allocations, ongoing grant oversight, and other related issues.

Lake County Coalition for the Homeless

The Continuum of Care Committee of the Lake County Coalition for the Homeless, formerly called the Advisory Planning Group (APG), was originally convened in January 1998 to oversee the county's Continuum of Care process under the auspices of the Community Development Commission and the Lake County Board. In January 2009, it reconvened as a committee of the Lake County Coalition for the Homeless, centralizing all issues related to homelessness under one body. The group is comprised of approximately 30 community agencies administering homeless services, community development activities, health care, human services, mental health care, housing, and veteran services as well as advocates, local governments, formerly homeless, churches, funders, banks and other community stakeholders. The CoC Committee functions as an advisory arm of the Community Development Commission, keeping the Commission informed of its ongoing activities, and bringing pivotal decisions back to the Commission for input and approval. The committee envisions its charge broadly as working on multiple levels to strengthen Lake County's Continuum of Care through:

- Ongoing needs assessment and analysis,
- Provision of technical assistance,
- Increased stakeholder participation,
- Development of strategies for addressing identified needs,
- Monitoring of progress toward strategic objectives and action steps,
- Coordination of various organizational structures related to homelessness, and
- Coordination of the annual CoC NOFA application.

Affordable Housing Commission

The Affordable Housing Commission is the leading Lake County governmental agency assisting county, township, and municipal governments in advocating for more affordable housing. On behalf of the Lake County Board, the Affordable Housing Commission advocates to the public for more affordable housing and collaborate with county government in setting public policy and priorities for affordable housing in Lake County. The Affordable Housing Commission is the advisory board to the Community Development Commission and the Lake County Consortium regarding the federal Lake County Consortium Home Investment Partnerships (HOME)/Community Development Block Grant (CDBG) housing fund and the local Lake County Affordable Housing Program (LCAHP) fund. The Affordable Housing Commission is comprised of representatives from the County Board, housing authorities, the real estate industry (including homebuilders, for-profit and non-profit developers, lenders, and real estate agents), and the general public. The Executive Committee of the Commission meets the first Tuesday of every month and the full Commission meets the third Tuesday of every other month. The Lake County Board created the Affordable Housing Commission on November 12, 1991. In December of 1992, the Affordable Housing Commission created, and currently maintains, a non-profit organization, the Affordable Housing Corporation of Lake County (AHC). The AHC works to increase and preserve affordable housing opportunities throughout Lake County via high integrity, high impact services and partnerships that protect and empower consumers and communities. The AHC aims to become Lake County's most agile, responsive, and impactful programming resource for affordable housing needs that are unmet by the private market.

Lake County Housing Authority

The Lake County Housing Authority is organized pursuant to State of Illinois Law. The Lake County Board Chairman, with the approval of the Lake County Board, appoints Housing Authority Board Members. The Housing Authority administers the Section 8 voucher program for Lake County, excluding the Cities of North Chicago and Waukegan.

City of North Chicago

The City of North Chicago, through its Community Development and Planning Department, directly administers the North Chicago entitlement Community Development Block Grant. The City uses Citizens Advisory Committee recommendations and City Council actions to govern the allocation and use of CDBG funds.

North Chicago City Council

North Chicago follows a Mayor-Council form of government with seven political wards. The City Council meets the first and third Monday of the month. First discussed in Community Development Committee Meetings where aldermen have the opportunity to ask staff questions, the City Council is the final governmental body to review recommendations as submitted by the Citizen's Advisory Committee (CAC). They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to its submission to HUD.

Citizen's Advisory Committee (CAC)

The Citizens Advisory Committee (CAC) is composed of five (5) local residents and three (3) representatives from the business / industrial community. These members are responsible for reviewing all submitted applications and deciding on the Community Development Block Grant(CDBG) funding levels for each activity in all five (5) program areas of CDBG. These five areas include: Housing (housing administration and monitoring, regular rehabilitation, emergency rehabilitation, housing education and training), Economic Development, Public Improvements (streets and alleys, sidewalk improvements, streetscape improvements, public facilities), Public Services (social service agencies), and General Administration.

The intent of the Citizens Advisory Committee (CAC) is to find a cross section of the community so that the needs of North Chicago residents are better met. The CAC meets approximately 4-5 times per program year.

North Chicago Housing Authority

The organizational relationship between the City of North Chicago and the North Chicago Housing Authority (NCHA) is one where the Mayor of the City of North Chicago actually appoints the commissioners of the NCHA Board of Directors. However, the North Chicago Housing Authority has its own Executive Director who oversees the daily operations of the Housing Authority. The North Chicago Housing Authority administers the Section 8 voucher program for the City of North Chicago. Future Housing Authority redevelopment plans and property modernization efforts are available for review and comment by local citizens and the City of North Chicago.

City of Waukegan

The City of Waukegan directly administers the Waukegan entitlement Community Development Block Grant through its Community Development Department. The City Council is responsible for the oversight of these funds. The Stakeholder Participation Panel acts in an advisory capacity regarding CDBG funding.

Waukegan City Council

The City of Waukegan is structured as a Mayor-Council form of government. The Mayor's position is a full-time position, elected at-large for a four-year term. The City Council is comprised of nine aldermen, elected from within their separate wards as a part-time, four-year position. The Mayor's office is located within City Hall, and the City Council meets the first and third Monday evening of each month.

The Mayor and City Council have final approval of all decisions regarding the allocation of CDBG funds. They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

Stakeholder Participation Panel

The City of Waukegan CDBG Office also maintains a Stakeholder Participation Panel (SPP). This panel is comprised of interested citizens who help conduct public meetings and hearings, review applications for funding, conduct interviews with representatives of applicant agencies, and make recommendations for funding to the Mayor and City Council. The size of the SPP varies, but averages about 17 citizens.

Waukegan Housing Authority

The Mayor, with the approval of the City Council, appoints the Waukegan Housing Authority commissioners. There is also a memorandum of agreement between the City and the Housing Authority, which provides for the utilization of City police in the protection of Housing Authority properties. The Waukegan Housing Authority administers the Section 8 voucher program for the City of Waukegan.

Coordination with Housing Authorities

The three governmental entities comprising the Lake County Consortium are also afforded the opportunity to review and comment on the Housing Authority Agency Plans prior to their submission to HUD. Lake County reviews the housing authorities' Annual and Five-Year Plans, and provides annual Certificates of Consistency with the *2010 – 2014 Consolidated Plan* to the housing authorities for submission to HUD in connection with these plans. Periodic joint meetings are scheduled, to the extent feasible, during the course of the program year in order to ensure a continuing dialogue between local government and the housing authorities.

In addition, each of the housing authorities are encouraged to become involved as part of the general membership of the Affordable Housing Commission.

Coordination with Other Assisted-Housing Providers

Representatives of the housing development industry, including assisted-housing developers, sit on the Affordable Housing Commission. Prior to the *2005 – 2009 Consolidated Plan*, the Executive Director of the AHC met with developers to discuss potential housing projects, joined on numerous occasions by local government staff. However, due to federal funding reductions, Lake County staff now directs and maintains contact with HOME and CDBG project owners and developers throughout the application, funding, and administration cycles. The Executive Director of the AHC and local government staff join the County in these meetings as appropriate.

Coordination with Private and Governmental Health, Mental Health, and Service Agencies

Many health and social service agencies are participants in the Continuum of Care planning process and in the Lake County Consolidated Application process. Many meet regularly throughout the year as a group, or in smaller groups, with Consortium staff members. Many of the issues discussed at these meetings have application beyond homeless and other social services, and have proved helpful in raising issues related to the development of the *2010 - 2014 Consolidated Plan* and each *Annual Action Plan*.

Since many of these agencies receive funding from Consortium members, regular contact is maintained with them throughout the year.

The numerous agencies applying for Consortium funding are interviewed by each of the Consortium members' citizen advisory bodies regarding their program activities. This process ensures that agencies coordinate with other health, mental health, and service agencies on a regular basis.

Appendix A: Summary of Public Comments on the Proposed Annual Action Plan

Public hearings were held on the following dates to comply with HUD citizen participation requirements:

A. January 15, 2014
B. April 9, 2014

LAKE COUNTY CONSORTIUM / LAKE COUNTY

PUBLIC COMMENTS

The following public comments were received:

January 15, 2014 - Lake County Administration Building, Waukegan, IL

- Ms. Sarah Pinger, of Catholic Charities, thanked the CDC for its initial funding recommendation for the agency's Homeless Prevention program. She said that the funds are very important to help clients maintain housing stability within the community. Ms. Pinger added that Catholic Charities looks forward to strengthening its relationship with the County.

- Ms. Donna Drinka, of Catholic Charities, offered her thanks for the agency's funding recommendation for its Rapid Re-housing program, which she said is an important housing option to assist the County's homeless citizens. The agency looks forward to working diligently to place clients in permanent housing.

- Ms. Katie Pinter, of Prairie State Legal Services, expressed appreciation for her agency's Fair Housing program funding recommendation, which will allow Prairie State to undertake more high-impact fair housing litigation to affect change within the County. The agency will continue to work toward creating better housing situations and prevent homelessness within the County.

- Ms. Frances Forys, of Maristella, asked for reconsideration for the agency's program, which did not receive an initial funding recommendation. She felt that the program should have scored higher and went over the categories, providing her reasons for increasing those scores. Ms. Forys hoped that the CDC would reconsider and award her agency at least $10,000.

- Ms. Gingiss said the CDC valued Maristella as a partner and respected the agency's work for the community and she thanked Ms. Forys for her comments.

- Mr. Joel Williams, of PADS, thanked the CDC for his agency's initial funding recommendation for PADS Emergency Shelter program, which provides the first point of entry and assistance for those experiencing homelessness. He looks forward to being a good steward of the funds and assisting the agency's clients.

April 9, 2014 - Lake County Administration Building, Waukegan, IL

Lake County Community Development Commission, Final Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *2014 Annual Action Plan* for final review, comment, and approval.

Appendix B: Housing Policies: Recapture and Resale, Homebuyer, Lease-purchase and CHDO Certification

Resale and Recapture Policies

These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

Period of Affordability

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced:

HOME amount per unit	Minimum Period of Affordability
Under $15,000	5 years
$15,000 to $40,000	10 years
Over $40,000	15 years

The Lake County Consortium may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods. Lake County shall determine, based upon the type of subsidy, form of ownership, and type of market in which the property is located, whether the period of affordability shall be enforced by either Resale or Recapture provisions.

Resale Provisions

A. Activity Types - Resale provisions as outlined in 24 CFR Part 92.254(a)(5)(i)(A) and (B) shall be required or encouraged in the following cases:
   - Resale provisions shall be required when the HOME subsidy is provided in the form of a development subsidy in which HOME funds are divided among each HOME-assisted unit and not provided as a direct subsidy to the homebuyer.
   - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and a Community Land Trust or other similar entity maintains ownership of the HOME-assisted property to ensure its continued affordability in perpetuity.
   - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and it is determined that the property is located in a highly appreciating market for the purpose of maintaining the unit's affordability throughout the entire period of affordability.

B. Methods – The resale option ensures that the HOME-assisted unit remains affordable over the entire period of affordability. All HOME-assisted units "designated as affordable units" shall meet the following criteria:
   - The new purchaser must be low-income, meeting the HOME Program definition, and occupy the property as the family's principle residence.

- The sales price must be "affordable" to the new purchaser. In this instance, affordability of the new purchaser is defined as the income-eligible household's maximum mortgage capacity as defined by a mortgage lender using generally-accepted underwriting criteria.
- Under no circumstances may the "affordable" sales price exceed 95% of the median purchase price for the area.
- Net proceeds from the sale must provide the original homebuyer, now the home seller, and a "fair return" on their investment (including any downpayment and capital improvement investment made by the owner since purchase). In general, Lake County shall consider "fair return" to be the maximum sales price as calculated using the following formula:

$$\textbf{Maximum Permitted Resale Price} = \left(\frac{\text{The initial sales price to the Owner}}{\text{Initial Area Median Income}} \right) \text{X} \ \text{Resale Area Median Income}$$

Initial Median Income is defined as the Area Median Income corresponding to a household size equivalent to 1.5 times the number of bedrooms in the Home at the time of the Owner's purchase of the Home rounded to the nearest whole number.

Resale Area Median Income is defined as the Area Median Income corresponding to a household size equivalent to 1.5 times the number of bedrooms in the Home at the time of the Owner's Notice of Intent, rounded to the nearest whole number.

Individual projects/ programs may apply for changes in the above formula for application to that specific project/program with the approval of Community Development Staff. The formula for "fair return" must be included in the contract between Lake County and the project/program partner.

C. Enforcement Mechanisms - Resale requirements shall be enforced through deed restriction, covenant, land use restriction agreement, or other similar mechanism filed with the Lake County Recorder of Deeds and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

Recapture Provisions

A. Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as downpayment and/or purchase- price assistance.

B. Methods – The recapture option allows Lake County to recapture the entire HOME subsidy, subject to net proceeds, if the HOME recipient decides to sell the unit within the period of affordability at whatever price the market will bear. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
   - The homebuyer may sell the property to any willing buyer.
   - The sale of the property during the period of affordability triggers repayment of the direct HOME subsidy, subject to net proceeds, to Lake County that the buyer received when he/she originally purchased the home.

C. Enforcement Mechanisms - Recapture provisions shall be enforced through a mortgage, note and Recapture Agreement filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

D. Amount of Repayment – Lake County requires that when the recapture requirement is triggered by a sale (whether voluntary or involuntary), it will recapture the Home investment up to the total net proceeds remaining after the sale as modified by program specific choices under 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (4). Net proceeds are the sales price minus the superior loan repayment (other than HOME funds) and any closing costs. The specific method of recapture as capped by net proceeds may be one of the four choices outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (4). These options include:

a. Recapture the entire amount- Lake County may recapture the entire amount of the HOME investment from the homeowner, with total collections capped by net proceeds;
b. Reduction during the affordability period- Lake County may elect to reduce the HOME investment amount to be recaptured on a pro rata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period;
c. Shared Net Proceeds- When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer's investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner's investment (including downpayment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:

$$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy + Homeowner Investment}} \text{ X Net Proceeds} = \text{HOME Recapture}$$

d. Owner investment returned first- Lake County may permit the homebuyer to recover the homebuyer's entire investment (downpayment and capital improvements made by the owner since purchase) before recapturing the HOME investment.

Staff shall select one of these four options based on the specific project/program in consultation with the project/program sponsor. The selected method must be included in the contract between Lake County and any partner.

E. Mortgage Release - Upon receipt of recaptured funds, Lake County shall file a "Release" document with the Lake County Recorder of Deeds to release the original HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.

F. Repayments – Repayments of recaptured funds shall be remitted directly to Lake County to be utilized for HOME-eligible activities only.

Homebuyer Policy:

In accordance with HOME regulations at 24 CFR 92.254(a)(3) and (f) Lake County has created a Homebuyer Policy to establish underwriting standards for homeownership, lending, and refinancing and subordination. This policy supersedes any policy, program provision, or program directive previously issued as related to the HOME program specific to homebuyer programming, and serves to amend the Lien Subordination Policy of January 23, 2013 as related to homebuyer programming (homeownership). Additionally, this Policy will be enforced as it relates to applications made for HOME program funding.

