

**Fifth Amendment to the Submission of the
2013 Housing and Community Development Consolidated Annual Action Plan
of the Lake County Consortium, Lake County, Illinois**

The Lake County Consortium of Lake County, Illinois, comprised of Lake County as the Consortium lead entity, and the City of North Chicago and City of Waukegan, Illinois as Consortium partners is hereby amending the 2013 Annual Action Plan submission dated March 14, 2013, submitted to the U.S. Department of Housing and Urban Development March 15, 2013.

The following sections of the 2103 Annual Action Plan are being amended to include changes to funding allocations and scope for HOME Investment Partnerships Program (HOME) and Community Development Block Grant (CDBG) projects. The Table 3C documents are amended accordingly.

Amendment #1:

Description of Key Projects-Lake County Consortium Activities- 2013 Lake County Consortium HOME Program Funding Recommendations: Table Insert shall be amended: cancellation/removal of line items for City of North Chicago – Owner Occupied Rehabilitation and City of Waukegan - Owner Occupied Rehabilitation; Community Partners for Affordable Housing (CPAH) – Rental New Construction line item is amended to Rental Rehabilitation and decreased to \$39,800; Glenkirk: Rental Rehabilitation for \$66,800 is increased to \$92,000; addition of Habitat for Humanity – Homebuyer – Rehabilitation/New Construction line-item for \$175,080; Lake County Residential Development Corporation – Rental/Homebuyer-Rehabilitation/New Construction line item total is amended to increase by \$55,048 to \$425,048:

| Entity | Project Type | 5th Amendment |
|---|--|----------------------|
| Community Partners for Affordable Housing (CPAH) | Rental Project: Scattered Site | \$39,800 |
| CPAH | Homebuyer-Rehabilitation: Scattered Site | \$205,000 |
| CPAH | CHDO Operating | \$15,000 |
| Glenkirk | Rental-Rehabilitation: West Trail | \$92,000 |
| Glenkirk | Rental-Rehabilitation: Conway | \$48,000 |
| Habitat for Humanity | Homebuyer-Rehabilitation/New Construction | \$175,080 |
| Lake County Residential Development Corporation (LCRDC) | Rental/Homebuyer-Rehabilitation and New Construction | \$425,048 |
| LCRDC | Rental-Rehabilitation: Riverside Foundation | \$191,000 |
| LCRDC | CHDO Operating | \$15,000 |
| Lake County | Administration | \$100,045 |
| TOTAL | | \$1,305,973 * |

* As in the third and fourth amendments, the HOME budget shown here includes a \$271,747 of new HOME capacity due to repayment of HOME by non-federal funds plus \$33,773 of HOME program income in addition to the Consortium's 2013 allocation of \$1,000,563 for a total of \$1,305,973.

Amendment #2:

1.0 Consortium Housing Activities – HOME funds shall be amended in the following line items:

A) 1.2 Rehabilitation

Cancel:

~~City of North Chicago – Owner Occupied Rehabilitation~~

~~City of Waukegan – Owner Occupied Rehabilitation~~

NOTE: The Consortium partners of North Chicago and Waukegan have designated their consortium funds to projects in their jurisdiction. Projects were evaluated according to HOME requirement for allocations as approved by the Consortium partners under previous application round(s) in PY2013 and PY2014.

Add Community Partners for Affordable Housing (CPAH) – Rental Rehabilitation: Scattered Site

B) 1.3 New Construction cancel:

~~Community Partners for Affordable Housing – Rental New Construction~~

Add Habitat for Humanity – Homebuyer Rehabilitation/New Construction – NOTE: governed as PY2013 Project Activity with City of Waukegan Consortium PY2013 designated funds, per Habitat for Humanity PY2014 application contents and additional information. The project will stand alone as a PY2013 project for purposes of commitment and expenditure deadlines of the PY2013 funds and location of project activities.

The following table serves to outline the Table 3C amendments for convenience purposes only, not to be incorporated into the Action Plan itself:

| | 2013 Action Plan | Previously Amended | Fifth Amendment |
|---|-------------------------|---------------------------|------------------------|
| Waukegan HOME Activities | | | |
| Owner-Occupied Rehab | \$ 175,080 | | \$ - |
| Habitat for Humanity | | | \$ 175,080 |
| Waukegan Subtotal | \$ 175,080 | | \$ 175,080 |
| North Chicago HOME Activities | | | |
| Owner-Occupied Rehab | \$ 55,048 | | \$ - |
| LCRDC Strategic Revitalization (City of North Chicago contribution) | \$ 50,000 | | \$ 105,048 |
| LCRDC Strategic Revitalization (County contribution) | \$ 300,000 | \$ 320,000 | \$ 320,000 |
| North Chicago Subtotal | \$ 405,048 | | \$ 425,048 |
| Misc HOME Activities | | | |
| Glenkirk: Replace Moraine with West Trail | \$ 66,800 | | \$ 92,000 |
| CPAH: Scattered site rental (formerly Walker) | \$ - | \$ 65,000 | \$ 39,800 |
| CPAH: Scattered site preservation program | \$ 270,000 | \$ 205,000 | \$ 205,000 |