1. **Background and Applicability.** Lake County will utilize market-rate based guidelines (sourcing from FHA standards and local real estate lending practices) to establish homebuyer underwriting parameters. Said parameters are applicable to all direct homebuyer assistance (collectively known as down-payment assistance, closing-cost assistance and discount to sales price) for any HOME-assisted homebuyer assistance programs or assistance provided to homebuyers purchasing units assisted with a HOME development subsidy. Any provider or developer utilizing HOME funds to assist a homebuyer must utilize the criteria setforth in this Policy. Further, the Lake County Homebuyer Policy addresses parameters for responsible lending, refinancing of loans to which HOME loans are subordinated, and homebuyer counseling.

2. **Housing Type and Ownership.** Housing that is for acquisition by a family must be single-family housing held in fee simple title. The land may be owned in fee simple or the homeowner may have a 50-year ground lease as part of community land trust developments. For manufactured housing, the ground lease must be a for a period at least equal to the applicable period of compliance/ affordability set forth in Paragraph 6 below. Single family housing means a one-to four-family residence, condominium unit, cooperative unit, combination of manufactured housing and lot, or manufactured housing lot. A manufactured home dwelling unit may not have wheels, must be affixed to the ground, and may not be licensed as a vehicle under Illinois law.

3. **Housing Value.** The housing must be modest as follows:

   - Acquisition of newly constructed housing or pre-existing housing: The housing purchase price may not exceed 95 percent of the median purchase price for the type of housing for the area as determined and published by the U.S. Department of Housing and Urban Development (HUD) from time to time. Lake County also reserves the right to determine the 95 percent limit following HUD approved methodology in accordance with 24 CFR 92.254(a)(2)(iii).

   - Acquisition with Rehabilitation: The housing has an estimated after rehabilitation value not to exceed 95 percent of the median purchase price for the area as determined and published by HUD from time to time, and calculated in accordance with Lake County underwriting development standards. Lake County also reserves the right to determine the 95 percent limit following HUD approved methodology in accordance with 24 CFR 92.254(a)(2)(iii).

4. **Location of Real Property.** This Policy applies to activities located within the Lake County Consortium geographical area, which may include from time to time communities located outside of the physical borders of Lake County depending on the allocation formula from HUD and the urban county requalification process that occurs every three years.

5. **Income Qualification of Household.** The housing must be acquired by a household whose family qualifies as a low-income family. In determining the income eligibility of the family, the participating jurisdiction must include the income of all persons living in the housing. Low income households are defined as those with incomes that do not exceed 80% of median family income (MFI) for the Chicago metropolitan area as determined by the Department of Housing and Urban Development. The methodology used in determining income will be stated in the Agreement for each program or project ; one methodology will be applied to each type of HOME activity. The standard income qualification method for the HOME program is the HUD Part 5

definition unless otherwise stated in the Lake County Consortium Consolidated Plan Annual Action Plan or other measure.

6. **Occupancy.** The housing must be the principal residence of the family throughout the following periods of compliance/affordability:

Assistance Amount	Minimum Period of Affordability
Under $15,000	Five (5) years
$15,000 to $40,000	Ten (10) years
Over $40,000	Fifteen (15) years

The Lake County Consortium Consolidated Plan Annual Action Plan includes provisions for application of recapture and/or resale dependent on program and project type and assistance. Such measures are also incorporated in all program and project agreements and such measures are enforced utilizing restriction documents such as liens, mortgages and notes.

7. **Counseling.** All homebuyers must receive homeownership counseling and submit evidence of counseling to the assistance provider for Lake County staff approval prior to purchase of property. This policy is subject to change and update upon receipt of guidance from HUD.

(Continued on next page.)

8. **Financial Underwriting of Homebuyer.**
Lake County will adopt the following underwriting parameters to ensure that no household receiving direct assistance is under or over subsidized:

Criteria	Lake County Homebuyer Assistance Programs	Lake County Habitat Projects	Lake County Development Projects
Housing Debt and Overall Debt	Program parameters must conform to the ratio policies contained herein. A CLTV shall not exceed 100%.	Program parameters must conform to Habitat ratio polices. A CLTV shall not exceed 100%.	Program parameters must conform to the ratio policies contained herein. A CLTV shall not exceed 100%.
Appropriateness of Amount of Assistance	Subsidy will not be given that allows a homebuyer to have a total housing expenses to income ratio less than 25%. A maximum subsidy of 5% of purchase price.	Per Habitat policy for housing expenses to income ratio as reviewed by Lake County Consortium staff.	Subsidy will not be given that allows a homebuyer to have a total housing expenses to income ratio less than 25% for down payment assistance . Closing costs and discount to sales price assistance will be evaluated on a per case basis for reasonable and customary rates and lending.
Monthly Expenses of Family	Cannot exceed 41% of income. Housing ratio cannot exceed 35% (or go lower than 25% per above policy).	Per Habitat policy as related to program participation, budgeting and counseling; as reviewed by Lake County Consortium staff.	Cannot exceed 41% of income. Housing ratio cannot exceed 35% (or go lower than 25% per above policy).
Assets Available to Acquire Housing	Homebuyer must have at least 1% or $1,000, whichever is greater, of their own funds invested in the transaction. Borrowers' liquid assets after closing excluding college and retirement funds and special needs trust funds shall not exceed 100% of AMI for household size. Gift limit of $10,000.	Per Habitat policy as related to program participation, budgeting and counseling; as reviewed by Lake County Consortium staff.	Homebuyer must have at least 1% or $1,000, whichever is greater, of their own funds invested in the transaction. Borrowers' liquid assets after closing excluding college and retirement funds and special needs trust funds shall not exceed 100% of AMI for household size. Gift limit of $10,000.
Financial Resources to Sustain Homeownership	Borrowers must have one month PITI(A) in reserve within sixty (60) days prior to closing.	Borrowers must have one month PITI(A) in reserve within sixty (60) days prior to closing.	Borrowers must have one month PITI(A) in reserve within sixty (60) days prior to closing.

9. **Subordination of Lake County Mortgages due to Refinancing of Primary Loans**. Subordination of Lake County liens under the HOME Program will be permitted in cases involving refinancing of a household's (homeowner's) first mortgage only when the following conditions are met:
   - The borrower takes out no cash.
   - The refinancing is for a lower interest rate than the existing first mortgage.
   - The borrower's new monthly mortgage payment (including principal and interest) will be less than the current monthly payment. At staff's discretion, exceptions may be considered for homeowners refinancing out of an adjustable rate mortgage or into a shorter term mortgage.
   - The borrower's new loan amount will be less than the current loan amount.
   - The new mortgage must be for a fixed interest loan. The County will not subordinate to an adjustable rate mortgage (ARM).
   - Special consideration will be given to those receiving a loan modification on the first or second mortgage.
   - A quit claim deed must be issued if a borrower is no longer on the mortgage.

10. **Responsible Lending**. Must choose a 30-year fixed rate mortgage with an interest rate no more than two (2) percentage points above the most current rate of the Illinois Housing Development Authority homebuyer program. If buyers are utilizing lenders not currently part of homebuyer program or developer lending portfolios (as previously reviewed by Lake County), County staff will review the loan terms to ensure loans are at a fixed rate and comparable interest rate of local market lenders and fees that are reasonable and customary. In cases of refinancing, Lake County staff will review all refinancing requests to ensure terms are compliant with the Lake County subordination policy and local market refinancing standards. Fees must be reasonable in accordance with industry standards. This policy is subject to change and update upon receipt of guidance from HUD.

11. **Appeal.** In cases of denial, the applicant could appeal to the Lake County Community Development Administrator for further consideration.

Lease-Purchase:

The Consortium (Participating Jurisdiction) will utilize a lease-purchase program as an affordable unit development and disposition strategy as governed under 24 CFR 92.254 (a) (7) of the HOME Investment Partnership Program regulations. The program will be targeted to households earning 80% of area median income or less. The sales price to be considered as part of the contract option to purchase will be the higher limit of the Section 215(b) of NAHA limit, or Participating Jurisdiction (Consortium) limit as determined according to procedures at 92.254(1)(2)(iii) which provides that the Consortium may complete a self-study to determine reasonable market limits based on local market conditions. Finally, should a unit previously rented and optioned as a lease-purchase unit not convert to a homebuyer sale, it shall be governed under the rental requirements of 24 CFR 92.252 and further disposition requirements of 24 CFR 92.255.

Any activities that may utilize the lease-purchase strategy shall be identified in the Action Plan Activity descriptions and Table 3C documents.

Community Housing Development Organization (CHDO) Certification:

In accordance with HOME regulations at 24 CFR 92.2, Lake County certifies Community Housing Development Organizations (CHDO's) to act in accordance with CHDO programming parameters under the HOME Program. A CHDO is defined as a private nonprofit, community-based service organization that has obtained staff with the capacity to develop affordable housing for the community it serves.

One of the goals of the Lake County Consortium HOME/CDBG Housing fund is to strengthen the capacity of CHDO's to successfully develop affordable housing projects throughout Lake County. Before committing any CHDO funding to an organization (for either project-specific activities or operating expenses), the Lake County Consortium is required to ensure that prospective CHDO's meet the CHDO qualifying criteria outlined in the HOME Rule at 24 CFR 92.2 including capacity, mission, entity composition and other HOME Program requirements. A formal application is made available at the time of funding rounds for CHDO certification that includes submission of documentation to evidence compliance and provide for means of evaluation of prospective organizations work as a CHDO under the HOME program. Critical to the evaluation is entity capacity which includes review of the organization's capacity for project work, financial stability including organizational funding and portfolio, and financial management policies and procedures, and development policies and procedures. Past, present and future development projects are also evaluated in assessing the ability to perform under strict HOME guidelines, as is the overall mission and operations of an entity to determine they fulfill the needs of the jurisdiction as an entity dedicated to the development of affordability housing as a primary mission. Finally, CHDO's are certified based upon project role(s) of developer, sponsor, and owner.

Appendix C: Residential Anti-Displacement and Relocation Assistance Plan

As a pre-submission requirement when applying for funding through the Community Development Block Grant Program (CDBG) and the HOME Investment Partnerships Program (HOME), the County is required to develop, adopt, make public, and certify that it is following the Residential Anti-Displacement and Relocation Assistance Plan. Recent amendments to the Housing and Community Development Act coupled with the expansion of the Uniform Relocation Act, effective April 1989 represent the strongest anti-displacement measures yet to be applied to HUD assisted activities.

The plan must address: (1) the County's policies to minimize and avoid displacement of persons from their homes and neighborhoods; (2) the replacement of any low- and moderate- income occupiable housing units that are demolished or converted to another use utilizing CDBG and/or HOME funds and any relocation that might be associated with the loss of those housing units; and (3) any relocation or displacement as a direct result of any federally-assisted project. A Glossary of Terms and a Summary of Appeals Process are attached.

I. Steps To Be Taken To Minimize The Displacement Of Persons From Their Homes

The following general steps will be taken by the County to avoid displacement or to mitigate its adverse effects if it is deemed necessary.

- Priority will be placed on the rehabilitation of housing, where feasible, to avoid the displacement of persons from their homes.
- Property acquisition will be highly selective, targeting only those properties deemed essential to the success of the project.
- Priority will be placed on the purchase of unoccupied buildings; if a suitable, unoccupied site cannot be found, priority will be given to purchasing an occupied property from a willing seller.
- When a property must be acquired quickly, for whatever reason, efforts will be made to avoid displacement until the property is actually needed.
- The acquisition and/or demolition of properties will be timed so as to allow the maximum amount of time for tenant or owner relocations.
- Temporary displacements will be for as brief a period of time as is possible.
- Except in emergency cases, owners or tenants of properties who may be displaced will be given at least a ninety-day notice prior to being required to vacate.
- In determining whether or not temporary relocation is necessary to facilitate the rehabilitation of a dwelling, the County will consider any hardships likely to result if the person occupies the property during the rehabilitation process.
- The County shall review all claims for relocation assistance in an expeditious manner. The claimant shall be promptly notified as to any additional documentation that is required to support his/her claim. Payment of the claim will be made within 30 days following receipt of sufficient documentation to support the claim.
- If a person demonstrates the need for an advance relocation payment in order to avoid or reduce a hardship, the County will issue the payment, subject to such safeguards as the County deems are appropriate, to ensure that the objective of the payment is accomplished.
- All persons to be displaced as a result of a federally-funded activity shall be offered relocation assistance advisory services as outlined in 49 CFR 24.205 of the Uniform Act.

II. Steps To Be Taken When Low- Or Moderate-Income Housing Units Are Demolished Or Converted To Another Use, As A Direct Result Of Activities Assisted With CDBG And/Or HOME Funds

A. One-For-One Replacement Component

Lake County will replace all occupied and vacant habitable low/moderate-income dwelling units demolished or converted to a use other than as low/moderate-income housing when they are lost as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(b)(1).

The replacement dwelling units may include public housing, existing housing receiving Section 8 project-based assistance or Section 8 vouchers, and units raised to standard from substandard condition through rehabilitation. All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County of Lake will make public and submit to the HUD Field Office the following information in writing:

1. A description of the proposed assisted activity;
2. The general location on map and actual number and addresses of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate-income dwelling units as a direct result of the assisted activity;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. The general location on a map and actual number and addresses of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and;
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate-income dwelling unit for at least 10 years from the date of initial occupancy.

B. Relocation Assistance Component

Lake County will provide relocation assistance, as described in 24 CFR Part 570.606 (b)(2), to each low/moderate-income household displaced by the demolition of housing or by the conversion of a low/moderate-income dwelling unit to another use as a direct result of CDBG and/or HOME-assisted activities. The low/moderate income-household may elect to receive relocation assistance as described in 24 CFR Part 42 (Uniform Relocation Act as summarized in Part III of this document) or may elect to receive the following relocation assistance.

1. Moving expenses - Subject to the limitations and definitions contained in 49 CFR. Part 24, Subpart D, a displaced owner-occupant or tenant of a dwelling unit is entitled to either:

    a) Reimbursement of the actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

    b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
2. The reasonable cost of any security deposit required to rent the replacement unit, and any credit checks required to rent or purchase the replacement unit.

3. Persons are eligible to receive one of the following two forms of replacement housing assistance

   a) Each person must be offered rental assistance equal to 60 times the amount necessary to reduce the monthly rent and estimated average monthly cost of utilities for a replacement of dwelling (comparable replacement dwelling or decent, safe, and sanitary replacement dwelling to which the person relocates, whichever costs less). All or a portion of this assistance may be offered through a certificate or housing voucher for rental assistance (if available) provided through the local Public Housing Agency (PHA) under Section 8 of the United States Housing Act of 1937. If a Section 8 certificate or housing voucher is provided to a person, the County must provide referrals to comparable replacement dwelling units where the owner is willing to participate in the Section 8 Existing Housing Program. To the extent that case assistance is provided, it may, at the discretion of the County, be in either a lump sum or in installments.

OR

   b) If the person purchases an interest in a housing cooperative or mutual housing association and occupies a decent, safe, and sanitary dwelling in the cooperative or association, the person may elect to receive a lump sum payment. This lump sum payment shall be equal to the capitalized value of 60 monthly installments of the amount that is obtained by subtracting the "Total Tenant Payment" from the monthly rent and estimated average monthly cost of utilities at a comparable replacement dwelling unit.

4. Advisory Services - All eligible displaced households shall be provided appropriate advisory services, including notification of the planned project with a description of the relocation assistance provided, counseling, and referrals to at least one suitable comparable replacement dwelling.

III. Steps To Be Taken When Relocation Occurs From Acquisition, Rehabilitation, Or Demolition Activities Utilizing CDBG And/Or HOME Program Funds (Uniform Relocation Act)

The Uniform Relocation Act applies to all federal or federally-assisted activities that involve acquisition of real property or the displacement of persons, including displacement caused by rehabilitation or demolition activities funded by the Community Development Block Grant Program, or the HOME Program.

If, as a direct result of any CDBG- or HOME-funded activity, it becomes necessary to relocate persons, they shall be eligible for relocation benefits as outlined below (49 CFR Part 24, Subpart C-E):

A. Permanent Displacement

1. Moving Expenses - Subject to the limitation and definitions contained in 49 CFR. Subpart D, a displaced owner-occupant or tenant of a dwelling is entitled to either:
   a) Reimbursement of actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

   b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.

2. Advisory services as outlined in 49 CFR Part 24, Subpart C including notification of the planned project with a description of the relocation assistance provided, counseling and referrals to at least one comparable replacement dwelling.

3. Replacement Housing Payment - Subject to the limitations of 49 CFR Part 24 Subpart E of the Act, a displaced owner-occupant or tenant is eligible for one of the following replacement housing payment:

   a) 180-Day Homeowner-Occupants

      i. If the person has actually owned and occupied the displacement dwelling for not less than 180 days prior to the initiation of negotiations to acquire the property and purchases and occupies a replacement dwelling within one year, he/she is eligible for a replacement housing payment which represents the combined cost of (1) the differential amount, (2) increased interest costs, and (3) reasonable incidental expenses as outlined in Section 24.401;

      OR

      ii. If the person is eligible for this section, but elects to rent a replacement dwelling, he/she is eligible for a rental assistance payment computed in accordance with Section 24.401(a)(2)(ii) below:

   b) 90-Day Occupants (Homeowners and Tenants) - A displaced tenant or owner-occupant, not qualified for the above 180-day homeowner-occupant payment, is eligible for either:

      i. A rental assistance payment computed in the following manner:

         1) the lesser of either the monthly cost of rent and utilities for a comparable replacement dwelling or the monthly cost of rent and utilities for a decent, safe, and sanitary unit the person actually moves into.
         2) the lesser of either thirty percent of the person's average gross household income or the monthly cost of rent and utilities at the displacement dwelling.
         3) line (1) minus line (2)
         4) Sixty (60) times the amount of line (3)

         OR

      ii. A down payment assistance payment for the person electing to purchase a home in the amount the person would receive paragraph (b)(i) above, if the person received rental assistance.

4. If the County determines the replacement housing payment, as outlined above and specifically in 49 CFR Part 24 Subpart E, would not be sufficient to provide a comparable replacement dwelling on a timely basis, the County will take appropriate measures as outlined in Subpart E 49 CFR 24.404. These measures may include but are not limited to, the following:

   - Rehabilitation of and/or additions to an existing replacement dwelling:

- Construction of a new replacement dwelling;
- Payment of a replacement housing payment in excess of the limits set forth in Subpart E, 49 CFR Part 24 and outlined in Section 24.401 (a)(2)(ii) of this document.

B. Temporary Relocation

- If the owner and the County determine that a tenant in a building being rehabilitated under this program must be temporarily relocated in order to complete the rehabilitation work, the tenant will be eligible for reasonable moving expenses and increased housing costs for the time he/she is temporarily displaced.

- If a person is required to relocate for a temporary period because of an emergency, which is a direct result of a CDBG or HOME funded activity, the County shall:

  a) Take whatever steps necessary to ensure that the person is temporarily relocated to a decent, safe and sanitary dwelling; and
  b) Pay the actual reasonable out-of-pocket expenses and any increases in rent and utilities; and
  c) Make available as soon as feasible, at least one comparable replacement dwelling (for the purpose of filing a claim for relocation payment as described in Section III (A) of this document).

- The County will determine who will be responsible for payment of the eligible costs. An agreement will be made between the property owner and the County, prior to the start of rehabilitation, stating who is responsible for each portion of the eligible costs outlined above. If the property owner does not pay a temporarily relocated or permanently displaced tenant the money due that tenant under these guidelines there will be no further distribution of County loan money until the County determines that the money due the tenant has been paid.

Glossary of Terms

Comparable Replacement Dwelling - A dwelling unit that is:

- Decent, safe, and sanitary. The dwelling must meet the County's housing code requirements. Dwellings outside the County must meet the Section 8 Housing Quality Standards;
- Functionally equivalent to and substantially the same as the acquired dwelling with respect to the number of rooms and area of living space (but not excluding new construction nor excluding a larger dwelling necessary to comply with decent, safe and sanitary criteria stated above);
- Adequate in size to accommodate the occupants;
- Demonstrated to be available to all persons regardless of race, religion, familial status, sex or national origin in a manner consistent with the requirements of Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et.seq.), and available without discrimination based on source of income (e.g. welfare or alimony);
- In an area not subject to unreasonable adverse environmental conditions from either natural or man-made sources and in an area not generally less desirable than that of the acquired dwelling with respect to public utilities and public and commercial facilities;
- Reasonably accessible to the place of employment of the displaced person or, if unemployed (but employable) reasonably accessible to sources of employment;

- Currently available to the displaced person on the private market, however, a comparable replacement dwelling for a person receiving government housing assistance before displacement may reflect similar government housing assistance.

Displaced Person – Any family or individual that is required to move permanently and involuntarily as a direct result of an assisted activity. The term includes a residential tenant who moves from the real property if the tenant has not been provided a reasonable opportunity to lease and occupy a decent, safe and sanitary dwelling in the same building or in a nearby building on the real property following completion of an assisted activity at a monthly rent/utility cost that does not exceed the greater of:

- 30 percent of the tenant household's average monthly gross income, or
- The tenant's monthly rent and average cost for utilities before the owner requested financial assistance.
- A residential tenant who is required to move to another unit in the property or is required to relocate temporarily, but is not reimbursed for his/her reasonable out of pocket expenses, would also be considered a "displaced person" if he/she moves from the real property permanently.

Low/Moderate-Income Dwelling - A dwelling unit with a market rent (including utility costs) that does not exceed Fair Market Rent for existing housing established under 24 CFR Part 888 (Section 8). This term does not include a unit that is owned and occupied by the same person before and after the assisted rehabilitation.

Occupiable Dwelling Unit - A dwelling unit that is in a standard condition or in a substandard condition, but suitable for rehabilitation. A "vacant occupiable low/moderate-income dwelling unit" requires replacement of units in any condition which are occupied (except by a squatter) at any time within the period beginning one year before the date of execution of the agreement covering the rehabilitation or demolition (e.g., the agreement between the subgrantee/recipient and the owner of the building to be rehabilitated).

Standard Dwelling Unit - A dwelling unit with 0-5 minor housing code violations.

Substandard Dwelling Unit - Any housing unit with more than 6 minor housing code violations or any structural systems violations.

Substandard Dwelling Unit Suitable for Rehabilitation:

- All basic structural components of the subject unit must be determined to be sound. If the building has severe structural damage and the cost of repair would exceed the fair market value of the dwelling unit after rehabilitation, the building would be considered to be not suitable for rehabilitation.
- The cost of rehabilitation should not exceed the fair market value of the dwelling unit after rehabilitation.
- The rehabilitation activities should extend the usable life of the dwelling unit for at least five years.

The HUD Inspector General's Office has agreed to use the Internal Revenue Service's definition of what constitutes substantial rehabilitation and what is considered to be new construction. Lake County will abide by this definition when attempting to determine whether or not a substantially substandard unit is suitable for rehabilitation. The IRS regulations state that in order for a unit to be considered eligible for

rehabilitation and not be considered new construction, 75% or more of the existing external walls of the structure must be retained in place as external walls in the rehabilitation process.

Summary of Appeals Process

In computing the benefits to which an individual or family is entitled, a complex set of regulations and rules must be followed. Each individual will be individually apprised of the regulations governing their claim.

Individuals, families or businesses who have received notification that they may be permanently displaced as a result of a federally-funded County CDBG or HOME project should immediately contact the County's Community Development Division for more specific information regarding their eligibility for relocation benefits. Any questions regarding Lake County's CDBG or HOME Relocation Policy or Program should be directed to:

Lake County Community Development Administrator
Planning, Building & Development Department
500 West Winchester Road, Unit 101
Libertyville, IL 60046
(847) 377-2475

As outlined at 24 CFR Part 42.10 of the Uniform Act, if a claimant does not agree with the County's Planning Department's determination as to eligibility, amount of relocation payments, or other relocation benefits, a written appeal to the County Administrator's office may be made within 90 days of the claimant's notification of eligibility and benefits. Lake County shall promptly make a written determination on the appeal, including an explanation of the basis on which the decision was made, and furnish the claimant with a copy. If differences still remain, he/she may file a written request for review of the County's decision to the HUD Field office. In all cases, the individual may appeal to a court of law and may be represented by legal counsel at his or her own expense.

Appendix D: Lake County Certifications

General Certifications

Specific CDBG Certifications

Optional Certification CDBG

Specific HOME Certifications

Specific ESG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about -

   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -

   (a) Abide by the terms of the statement; and

   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official **Date**

Chair, Lake County Board
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;

2. Overall Benefit. The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

   However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

   The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official	**Date**
Chair, Lake County Board	
Title	

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

> The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official	**Date**
Chair, Lake County Board	
Title	

Specific ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature/Authorized Official **Date**

Chair, Lake County Board

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Lake County Planning, Building and Development Department
500 W. Winchester Road, Unit 101, Libertyville, IL 60048

Check _X_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

“Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix E: North Chicago Certifications

General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about -

   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -

   (a) Abide by the terms of the statement; and

   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official **Date**

Mayor
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;

2. Overall Benefit. The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

   However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

   The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official	**Date**
Mayor **Title**	

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of North Chicago
1850 Lewis Avenue, North Chicago, Lake, Illinois, 60064

Check _X_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

“Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix F: Waukegan Certifications

General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about -

   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -

   (a) Abide by the terms of the statement; and

   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official **Date**

Mayor
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

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Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;

2. Overall Benefit. The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

   However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

   The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official	**Date**
Mayor **Title**	

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

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B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Various locations throughout the City of Waukegan, specifically its City Hall located at 100 North Martin Luther King Jr. Avenue, Waukegan, Lake, Illinois 60085-4316

Check ___ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

“Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of sub-recipients or subcontractors in covered workplaces).

Appendix G: Cross-Reference of HUD Requirements & Lake County Consortium's 2014 Annual Action Plan

The following chart provides a cross-reference of HUD Requirements with information in the Lake County Consortium's *2014 Annual Action Plan*.

Section	HUD Requirement	Page	Description
91.105 and 91.200(b)	**CITIZEN PARTICIPATION**		
	Description of the development of the Action Plan and efforts to broaden local and regional public participation, including the names of organizations (institutions, businesses, developers, community and faith-based organizations) involved in development of the Action Plan	18	Also see the August 2011 Citizen Participation Plan
	Summary of the citizen participation and consultation process, and the public hearing comment period requirements	18	See "Citizen Participation and Annual Action Plan Process"
	Summary of citizen comments or views included in the plan (accepted or not accepted) and if the comments were specifically and adequately addressed by the grantee (and the reasons why, if they were not accepted)	A-1	See "Appendix A"
	Description of the lead agency or entity responsible for overseeing the development of the Action Plan	114	See "Lake County Consortium" and "Lake County" portions of the "Institutional Structure and Coordination of Resources"
91.220	**ACTION PLAN**		
	Standard 424 Forms for the applicable programs included with correct dollar allocations and signed by the appropriate officials	Attachment D	
	DUNS Number listed in the Standard 424 Forms	Attachment D	
	Description of the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration)	13-16 20	See geographic maps
	Description of the basis for allocating investments geographically within the jurisdiction and the rationale for assigning priorities	19-20	• See "Grant/Loan Application Process", "Grant/Loan Allocation Process", and "Geographic Distribution" sections • See 2010 – 2014 Consolidated Plan for the rationale for assigning priorities

91.220 (b)	**EXECUTIVE SUMMARY**		
	Provision of an Executive Summary that includes objectives and outcomes identified in the Action Plan	1-2	
91.220 (c1)	**RESOURCES**		
	• Description of the Federal Resources (including Section 8 funds, Low-Income Housing Tax Credits, and McKinney-Vento Homeless Assistance Programs) and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the Action Plan • Description of HOME and/or ESG matching requirements.	20-21	See "Other Resources" section, along with individual Table 3C's
91.220 (c3) and 91.220 (d)	**ACTION PLAN ACTIVITIES**		
	• Complete description of CDBG/HOME/ESG funded activities • Identification/Summary of priorities and specific annual objectives that will be addressed • Relationship to the priority needs identified/local specific objectives as listed in Consolidated Plan • Description of proposed activities (in sufficient detail) that includes number and type of families that will benefit from the proposed activities and locations	24-107	Individual Table 3C's
91.220 (e)	**OUTCOME MEASURES**		
	Identification of outcome measures for activities in accordance with Federal Register notification (of March 7, 2006) for the general objective categories (decent housing, suitable living environment, or economic opportunity) and for the general outcome categories (availability/accessibility, affordability, or sustainability)	24-107	Individual Table 3C's
	EXPENDITURE LIMITS		
	• Identification of the 20% administrative cap for CDBG • Identification of the 15% public service cap for CDBG	7	See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	• Identification of the 10% administrative cap for HOME • Identification of the 5% CHDO Operating Fund set-aside for HOME • Identification of the 15% CHDO set-aside for HOME	n/a	See "Notes Regarding the HOME Program Funding Recommendation Table"
91.220 (f)	**GEOGRAPHIC DISTRIBUTION/ALLOCATION PRIORITIES**		
	• Identification of geographic areas of directed assistance (narrative, maps, or tables) including areas of low income • Description of the areas, including areas of minority concentration, of directed assistance	13-16	See geographic maps

	Estimate of the percentage of funds it plans to dedicate in target areas	n/a	**100%** of Lake County, North Chicago, and Waukegan CDBG, HOME, and ESG funded projects benefit persons of low and moderate income
91.220 (g)	**AFFORDABLE HOUSING GOALS**		
	One-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing units	24-40	Individual Table 3C's under Section 1.0 & 2.0
	One-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units	24-32	Individual Table 3C's under Section 1.0
91.220 (h)	**PUBLIC HOUSING**		
	Included actions that address the following (if applicable): • needs of public housing, • public housing improvements and resident initiatives • assist troubled public housing agencies	30	• See "Public Housing Authority (PHA) Capital Fund Program" section • See "Public Housing Resident Initiatives" section
91.220 (i)	**HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES**		
	• Homeless prevention activities proposed in the Action Plan • Emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living proposed in the Action Plan • Supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, or persons with alcohol or other substance abuse problems) • Identification of specific action steps to end chronic homelessness	33-40	Individual Table 3C's under Section 2.0
91.220 (k)	**OTHER ACTIONS**		
	Other proposed actions which will address the following (if applicable): • foster and maintain affordable housing • public housing improvements and resident initiatives • evaluation and reduction of lead-based hazards • reducing the number of persons below the poverty line • developing institutional structures/enhancing coordination between public and private housing and social service agencies	• 24 • 30 • 107	See Individual Table 3C's, the "Anti-Poverty Strategy" and "Institutional Structure and Coordination" sections

91.220 (l)	PROGRAM SPECIFIC REQUIREMENTS		
	CDBG		
	Total amount of funds allocated = (amount of grant entitlement + program income + reprogrammed funds)	6-8	See the Program summations CDBG Recommendation Tables
	Identification of the amount of CDBG funds that will be used for activities that benefit persons of low and moderate income	n/a	**100%** of Lake County, North Chicago, and Waukegan CDBG-funded projects benefit persons of low and moderate income
	HOME		
	Description of other forms of investment and homebuyer-funded guidelines for resale and recapture funds	n/a B-1	• Specific sources of other financial resources are identified in the Table 3C's • See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers"
	Description of homeownership-funded guidelines for resale and recapture funds that ensures affordability of units acquired with HOME funds	B-1	See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers"
	Description of policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units	25 D-2	See "1.1, Fair Housing/Affirmatively Furthering Fair Housing"
	Description of actions taken to establish and oversee a Minority Outreach Program	21	See "Minority Outreach Program" paragraph
91.230	**MONITORING**		
	• Description of standards and procedures to monitor activities carried out in furtherance of the Action Plan • Description of actions to be taken to monitor its performance in meeting its Consolidated Plan goals and objectives • Description of steps and actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures • Description of actions taken to monitor its subrecipients (including sponsors or administering agents)	109-111	See "Monitoring Standards and Procedures" section

	Description of steps and actions taken to ensure long-term compliance with housing codes, including any actions or on-site inspections	102-106	• See "Monitoring Standards and Procedures" of the Annual Action Plan • See the Affordable Housing Corporation of Lake County HOME-related inspection project
91.225	**CERTIFICATIONS (general and specific certifications for each program)**		
	General		
	Affirmatively furthering fair housing; Anti-displacement and relocation plan; Drug-free workplace; Anti-lobbying; Authority of Jurisdiction; Consistency with Consolidated Plan; Section 3	Appendices D, E & F	Included In Lake County, North Chicago, and Waukegan Certifications
	CDBG**		
	Citizen Participation; Community Development Plan; Following the Plan; Use of Funds; Excessive Force; Compliance with anti-discrimination law; Compliance with lead-based paint procedures; Compliance with Laws	Appendices D, E & F	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications
	** Certification period for the CDBG program's overall benefit requirements must be consistent with the period certified in the prior certification	Appendices D, E & F	"2012" for Lake County, North Chicago, and Waukegan
	ESG		
	Not less than 10 years; Not less than 3 years; Essential Services/Operating Costs Provision; Renovation that is Safe and Sanitary; Supportive Services; Match Requirements; Confidentiality; Employing or Involving the Homeless; Compliance with the Consolidated Plan; Discharge Policy; HMIS Participation	Appendix D	Included in ESG Certifications
	HOME		
	TBRA is Consistent with the Consolidated Plan; Use for Eligible Activities and Costs	Appendix D	Included In Lake County's Specific HOME Certifications
	Monitoring of HOME-assisted Projects, including Subsidy Layering	109-111	See "Monitoring Standards and Procedures" of the Annual Action Plan, specifically the portion titled "Housing Program Procedures"

Appendix H: Written Comments on 2014 Annual Action Plan

The following are written comments submitted regarding the 2014 Annual Action Plan (*included in original submission to HUD only; copies available upon request*).

Ray Rose, Chair, Community Development Commission
Lake County Community Development Division
500 West Winchester Rd., Unit 101
Libertyville, IL 60048

02-05-14

Dear Mr. Rose,

I am writing in support of the 2014 CDBG Annual Action Plan Draft, which gives an initial positive recommendation to fund ElderCARE@ChristChurch.

ElderCARE makes a positive difference in the lives of many older adults who live in northern Lake County. Their volunteers are part of what make Lake County a good place to grow old. *I am an ElderCARE volunteer and I can not tell you how many seniors I have met who would have been in trouble without someone to call upon to assist them. They are so grateful to be able to get to their Doctor appointments and get food and necessities- since they are unable to help themselves. It is important for the seniors in our community to know we value and care about them. I personally feel the relationships I have developed are priceless.*

All of their direct services are provided FREE of charge. This funding will play an important role in keeping its services available to the low-income elders, who so greatly need them.

Thank you again for the initial positive funding recommendation, which I strongly support becoming fully approved and final.

Sincerely,

Nancy Alongi
6986 Bennington Dr.
Gurnee, IL 60031

Ray Rose, Chair, Community Development Commission
Lake County Community Development Division
500 W. Winchester Road Unit 101
Libertyville, IL 60048

Dear Mr. Rose,

We are writing in support of the 2014 CDBG Annual Action Plan Draft, which gives an initial positive recommendation to fund ElderCARE@ChristChurch.

ElderCARE makes a positive difference in the lives of many older adults who live in northern Lake County. ElderCARE volunteers are part of what make Lake County a good place to grow old. We are both volunteer drivers for ElderCARE and get the opportunity to experience first-hand how important our service is to many senior residents of our county -- and also to their family members. Most of the time we drive seniors to needed doctor's appointments, dialysis and other life-sustaining needs.

In many instances, our services allow seniors to remain in their homes -- most likely a less expensive alternative to other social services provided by Lake County. All ElderCare direct services are provided FREE of charge and are non-denominational. Your continued funding will play an important role in keeping these services available to the low-income elders, who so greatly need them.

Thank you again for the initial positive funding recommendation, which I strongly support becoming fully approved and final.

Sincerely,

Tom and Nancy Kreuser
1016 Lake Street
Libertyville, IL 60048

Ray Rose, Chair, Community Development Commission
Lake County Community Development Division
500 W. Winchester Road Unit 101
Libertyville, IL 60048

Dear Mr. Rose:

I have been a volunteer with Christ Church Elder Care for more than four years. During that time I have been involved with home inspections for elderly residents in this area. I have seen how those who have very limited incomes need the support services provided by Christ Church. All of their support is without regard to religion, politics or race.

I am writing in support of the 2014 CDBG Annual Action Plan Draft, which gives an initial positive recommendation to fund ElderCARE@ChristChurch.

ElderCARE makes a positive difference in the lives of many older adults who live in northern Lake County. Their volunteers are part of what make Lake County a good place to grow old and continue to participate in the various activities in the county.

All of their direct services are provided FREE of charge. This funding will play an important role in keeping its services available to the low-income elders, who so greatly need them.

Thank you again for the initial positive funding recommendation, which I strongly support becoming fully approved and final.

Sincerely,

Fred Walcott

January 28, 2014

Ray Rose, Community Development Commission
Lake County Community Development Division
500 W. Winchester Road, Unit #101
Libertyville, IL 60048

Re: ElderCARE@ChristChurch

Dear Mr. Rose,

I am writing to you directly in request for support of the 2014 Community Development Block Grant Annual Action Plan Draft, which gives a positive recommendation to fund the above referenced ElderCARE@ChristChurch.

On a personal note, ElderCARE has served and provided a positive influence to many seniors that I am acquainted with, who live not just in Waukegan, Gurnee, but also throughout Lake County. These volunteers represent a positive image and provide a comfort to older adults, which enhances Lake County's image as a good place to retire.

As a Retirement Planner, I support elder clients request to remain independent for as long as possible, while suggesting alternatives such as; retirement communities, senior and assisted living arrangements. However, majority of our elders prefer to reside in their homes, while maintaining their dignity.

ElderCARE services are provided FREE of charge to these seniors, and this funding will play an important role in keeping its services available to the low-income elders, who so greatly need them.

Thank you in advance, and request your support and approval to provide ElderCARE@ChristChurch funding from this year's Community Development Block Grant.

Sincerely,

Gerald P. Crews,
Partner – JKR Financial Consultants
Commissioner – Gurnee Park District
Board Member – Northern Illinois Selective Service Commission
Frm Board Member – Gurnee Exchange Club
Frm Chairman – Waukegan City Club
Lifetime Member – VFW Post # 10140

Independence Is Freedom

LAKE COUNTY CENTER FOR INDEPENDENT LIVING

January 13, 2014

Lake County, Community Development Commission
C/O Ray Rose, Chairman
500 West Winchester Road, Unit 101
Libertyville, Illinois 60048 Phone

Attention Community Development Commission:

It is with disappointment that I submit this letter of appeal. Lake County Center for Independent Living submitted an application for our Employment Readiness Program through the 2014 CDBG Public Services funding and was not funded. I am requesting that our application be re-evaluated and the commission reverse its decision.

LCCIL has consistently exceeded its goals and outcomes every year. We have prepared hundreds of people with disabilities to learn skills critical to obtaining and sustaining employment. People with disabilities endure the highest unemployment rate of any other demographic and our program has successfully assisted our consumers to find and maintain employment and earn a living wage.

The following table summarizes our scores in each category as presented in award letters. Every year there will be some fluctuation in scoring; however, some of the most drastic reduction in scores are in categories that basically do not change from one year to the next. For example, we received a significantly lower rating in Program Design. We have not changed the design of our employment programs in the last four years because of the continued demonstrated success and do not understand the lower score.

Consolidated Application Scores

Category (max points)	*2011*	*2012*	*2013*	*2014*
Community Need (30)	26.25	28.75	29.5	21
Staffing/Facilities (15)	14	14.75	12.5	14
LC Impact (25)	18.5	21.5	22	20
Past Performance (30)	25.75	28.25	25	21
Outcomes (20)	17.75	19.5	16.5	15

377 N. Seymour Ave. • Mundelein, IL 60060
1.847.949.4440 Voice • 1.866.929.9567 VP • 1.847.949.4445 Fax

Past Performance (30)	25.75	28.25	25	21
Outcomes (20)	17.75	19.5	16.5	15
Fiscal Management (20)	17	19	17.5	20
Program Design (40)	33.75	35.75	39.5	28
Sustainability (20)	17	19	17.5	14
Total	170	186.5	180	153

We understand that the commission has a process for reviewing applications and making their decisions in an impartial manner. We further understand there are many qualified applicants and not everyone can receive funding however, Employment services are listed as a high priority in the Lake County Consolidated plan but not funded. LCCIL cannot continue to provide the same level of services and assist the same number of individuals in Lake County without this funding. Consider the impact on their lives. The deck is already stacked against people with disabilities who want to support themselves without relying on county, state or federal assistance to meet their basic needs. Failure to reverse this decision will only worsen this deplorable situation. I am happy to provide you with additional information or anything else you may need to aid you in your decision. I can be reached at (847) 949-4440 or kelli@lccil.org.

Respectfully,

Kelli Brooks

Kelli Brooks
Executive Director

377 N. SEYMOUR AVE. MUNDELEIN, IL 60060. 847-949-4440 Voice. 847-949-0641 TTY. Fax 847-949-4445

3.3 Safety & Security Needs

Area Needs Statement

As with the physiological needs, the key component in developing the public service goals was Maslow's Hierarchy of Needs.

An increasing number of low- to moderate-income residents in Lake County need assistance meeting the Safety & Security needs, the second level of Maslow's hierarchy. A variety of sources (outlined in the goals) demonstrate the need for these types of activities.

Citizen Participation was key in developing these goals and priorities for the *Consolidated Plan*. Surveys were sent out to previously funded organizations for the *2005-2009 Consolidated Plan*, and also to Lake County townships. 44 persons attended one of three focus groups, each of which was held in a different part of the county. Finally, a Public Hearing was held on June 24, 2009 to garner input from the citizens regarding needs and priorities.

The data collected from all of the above-identified sources were reviewed and analyzed in order to develop priorities intended to guide the effective allocation of Lake County's limited community development resources.

Based upon these needs assessments, the Community Development Commission has assigned a High Priority to the following areas of need.

3.3.1 Security of Employment

Needs

In November 2009, the Illinois Department of Employment Security reported a 10.5% unemployment rate for Lake County. In addition to that, a number of individuals are underemployed, and do not have the resources to gain the skills necessary for better employment. Employers require a workforce that is well-trained and educated. However, 8.1% of Lake County students did not graduate high school in 2007-2008 according to the Illinois State Board of Education. According to the Heartland Alliance Mid-America Institute on Poverty's *2009 Report on Chicago Region Poverty*, the lack of a high school diploma for Lake County residents results in approximate average earnings of $20,393 annually versus $32,307 with a diploma. GED programs and vocational training help to close that gap. The American Community Survey shows that in 2007, 39.1% of persons below the poverty line were employed. They require greater skills to gain a better paying job.

Five-Year Measurable Goal

The employment needs of 625 low- and moderate-income residents of Lake County will be served.

Priority: High

Strategy

Support programs that provide training, education or employment opportunities to low- and moderate-income persons in search of employment. Emphasize training in new fields, such as green technology. Promote programs that serve persons with disabilities and/or ex-offenders. Promote multi-lingual/cultural training. Encourage collaboration between organizations to provide training.

HUD Table 2B

Priority Community Development Needs - Lake County

Priority Need	Priority Need Level	Dollars to Address Need	Priority Need	Priority Need Level	Dollars to Address Need
Acquisition of Real Property	L	-	**Public Services (General)**		
Disposition	L	-	**Senior Services**	L	-
Clearance and Demolition	L	-	**Handicapped Services**	L	-
Clearance of Contaminated Sites	L	-	**Legal Services**	H	$3m
Code Enforcement	L	-	**Youth Services**	H	$5m
Public Facility (General)			**Child Care Services**	H	$2.5m
Senior Centers	L	-	**Transportation Services**	H	$10m
Handicapped Centers	L	-	**Substance Abuse Services**	H	$4m
Homeless Facilities	L	-	**Employment/Training Services**	H	$8m
Youth Centers	L	-	**Health Services**	H	$2m
Neighborhood Facilities	L	-	**Lead Hazard Screening**	L	-
Child Care Centers	L	-	**Crime Awareness**	H	$1m
Health Facilities	L	-	**Fair Housing Activities**	H	$750k
Mental Health Facilities	L	-	**Tenant Landlord Counseling**	L	-
Parks and/or Recreation Facilities	L	-	**Other Services**	L	-
Parking Facilities	L	-	**Economic Development (General)**		
Tree Planting	L	-	**C/I Land Acquisition/Disposition**	L	-
Fire Stations/Equipment	L	-	**C/I Infrastructure Development**	L	-
Abused/Neglected Children Facilities	L	-	**C/I Building Acq/Const/Rehab**	L	-
Asbestos Removal	L	-	**Other C/I**	L	-
Non-Residential Historic Preservation	L	-	**ED Assistance to For-Profit**	L	-
Other Public Facility Needs	L	-	**ED Technical Assistance**	L	-
Infrastructure (General)			**Micro-enterprise Assistance**	L	-
Water/Sewer Improvements	H	$15m	**Other**	L	-
Street Improvements	H	$10m			
Sidewalks	L	-			
Solid Waste Disposal Improvements	H	$5m			
Flood Drainage Improvements	H	$10m			
Other Infrastructure	L	-			