Amendment #3:

1.0 Consortium Housing Activities – HOME funds

The Table 3C documents for these activities shall be removed:

City of North Chicago – Owner Occupied Rehabilitation
City of Waukegan– Owner Occupied Rehabilitation
CPAH Rental – New Construction
Glenkirk – Moraine Aggregated Living Center Rehabilitation

The Table 3C documents for this activity shall be amended:

Lake County Residential Development Corporation – Homebuyer/Rental –
Acquisition/Rehabilitation/New Construction – North Chicago Strategic Revitalization Plan
*Note Project has committed/contracted funding for PY2013 and PY2014, reference also the
2014 Annual Action Plan”

A Table 3C document for this activity will be added. Please note: This activity will be governed under
PY2013. This project is funded under both PY2013 and PY2014, reference also the 2014 Action Plan.

Habitat for Humanity – Homebuyer Rehabilitation/New Construction
CPAH – Rental Rehabilitation
Glenkirk – West Trail Group Home Rehabilitation

Please reference the following amended Table 3C documents:

Table 3C Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County

Priority Need: High and Medium

Project: Rehabilitation and New Construction

Activity: North Chicago Strategic Revitalization Plan

Description: Lake County Residential Development Corporation (LCRDC) in partnership with the City of North Chicago will complete a scattered-site development project consisting of acquisition, rehabilitation, and new construction of 15-20 housing units targeted at households earning 80% of area median income or less. Four of the proposed units will be targeted as supportive housing units for disabled veterans. Units will be designated as rental units; however LCRDC will utilize a lease-purchase strategy for units as applicable. Such lease-purchase units as completed will be reported as homebuyer units. LCRDC will serve as the project developer and owner of units. Lease-purchase programming will be utilized as applicable.

This is a CHDO Reservation project.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Pending acquisition; sites within North Chicago, Illinois

| Objective Number | Project ID | Funding Sources: | Awarded | Expended | Balance |
|------------------------------|---------------------------|---|---------------------|----------|---------|
| DH1 | TBD | <i>CDBG</i> | | | |
| HUD Matrix Code | CDBG Citation | <i>ESG</i> | | | |
| N/A | 24 CFR 570.208(a)(3) | <i>HOME</i> | \$425,048.00 | | |
| Type of Recipient | National Objective | <i>Prior Year Funds</i> | | | |
| Nonprofit | LMH | <i>Other CPD Funds</i> | | | |
| Start Date | Completion Date | Total | \$425,048.00 | | |
| October 2014 | May 2017 | Financial Narrative: | | | |
| Performance Indicator | Anticipated Units | <i>\$105,048 of the total HOME award is from the North Chicago Consortium partner allocation; total is representative of PY2013 funding</i> | | | |
| Housing Units | 15-20 | | | | |
| Local ID | Actual Units | | | | |
| TBD | TBD | | | | |

Table 3C
Consolidated Plan Listing of Projects

| | |
|---------------------------|--------------------------------------|
| Jurisdiction Name: | Lake County |
| Priority Need: | High |
| Project: | Rehabilitation |
| Activity: | West Trail Group Home Rehabilitation |

Description: Glenkirk will rehabilitate the four-bedroom West Trail group home that serves 8 residents with disabilities earning 50% of the area median income or less. The code rehabilitation will include kitchen and bath upgrades, window replacement, interior flooring improvements, foundation repairs and roofing and exterior renovations to improve accessibility.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

658 West Trail, Grayslake, Illinois

| | |
|---|--|
| Objective Number DH1 | Project ID TBD |
| HUD Matrix Code N/A | CDBG Citation 24 CFR 570.208(a)(3) |
| Type of Recipient Nonprofit | National Objective LMH |
| Start Date Est. December 2014 | Completion Date December 2015 |
| Performance Indicator Housing Units | Anticipated Units 1 |
| Local ID TBD | Actual Units TBD |

| Funding Sources: | Awarded | Expended | Balance |
|-------------------------|--------------------|-----------------|----------------|
| <i>CDBG</i> | | | |
| <i>ESG</i> | | | |
| <i>HOME</i> | \$92,000.00 | | |
| <i>Prior Year Funds</i> | | | |
| <i>Other CPD Funds</i> | | | |
| Total | \$92,000.00 | | |

Financial Narrative:

Table 3C Consolidated Plan Listing of Projects

| | |
|-----------------------|---|
| Jurisdiction | Lake County |
| Name: | |
| Priority Need: | High and Medium |
| Project: | Rehabilitation and New Construction |
| Activity: | Habitat for Humanity Lake County – Building Communities-Waukegan |
| Description: | <p>Habitat for Humanity Lake County proposes to acquire land or existing structures to rehabilitate and newly construct homes to be sold to low-income households. The Habitat model incorporates enhanced household targeting procedures to generate a supply of eligible beneficiary households that participate in the construction process. Target sites are in neighborhoods where blight removal is a critical strategy within the City of Waukegan and develop five to seven new housing units.</p> <p>This is a CHDO Reservation project.</p> |

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Pending acquisition; sites within Waukegan, Illinois

| | |
|------------------------------|---------------------------|
| Objective Number | Project ID |
| DH1 | TBD |
| HUD Matrix Code | CDBG Citation |
| N/A | 24 CFR 570.208(a)(3) |
| Type of Recipient | National Objective |
| Nonprofit | LMH |
| Start Date | Completion Date |
| December 2014 | May 2017 |
| Performance Indicator | Anticipated Units |
| Housing Units | 3-4 |
| Local ID | Actual Units |
| TBD | TBD |

| Funding Sources: | Awarded | Expended | Balance |
|-------------------------|---------------------|-----------------|----------------|
| <i>CDBG</i> | | | |
| <i>ESG</i> | | | |
| <i>HOME</i> | \$175,080.00 | | |
| <i>Prior Year Funds</i> | | | |
| <i>Other CPD Funds</i> | | | |
| Total | \$175,080.00 | | |

Financial Narrative:

HOME award is from the Waukegan Consortium partner allocation

Table 3C
Consolidated Plan Listing of Projects

| | |
|---------------------------|----------------------------|
| Jurisdiction Name: | Lake County |
| Priority Need: | High |
| Project: | Rehabilitation |
| Activity: | CPAH Scattered Site Rental |

Description: Community Partners for Affordable Housing (CPAH) will acquire, rehabilitate, and rent 1 unit to a household earning 80% of area median income or less. The unit will be located in Highland Park or Lake Forest, IL.

This is a CHDO Reservation project.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 Location to be determined pending acquisition; target areas include Highland Park and Lake Forest, IL.

| | |
|---|--|
| Objective Number DH1 | Project ID TBD |
| HUD Matrix Code N/A | CDBG Citation 24 CFR 570.208(a)(3) |
| Type of Recipient Nonprofit | National Objective LMH |
| Start Date Est. December 2014 | Completion Date December 2015 |
| Performance Indicator Housing Units | Anticipated Units 1 |
| Local ID TBD | Actual Units TBD |

| Funding Sources: | Awarded | Expended | Balance |
|-------------------------|--------------------|-----------------|----------------|
| <i>CDBG</i> | | | |
| <i>ESG</i> | | | |
| <i>HOME</i> | \$39,300.00 | | |
| <i>Prior Year Funds</i> | | | |
| <i>Other CPD Funds</i> | | | |
| Total | \$39,300.00 | | |

Financial Narrative:

Amendment #4:

3.4 – Administration & Planning

The Table 3C documents for these activities shall be removed:

Lake County Analysis of Impediments to Fair Housing Choice – included in Admin & Planning
Affordable Housing Corporation – Administration of Affordable Housing Commission

Table 3C Consolidated Plan Listing of Projects

| | |
|---------------------------|---|
| Jurisdiction Name: | Lake County |
| Priority Need: | N/A |
| Project: | Administration & Planning |
| Activity: | Lake County Community Development – CDBG, HOME & ESG Program Administration |

Description: These funds will be used primarily for general management, oversight and coordination of the Community Development Block Grant, HOME and Emergency Solutions Grant Programs. A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general program management activities. In addition some of these funds may be used for special planning studies. These funds will also be used to provide technical assistance for prospective funding applications, preparation of application forms/tables, applicant training/workshops and review of funding applications. These funds will also cover costs of affirmatively furthering fair housing and informing local officials in regards to CDBG, HOME & ESG activities.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
500 W Winchester Rd, Libertyville IL

| | |
|--|--|
| Objective Number N/A | Project ID TBD |
| HUD Matrix Code 21C | CDBG Citation 24 CFR 570.206 |
| Type of Recipient Government | National Objective N/A |
| Start Date May 1, 2013 | Completion Date N/A |
| Performance Indicator N/A | Anticipated Units N/A |
| Local ID C1346 | Actual Units N/A |

| Funding Sources: | Awarded | Expended | Balance |
|-------------------------|---------------------|-----------------|----------------|
| <i>CDBG</i> | \$469,586.60 | | |
| <i>ESG</i> | \$12,737.00 | | |
| <i>HOME</i> | \$93,223.30 | | |
| <i>Prior Year Funds</i> | | | |
| <i>Other CPD Funds</i> | | | |
| Total | \$575,546.90 | | |

Financial Narrative: